## Stenographic Transcript Before the

## COMMITTEE ON ARMED SERVICES

## UNITED STATES SENATE

## HEARING TO RECEIVE TESTIMONY ON INCREASING EFFECTIVENESS OF MILITARY OPERATIONS

Thursday, December 10, 2015

Washington, D.C.

ALDERSON COURT REPORTING 1155 CONNECTICUT AVENUE, N.W. SUITE 200 WASHINGTON, D.C. 20036 (202) 289-2260 www.aldersonreporting.com

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6	U.S. Senate
7	Committee on Armed Services
8	Washington, D.C.
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10	The committee met, pursuant to notice, at 9:30 a.m. in
11	Room SD-G50, Dirksen Senate Office Building, Hon. John
12	McCain, chairman of the committee, presiding.
13	Committee Members Present: Senators McCain
14	[presiding], Wicker, Ayotte, Fischer, Cotton, Ernst,
15	Sullivan, Reed, Nelson, McCaskill, Manchin, Shaheen,
16	Gillibrand, Donnelly, Kaine, and King.
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- 1 OPENING STATEMENT OF HON. JOHN McCAIN, U.S. SENATOR
- 2 FROM ARIZONA
- 3 Chairman McCain: Well, good morning.
- 4 The committee meets today to continue our series of
- 5 hearings on defense reform. We have reviewed the effects of
- 6 the Goldwater-Nichols reforms on our defense acquisition,
- 7 management, and personnel system, and our past few hearings
- 8 have considered what most view as the essence of Goldwater-
- 9 Nichols, the roles and responsibilities of the Secretary of
- 10 Defense and the Chairman of the Joint Chiefs of Staff, the
- 11 service secretaries, and service chiefs, and the combatant
- 12 commanders.
- 13 This morning, we seek to understand how Goldwater-
- 14 Nichols has impacted the effectiveness of U.S. military
- operations and what reforms may be necessary.
- 16 We are pleased to welcome our distinguished panel of
- 17 witnesses who will offer insights from their many years of
- 18 experience and distinguished service. General Norton
- 19 Schwartz, former Chief of Staff of the Air Force and
- 20 President and CEO of Business Executives for National
- 21 Security; Admiral James Stavridis, former Commander, U.S.
- 22 European Command and U.S. Southern Command, and currently
- 23 the Dean of the Fletcher School of Law and Diplomacy at
- 24 Tufts University and frequent appearance on various liberal
- 25 media outlets; Dr. Christopher Lamb, Deputy Director of the

- 1 Institute for National Strategic Studies at the National
- 2 Defense University.
- 3 More than anything else, the Goldwater-Nichols Act was
- 4 a result of escalating concern in the Congress and in the
- 5 country about the effectiveness of U.S. military operations.
- 6 The Vietnam War, the failure of the hostage rescue mission
- 7 in Iran, and the flawed invasion of Grenada all pointed to
- 8 deep systemic problems in our defense enterprise that needed
- 9 to be addressed for the sake of both our warfighters and our
- 10 national security.
- In particular, Goldwater-Nichols focused on ensuring
- 12 the unity of command and improving the ability of our forces
- 13 to operate jointly. As we have explored in previous
- 14 hearings, many questions remain about the balance our
- 15 military is striking between core military competitiveness,
- 16 competencies, and joint experience. But as it relates to
- 17 combat effectiveness, there is no doubt, as one former
- 18 Chairman of the Joint Chiefs of Staff put it, no other
- 19 nation can match our ability to combine forces on the
- 20 battlefield and fight jointly.
- 21 The subject of today's hearing relates directly to the
- 22 many steps Goldwater-Nichols took to improve the unity of
- 23 command. The law made unified commanders explicitly
- 24 responsible to the President and the Secretary of Defense
- 25 for the performance of missions and preparedness of their

- 1 commands. It also removed the Joint Chiefs of Staff from
- 2 the operational chain of command and prevented the services
- 3 from moving forces in and out of regional commands without
- 4 approval. Geographic combatant commanders were given the
- 5 ability to issue authoritative direction on all aspects of
- 6 operations, joint training and logistics, internal chains of
- 7 command, and personnel within their assigned areas of
- 8 responsibility. These steps were effective in establishing
- 9 clear lines of command authority and responsibilities that
- 10 translated to a more effective fighting force than we had in
- 11 the 1980s.
- However, 30 years later, we have to take a hard look at
- 13 this command structure in light of current threats and how
- 14 our model of warfighting has evolved. The United States
- 15 confronts the most diverse and complex array of crises since
- 16 the end of World War II, from rising competitors like China,
- 17 revanchist powers like Russia, the growing asymmetric
- 18 capabilities of nations ranging from Iran to North Korea,
- 19 the persistence of radical Islamic extremism, and the
- 20 emergence of new domains of warfare such as space and
- 21 cyberspace. These threats cut across our regional
- 22 operational structures embodied by geographic combatant
- 23 commands.
- 24 So we must ask whether the current combatant command
- 25 structure best enables us to succeed in the strategic

- 1 environment of the 21st century. Should we consider
- 2 alternative structures that are organized less around
- 3 geography and transregional and functional missions.
- At the same time, as numerous witnesses have observed,
- 5 while combatant commands were originally envisioned as the
- 6 warfighting arm of the military, the Department of Defense,
- 7 that function has largely migrated to joint task forces,
- 8 especially on an ad hoc basis in response to emerging
- 9 contingencies. This suggests that people have identified a
- 10 shortcoming in the current design and have adopted measures
- 11 to work around the system as we see quite often. This
- 12 should inform our efforts to reevaluate and re-imagine the
- 13 combatant commands.
- 14 At the same time, combatant commands have come to play
- 15 very important peacetime diplomatic functions. Do these
- 16 developments argue for changes in the structure of combatant
- 17 commands? At a minimum, it would call into question the
- 18 top-heavy and bloated staff structures that we see in the
- 19 combatant commands. Time and again during these hearings,
- 20 we have heard how dramatic increases in civilian and
- 21 military staffs have persisted even as resources available
- 22 for warfighting functions are increasingly strained.
- 23 As former Under Secretary of Defense for Policy Michele
- 24 Flournoy pointed out earlier this week, combatant command
- 25 staffs have grown to 38,000 people. That is nearly three

- 1 divisions' worth of staff in just the combatant commands
- 2 alone. We have to ask if this is truly necessary and
- 3 whether it is improving our warfighting capabilities.
- 4 At the same time, we have to examine whether there are
- 5 duplicative functions in the Joint Staff, combatant
- 6 commands, and subordinate commands that can be streamlined.
- 7 That includes the question of whether we really need all of
- 8 the current combatant commands. For example, do we really
- 9 need a NORTHCOM and a SOUTHCOM? Do we really need a
- 10 separate AFRICOM headquartered in Germany when the vast
- 11 majority of its forces reside within EUCOM?
- 12 As we have to revisit the role of the Chairman and the
- 13 members of the Joint Chiefs of Staff, Goldwater-Nichols
- 14 strengthened the Joint Staff and operational commanders at
- 15 the expense of the services. Has that gone too far or not
- 16 far enough? Former Secretary of Defense Robert Gates raised
- 17 this issue when he testified before this committee because
- 18 of his frustration with the military services' lack of
- 19 responsiveness to current operational requirements.
- 20 Many of our witnesses have discussed whether the
- 21 Chairman of the Joint Chiefs of Staff has sufficient
- 22 statutory authority to perform the strategic integration
- 23 that the Department of Defense all too often seems to do
- 24 poorly, integrating priorities, efforts, and resources
- 25 across regions, across domains of military activity, and

1	across	time,	baland	cing s	short	t-ter	cm and	l long-t	cerm	
2	require	ements	. The	quest	cion	has	been	raised	whether	the

- 3 Chairman should be placed in the chain of command with the
- 4 service chiefs and combatant commanders reporting to him.
- 5 We have heard testimony in favor and against. I look
- 6 forward to exploring this further today.
- 7 These are critical questions about our defense
- 8 organization that have direct bearing on the effectiveness
- 9 of U.S. military operations and, as a consequence, on the
- 10 wellbeing of our warfighters. We owe it to them to look at
- 11 this seriously, ask the tough questions, challenge old
- 12 assumptions, and embrace new solutions if and when it is
- 13 needed.
- I thank our witnesses again and look forward to their
- 15 testimony.
- 16 Senator Reed?

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- 1 STATEMENT OF HON. JACK REED, U.S. SENATOR FROM RHODE
- 2 ISLAND
- 3 Senator Reed: Well, thank you very much, Mr. Chairman.
- 4 Let me join you in welcoming the witnesses. I have had the
- 5 privilege really of working with General Schwartz as Chief
- 6 of Staff of the Air Force, Admiral Stavridis as EUCOM
- 7 Commander, and Dr. Lamb, your service in the Defense
- 8 Department, now as an analyst and academic. I deeply
- 9 appreciate it. Thank you very much, gentlemen, for joining
- 10 us today.
- 11 As the chairman has said, we have undertaken a very
- 12 rigorous, under his direction, review of Goldwater-Nichols.
- 13 And we heard just a few days ago from former Under Secretary
- 14 of Defense Michele Flournoy about one of the issues, and
- 15 that was in her words, "over the years, the QDR has become a
- 16 routinized, bottom-up staff exercise that includes hundreds
- of participants and consumes many thousands of man-hours
- 18 rather than a top-down leadership exercise that sets clear
- 19 priorities, makes hard choices and allocates risk."
- 20 So one of the things I would hope that the witnesses
- 21 would talk about with this whole planning process, the
- 22 formal process, the informal process, and how we can improve
- 23 that -- that is just one of the items. There is a long and
- 24 I think important list of topics that we could discuss: the
- 25 role and authorities assigned to the Chairman of the Joint

- 1 Chiefs of Staff, including whether the Chairman should be
- 2 placed in the chain of for military operations; improving
- 3 the employment and synchronization of military capabilities
- 4 through possible structural reforms to our combatant
- 5 commands, defense agencies, and field activities; and the
- 6 potential benefits of adopting organizational changes,
- 7 including consolidation of staff elements and creation of
- 8 cross-functional teams, to achieve efficiencies and provide
- 9 senior civilian and military leaders with more impactful and
- 10 timely recommendations.
- 11 And finally, in previous hearings, several of our
- 12 witnesses have rightly observed that enhancing the
- 13 effectiveness of our military operations and better
- 14 capitalizing upon the gains achieved through those
- 15 improvements may require significant changes to our
- 16 interagency national security structure and processes as
- 17 well. And this point was made by Jim Locher, who was the
- 18 godfather, if you will, of the Goldwater-Nichols. In his
- 19 words, "No matter how well you transform the Department of
- 20 Defense, it is still going to be troubled by an interagency
- 21 system that is quite broken and the problems that confront
- 22 this Nation and national security require an interagency
- 23 response. The days of the Department of Defense being able
- 24 to execute a national security mission by itself are long
- 25 gone, and we do not have the ability to integrate the

- 1 expertise and capacity of all of the government agencies
- 2 that are necessary." I think it is important to keep that
- 3 in mind.
- 4 And chairman -- again, let me commend him for beginning
- 5 this process with this committee and the Department of
- 6 Defense, and I hope it is a catalyst under his leadership
- 7 for serious review by other committees and other agencies
- 8 about how together we can improve the security of the United
- 9 States.
- 10 Thank you, Mr. Chairman.
- 11 Chairman McCain: Thank you.
- 12 Welcome, General Schwartz.

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- 1 STATEMENT OF GENERAL NORTON A. SCHWARTZ, USAF,
- 2 RETIRED, PRESIDENT AND CEO, BUSINESS EXECUTIVES FOR NATIONAL
- 3 SECURITY, AND FORMER CHIEF OF STAFF OF THE AIR FORCE
- 4 General Schwartz: Thanks, Chairman McCain and Ranking
- 5 Member Reed for your and the committee's commitment to
- 6 improving DOD's internal governance and defense organization
- 7 shaped by the Goldwater-Nichols reforms. It is an
- 8 unexpected privilege to return to this hearing room and to
- 9 offer a few related ideas on how to improve performance in
- 10 the Department of Defense, and it is a special pleasure to
- 11 sit beside the finest flag officer of my generation, Jim
- 12 Stavridis.
- 13 While there are many issues that warrant attention,
- 14 command arrangements, resource allocation, acquisition
- 15 processes, overhead reduction, joint credentialing of
- 16 military personnel, and the potential for consolidation,
- among others, I wish to focus this morning on the three that
- 18 I am persuaded hold the greatest promise for particularly
- 19 positive outcomes. They are the role and authority of the
- 20 Chairman of the Joint Chiefs of Staff, right-sizing the
- 21 combatant commands, and establishing standing joint task
- 22 forces for execution of COCOM operational missions. I am
- 23 certainly prepared to address the other matters you
- 24 mentioned at your discretion.
- In my experience as a former member of the Joint Chiefs

- 1 of Staff and the Joint Staff, a functional combatant
- 2 commander, and a chief of service, I have come to the
- 3 conclusion that the Chairman's informal role in supervising
- 4 the combatant commanders and the JCS is insufficient for the
- 5 demands of our times. While it is true that delegated
- 6 authority from the Secretary of Defense is an alternative,
- 7 there should be no doubt in the armed forces about the
- 8 directive authority of the Chairman, subject to the close
- 9 and continuing scrutiny and oversight of the Secretary of
- 10 Defense.
- 11 Strategic quidance for force employment, force
- 12 allocation tradeoffs between combatant commands and
- 13 establishing strategic priorities for the armed forces
- 14 should not be the result of bureaucratic negotiation or the
- 15 exquisite application of personal persuasion, but rather the
- 16 product of strategic leadership. This capacity is
- 17 constrained by the Chairman's inability to exercise
- 18 executive authority on behalf of the Secretary of Defense,
- 19 and the remedy I suggest is to place the Chairman in the
- 20 line of supervision between the Secretary and his or her
- 21 combatant commanders.
- The nine combatant commands are complex entities, none
- of which are alike, some with regional responsibilities and
- 24 some with functional roles. The commands strive to serve
- 25 both peacetime, crisis response, and warfighting

- 1 obligations. The composition of the combatant command
- 2 staffs clearly reflect the inherent tension in this
- 3 excessively broad mission array: peacetime administration,
- 4 deterrence, training, and partner engagement versus
- 5 maintaining the capacity to conduct complex contingency
- 6 operations in peace and war.
- 7 The proliferation of resource directorates, J-8's;
- 8 joint intelligence centers, J-2's; security assistance
- 9 program offices, typically J-4's; partner engagement
- 10 entities, typically J-9's; and operations and training
- 11 staff, J-3's, is the result of this expansive assigned
- 12 mission set. And over time, the warfighting role of the
- 13 combatant commands has evolved to the almost exclusive use,
- 14 some would suggest excessive use, of joint task forces up to
- 15 and including four-star-led joint task forces to execute
- 16 assigned missions. The simple question in my mind is, can
- 17 the combatant command, no matter how well tailored, perform
- 18 each and every associated task with equal competence? I do
- 19 not think so and the attempt to infuse greater interagency
- 20 heft into the combatant commands has, in my experience,
- 21 detracted from the core operational focus in either
- 22 peacetime or in conflict.
- How have we squared the tension between combatant
- 24 commands' peacetime and wartime roles? I would argue by
- 25 again extensive use of joint task force organizations to

- 1 execute operational missions. It is my conviction that the
- 2 efficacy of the task force employment model is beyond
- 3 dispute. The National Counterterrorism Joint Task Force
- 4 demonstrates conclusively in my mind the enduring value of
- 5 standing, mature, well-trained, and equipped joint task
- 6 forces. It may well be that high performance parallels
- 7 exist for national joint task forces in the surface,
- 8 maritime, and air domains as well. What we should continue,
- 9 however -- or what, I should say, we should discontinue is
- 10 the proliferation of joint task forces in each combatant
- 11 command with the attendant service components and
- 12 headquarters staffs. Task Force 510 in the Pacific Command
- 13 might qualify, however, as an exception to the rule.
- 14 In short, Mr. Chairman, we need to have within the
- 15 armed forces a strategic leader who can exercise executive
- 16 authority. We need to aggressively tailor combatant command
- 17 headquarters composition to its core mission or missions and
- 18 refrain from creating subordinate joint task forces out of
- 19 service headquarters. And finally, we need to drive toward
- 20 employment of long-term, highly proficient national joint
- 21 task forces for combatant command employment.
- 22 Thank you, Chairman McCain, Ranking Member Reed, and
- 23 members of the committee for your attention this morning. I
- 24 trust my presentation will assist in advancing the noble
- 25 cause of Goldwater-Nichols reform. Thank you, sir.

1	[The	prepared	statement	ΟĬ	General	Schwartz	iollows:
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1	Chairman	McCain:	Admiral	Stavridis?
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- 1 STATEMENT OF ADMIRAL JAMES G. STAVRIDIS, USN, RETIRED,
- 2 DEAN OF THE FLETCHER SCHOOL OF LAW AND DIPLOMACY, TUFTS
- 3 UNIVERSITY, AND FORMER COMMANDER OF U.S. EUROPEAN COMMAND
- 4 AND U.S. SOUTHERN COMMAND
- 5 Admiral Stavridis: Chairman McCain, Ranking Member
- 6 Reed, other distinguished members, a pleasure to be back
- 7 with you and to be here with General Schwartz, who was not
- 8 only a service chief but a combatant commander, as well as
- 9 being Director of the Joint Staff. There is no one who can
- 10 talk more coherently to these issues than him. And as well,
- 11 my good friend, Dr. Chris Lamb, who I think an best address
- 12 the questions of planning and strategy that Senator Reed
- 13 raised a moment ago.
- I spent 37 years in uniform. I spent probably a decade
- 15 of that in the Pentagon. I wish I had been at sea during
- 16 those years, but in that time, I managed to serve on the
- 17 staff of the Secretary of Defense, the Secretary of the
- 18 Navy, the Chief of Naval Operations, and the Chairman of the
- 19 Joint Chiefs of Staff. So I have sort of seen inside the
- 20 building. And as Senator McCain mentioned, I was twice a
- 21 combatant commander, once in Europe and once in Southern
- 22 Command, Latin America and the Caribbean.
- So I am going to simply walk into four or five ideas
- 24 that I think might be interesting for this committee to
- 25 discuss and debate. None of these are fully firmed ideas,

- 1 but I think they relate to the objective of what the
- 2 committee I think very correctly seeks to do as we sit here
- 3 kind of 3 decades after Goldwater-Nichols. And they all
- 4 relate in one way or another to how the Department is
- 5 organized.
- 6 So I am going to start with one that I think is
- 7 controversial but ought to be considered, and that is do we
- 8 need a cyber force for the United States. I would invite
- 9 you to think about where we were 100 years ago. We had an
- 10 Army, a Navy, and a Marine Corps. Did we have an Air Force?
- 11 Of course, not. We barely flew airplanes 100 years ago. I
- 12 would argue today it feels like that moment a few years
- 13 after the beach at Kitty Hawk, and my thought is clearly we
- 14 need a Cyber Command, and I think we are moving in that
- 15 direction. But I think it is time to think about whether we
- 16 want to accelerate that process because our vulnerabilities
- in the cyber domain, in my view, are extraordinary, and we
- 18 are ill-prepared for them. And therefore, some part of our
- 19 response will have to be done by the Department of Defense,
- 20 and the sooner we have not only a Cyber Command, but in my
- 21 view a cyber force, small, capable, I think we would be well
- 22 served. I think we should have that discussion.
- 23 Secondly, to the question of the interagency and the
- 24 power of how to bring those parts of the government
- 25 together, I think an interesting organizational change to

- 1 consider would be at each of the regional combatant commands
- 2 to have a deputy who is a U.S. ambassador or perhaps some
- 3 other senior diplomat. I think you would need to continue
- 4 to have a military deputy in order to conduct military
- 5 operations, but a great deal of what combatant commands do
- 6 is diplomatic in nature. And I think having a senior
- 7 representative from the interagency present would be
- 8 salutary. This has been tried at SOUTHCOM, EUCOM, and
- 9 AFRICOM at one time or another, and I think it would be an
- 10 effective and interesting idea to consider as you look at
- 11 the combatant commands.
- 12 Thirdly -- and the chairman mentioned this -- in my
- 13 view geographically we have too many combatant commands. We
- 14 have six today. I think we should seriously consider
- 15 merging NORTHCOM and SOUTHCOM and merging EUCOM and AFRICOM.
- 16 I think there are obvious efficiencies in doing so. I think
- 17 there are operational additional benefits that derive. And
- 18 I think finally it is a way to begin reducing what has
- 19 correctly been identified as the bloat in the operational
- 20 combatant command staffs.
- 21 Fourth, I would associate myself with General Schwartz
- 22 and a number of others who have testified with the idea that
- 23 we should consider an independent general staff and
- 24 strengthening the role of the Chairman of the Joint Chiefs
- of Staff. Frankly, in practice, as a combatant commander I

- 1 would very typically call the Chairman, check signals with
- 2 the Chairman. I would not undertake a radical departure
- 3 without talking to the Chairman. I think putting the
- 4 Chairman in the chain of command, as General Schwartz has
- 5 outlined and a number of other witnesses have mentioned, is
- 6 efficient, sensible, and frankly codifies what is in effect
- 7 today in many ways.
- 8 In addition, I think that Chairman would be well served
- 9 with what some have termed a general staff. This is the
- 10 idea of taking mid-grade military officers of extraordinary
- 11 promise and pulling them from their services and more or
- 12 less permanently assigning them to this general staff. This
- 13 model has been used in other points by other nations in
- 14 history. I think it is a powerful way to create
- 15 efficiencies and avoid duplication because by doing so, you
- 16 can reduce a great deal of what happens in the combatant
- 17 commands today. So in addition to strengthening the
- 18 position of the Chairman, I think it would be worth
- 19 considering whether a general staff model would make sense.
- 20 Fifth and finally, I think that we talk a great deal,
- 21 appropriately, about joint operations. It is important to
- 22 remember that joint education is extraordinarily important
- 23 in both ultimately the conduct of operations, the creation
- 24 of strategy, the intellectual content of our services. So I
- 25 would advocate considering whether we should integrate our

_	joint educational institutions, probably by taking the
2	National Defense University, putting it back to three-star
3	rank, and giving that officer directive authority over the
4	Nation's war colleges. This would also create a reservoir
5	of intellectual capability, which I think could match up
6	well with the idea of a general staff.
7	All five of those ideas are controversial, but I think
8	they should be part of the conversation that this committee
9	is unpackaging, which is one that is deeply important for
10	the Nation's security. Thank you.
11	[The prepared statement of Admiral Stavridis follows:]
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1	Chairman McCain:	Thank	you,	Admiral.
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- 1 STATEMENT OF DR. CHRISTOPHER J. LAMB, DEPUTY DIRECTOR
- 2 OF THE INSTITUTE FOR NATIONAL STRATEGIC STUDIES, NATIONAL
- 3 DEFENSE UNIVERSITY
- 4 Dr. Lamb: Senator McCain, Senator Reed, and members of
- 5 the committee, thank you for the opportunity to share my
- 6 views on improving the effectiveness of military operations
- 7 this morning. Your invitation to testify is a great honor
- 8 and especially so considering the distinguished service of
- 9 your other witnesses today, General Schwartz and Admiral
- 10 Stavridis. It is the high point of my career to be sitting
- 11 with them today and in front of you, and I am really, truly
- 12 humbled by the opportunity.
- I also want to acknowledge the presence of my wife who,
- in light of the unconventional things I am about to say,
- decided I needed moral support, and I agree with her.
- 16 Chairman McCain: We will hold her in no way
- 17 responsible.
- [Laughter.]
- 19 Dr. Lamb: She will appreciate that I know.
- In my written statement, I argued for three sets of
- 21 organizational changes to increase the effectiveness of U.S.
- 22 military operations.
- 23 First, to correct a persistent lack of preparedness for
- 24 irregular threats, I argue that we should give USSOCOM the
- 25 lead for small unit irregular conflict and the Marine Corps

- 1 the lead for larger irregular conflicts.
- 2 Second, to make the best possible investments in
- 3 military capabilities and maintain our advantages in major
- 4 combat operations, I believe we should encourage the use of
- 5 horizontal teams in the Department of Defense and support
- 6 their work with collaborative management or joint scenarios,
- 7 operating concepts, data, methods of analysis, risk metrics,
- 8 and institutional knowledge. And I completely agree with
- 9 General Schwartz that we need to reinvigorate our approach
- 10 to joint headquarters so that we have standing task forces
- 11 ready to experiment with and test new joint concepts.
- 12 And then, finally, to better integrate military
- operations with other instruments of national power, I
- 14 believe we need legislation that allows the President to
- 15 empower leaders to run interagency teams.
- 16 None of these recommendations are unique to me, and
- 17 they have all been made before by various groups and
- 18 individuals. But I hope now is an opportune time for the
- 19 Senate and the leadership in the Department of Defense to
- 20 reconsider their merits.
- 21 In the brief time remaining, I would like to address
- 22 some likely questions about these recommendations,
- 23 particularly with respect to horizontal or sometimes
- 24 referred to as cross-functional teams because I know that
- 25 members of the committee have expressed some interest in

- 1 that. And so I want to raise a number of questions that are
- 2 likely to come up in this area.
- 3 First of all, it is often asked whether all national
- 4 security problems are not inherently complex and therefore
- 5 require cross-functional teams. My response to that would
- 6 be no. Clausewitz famously argued the most important
- 7 judgment a statesman and commander have to make is
- 8 determining, quote, the kind of war in which they are
- 9 embarking, neither mistaking it for nor trying to turn it
- 10 into something that is alien to its nature.
- I think the same thing holds true for national security
- 12 problems more generally. We need to determine the kind of
- 13 problem being addressed. Not all military tasks are
- 14 intrinsically joint. Not all national security missions are
- intrinsically interagency. If we say otherwise, we greatly
- 16 increase the risks of failing to bring the right type of
- 17 expertise to bear on the problem at hand.
- 18 Another question that frequently arises is whether all
- 19 groups with representatives from functional organizations
- 20 are, in effect, cross-functional teams. No. There is a
- 21 huge difference between a committee and a team in the
- 22 executive branch. The members of the committee, to use some
- 23 shorthand, typically give priority to protecting their
- 24 parent organization's equities, and the members of a cross-
- 25 functional team give priority to the team mission.

- So why do some groups work like teams and some groups
- 2 work like committees? For example, why do all executive
- 3 branch cross-functional groups not work as well as, say, an
- 4 Army battalion headquarters, which also has to integrate
- 5 functional expertise from the artillery, the infantry,
- 6 armor, et cetera? Well, I think the answer is that the
- 7 difference is the degree of autonomy exercised by the
- 8 functional organizations and the degree of oversight
- 9 exercised by their common authority. In a battalion
- 10 headquarters, all the participants share a cross-cutting
- 11 culture, have the obligation to follow legal orders, and
- 12 receive direct and ongoing supervision from the battalion
- 13 commander. Most interagency groups consist of members from
- 14 organizations with quite different cultures, different legal
- 15 authorities and obligations, and no supervision from the
- 16 only person in the system with the authority to direct their
- 17 behavior, the President.
- 18 Another question often raised is whether we do not
- 19 already have in effect good interagency teams with empowered
- 20 leaders, for example, the State Department's country teams.
- 21 Ambassadors, after all, have been given chief of mission
- 22 authority by the President.
- Well, first of all, there are notable exceptions to
- 24 that authority to the ambassador, particularly with respect
- 25 to military and covert operations. But in any case, the

- 1 ambassador's authority is not sufficient. Many ambassadors
- 2 are perceived as representing State's interests rather than
- 3 national interests. Hence, the country team members often
- 4 feel justified in working around the ambassador, and the
- 5 direct supervision of the President is so far removed that
- 6 many of the people on the country teams feel that they can
- 7 do that and actually be rewarded by their parent
- 8 organizations for doing so.
- 9 I will stop there, but I want to close by anticipating
- 10 one final reaction to the proposals for horizontal teams.
- 11 Some will invariably complain that this is all rather
- 12 complicated and that at the end of the day, we are better
- off just finding and appointing good leaders. This is an
- 14 understandable but dangerous simplification.
- 15 First, as Jim Locher likes to say, there is no need to
- 16 choose between good leaders and good organizations. We need
- 17 both. Horizontal teams cannot be employed to good effect
- 18 without supportive and attentive senior leaders, but neither
- 19 can senior leaders of functional organizations solve complex
- 20 problems without organizations that are engineered to
- 21 support cross-cutting teams.
- 22 Second, in the current environment, titular leaders
- 23 simply lack the time to supervise every or even the most
- 24 important cross-cutting problems. Neither is it sufficient
- 25 to simply insist that their subordinates, quote, get along.

- 1 The heads of functional organizations have an obligation to
- 2 represent their organization's perspectives and expertise.
- 3 This obligation, reinforced by bureaucratic norms and human
- 4 nature, ensures that group members with diverse expertise
- 5 will clash. Conflicting views are healthy, but they must be
- 6 productively resolved in a way that gives priority to
- 7 mission success and not less noble factors.
- Finally, I would dare to say that the intense focus on
- 9 leadership, particularly in this town, has always struck me
- 10 as rather un-American. Our Founding Fathers realized the
- 11 American people needed more than good leadership. They paid
- 12 great attention to organizing the government so that it
- 13 would work well or work well enough, even if it is not
- 14 always led by saints and savants. We should do the same
- 15 with respect to the Department of Defense and the national
- 16 security system. Right now, I do not believe the men and
- 17 women who go in harm's way for our collective security are
- 18 backed up by the best possible policy, strategy, planning,
- 19 and decision-making system. That can and should change, and
- 20 I am glad the committee is looking into this matter.
- 21 Thank you again for this opportunity to share some
- 22 results of our research at National Defense University. I
- 23 look forward to answering any questions you might have.
- [The prepared statement of Dr. Lamb follows:]

25

- 1 Chairman McCain: Thank you very much, Doctor.
- 2 Let us start out with a fairly easy one. Is there a
- 3 reason why we should have a NORTHCOM and a SOUTHCOM? And is
- 4 there a reason for us to have an AFRICOM that is based in
- 5 Germany right next to your old command, Admiral Stavridis?
- 6 And let me add onto that question. Is there not now a need,
- 7 as much as we are trying to reduce and streamline -- is
- 8 there not now a need for a Cyber Command, given the nature
- 9 of that threat? I will begin with you, General.
- 10 General Schwartz: Sir, the original thinking on
- 11 NORTHCOM was concern about having assigned forces to a
- 12 senior officer with responsibility for the U.S., the
- 13 domestic circumstances. That notion foreclosed at the time
- 14 the possibility of having a joint command for both North and
- 15 South America. It is time now with the passage of time to
- 16 consolidate both of those organizations, as Admiral
- 17 Stavridis suggested.
- 18 The rationale for AFRICOM was somewhat different. As
- 19 you will recall, there was actually an effort to place
- 20 AFRICOM on the African continent.
- 21 Chairman McCain: That did not turn out too well.
- 22 General Schwartz: It did not. But you can appreciate
- 23 how that thought process sort of preempted other
- 24 considerations at the time. But again, with the passage of
- 25 time, that is an act of consolidation that certainly makes

- 1 sense to me.
- 2 And with respect to CYBERCOM, yes. Once they have
- 3 assigned forces, it is time to establish CYBERCOM as an
- 4 independent COCOM.
- 5 Chairman McCain: Admiral?
- 6 Admiral Stavridis: Sir, I think we absolutely should
- 7 merge NORTHCOM and SOUTHCOM, not only for the efficiencies,
- 8 but I think there are cultural connections that are
- 9 important to get Canada and Mexico, two of the largest
- 10 economies in the Americas, into the flow with our work and
- 11 our world to the south. Predictably, there will be some
- 12 objections based on NORAD. I think that can be easily
- 13 handled with a subunified command in some way.
- 14 AFRICOM was a good experiment, but I think it is time
- 15 to admit merging it back together. The forces, as you said,
- 16 are all in Europe. And I think those connections between
- 17 Europe and Africa actually would be very positive and in
- 18 some sense well received in the African world.
- 19 And then Cyber Command I have already addressed. I
- 20 think it is absolutely time to do it. The real question we
- 21 should be considering, do we want to go one step further to
- 22 a cyber force?
- Chairman McCain: That is really important. Thank you.
- 24 Doctor?
- 25 Dr. Lamb: I would not have strong feelings on the span

- of control we assign to the combatant commands, but I would
- 2 make the following observation. I think that decision is
- 3 probably best linked to other recommendations that have been
- 4 made here today, including whether we increase and beef up
- 5 our ability to field joint task forces, standing joint task
- 6 forces, whether we have a general staff, or we have the
- 7 Chairman in the chain of command. I think that would impact
- 8 a lot the effective span of control the combatant commanders
- 9 could exercise.
- 10 Chairman McCain: Thank you.
- And this whole issue of the joint task forces I think
- 12 is one of the most important aspects of it, obviously, since
- 13 there is now a gap between the organizations in being and
- 14 the appointment in every crisis of a joint task force,
- 15 whether it comes from that command or from others. It is
- 16 obvious that is where the operations are.
- 17 Finally, in a more philosophical plane here, one of the
- 18 much criticized but yet pretty successful staff structure
- 19 has been the German general staff, names like Schlieffen and
- 20 Ludendorff and others, as well as Keitel and others. And
- 21 every time we start talking about centralizing authority in
- 22 the Chairman of the Joint Chiefs of Staff, that issue is
- 23 raised. The German general staff system is not something
- 24 that we want to emulate, and yet, there are others who say
- 25 that it was not because of the staff system that they lost,

- 1 it was for other reasons.
- 2 So give me more of a fundamental view. Do you want to
- 3 centralize this much power in the hands of one individual or
- 4 authority in the hands of this one individual? General?
- 5 General Schwartz: Mr. Chairman, I would not create a
- 6 general staff. I actually believe that there is risk of
- 7 having the brilliant few become self-serving. However, it
- 8 is not necessary that a Chairman in the chain of command
- 9 connect to a general staff. By retaining a similar
- 10 arrangement as we have now, where the Joint Staff is a
- 11 creature of the Joint Chiefs, you minimize concern about a
- 12 roque individual.
- 13 Admiral Stavridis: I would at least have a robust
- 14 discussion about the pros and cons of a general staff, in
- 15 addition to placing the Chairman atop it operationally.
- 16 In terms of the concerns raised about the German
- 17 general staff, you know, that rattles old ghosts in our
- 18 memories, but at the end of the day, it was political
- 19 leadership and economic collapse in Germany that led to the
- 20 rise of fascism. The German general staff was perhaps a
- 21 tool of that.
- 22 I think here in the United States, the culture in the
- 23 military is so strongly one of subservience to civilian
- 24 leadership that I would not believe that to be a significant
- 25 concern when weighed against the efficiencies that could be

- 1 derived from such a structure.
- 2 Dr. Lamb: I would just second what Admiral Stavridis
- 3 said about there not being a threat to civilian control of
- 4 the military from a general staff. But I do think it is
- 5 worthwhile for the committee to ask or take up an issue that
- 6 Michele Flournoy raised earlier in the week about the
- 7 tyranny of consensus. Even compared to OSD, the Joint Staff
- 8 is well known for its extensive coordination to ensure
- 9 consensus on positions that are forwarded to the Chairman.
- 10 And I think it would be very interesting to hear from former
- 11 Chairmen or the current Chairman what they think of their
- 12 staff's performance in that regard and for the committee to
- 13 get to the heart of why consensus tends to rule in the way
- 14 the Joint Staff operates and runs. I think it has not
- 15 served us particularly well or the Chairman particularly
- 16 well to date.
- 17 Chairman McCain: Well, I just would finally make a
- 18 comment, and that is that being a student of World War II,
- 19 they did not have any of all this stuff. There were just
- 20 some very brilliant guys named Marshall and Leahy and King
- 21 and others that won the most seminal war probably of modern
- 22 times. So I do not know how we look at that aspect of it,
- 23 but it certainly was the major factor in winning World War
- 24 II.
- 25 Senator Reed?

- 1 Senator Reed: Thank you, Mr. Chairman.
- 2 And thank you, gentlemen, for your very, very
- 3 thoughtful testimony.
- Two issues are emerging, among many. One is putting
- 5 the Chairman in the chain of command, and two, creating a
- 6 general staff. And there are pros and cons, as Admiral
- 7 Stavridis pointed out. And since you gentlemen are some of
- 8 the most intellectually honest people I know, it helps us --
- 9 we get the pros a lot. What is the con? What do you worry
- 10 about, General Schwartz? If we had a Chairman in the chain
- 11 of command -- if we did it, we would have to create sort of
- 12 a buffer against those downsides. So both you and Admiral
- 13 Stavridis, please, and Dr. Lamb.
- 14 General Schwartz: The traditional thinking of having
- 15 the Chairman in the chain of command is potentials for
- 16 abuse, for excessive exercise of one's authority, and
- 17 undermining, as Chris Lamb mentioned, the fundamental
- 18 principle of civilian authority. That is the downside.
- 19 But I believe that -- and given my experience -- the
- 20 Chairman and the Secretary operate so closely in today's
- 21 environment that there is a level of supervision which
- 22 mitigates that possibility. But that is a legitimate
- 23 consideration.
- 24 Senator Reed: Let me follow up with a question. Even
- 25 in your concept of putting the Chairman in the chain, he

- 1 would be still subordinate to the Secretary of the Defense.
- 2 General Schwartz: Of course, exactly. Correct.
- 3 Senator Reed: The practical effect would be injecting
- 4 him between the service chiefs and service secretaries?
- 5 What is the practical effect?
- 6 General Schwartz: The practical effect is that there
- 7 is an authoritative referee in uniform. At the moment, that
- 8 authoritative referee is either the Deputy Secretary or the
- 9 Secretary. And it seems to me that having someone in
- 10 uniform with executive authority, properly supervised
- 11 contributes to effective activity.
- 12 Senator Reed: Admiral Stavridis, your points on both
- 13 these issues, the general staff, standalone general staff,
- 14 and the Chairman in the chain.
- 15 Admiral Stavridis: Sir, let me take the Chairman
- 16 position first. We have identified and already correctly
- 17 identified one of the cons. I will give you another one.
- 18 It is having put that much power and authority into one
- 19 person, what if you get an extremely mediocre Chairman,
- 20 someone who is not smart, not effective? We have a very
- 21 good up and out system. We are probably going to get a very
- 22 good Chairman. But that level of power and authority -- you
- 23 need to worry not only about abuse of power but lack of
- 24 capability in it as well.
- In terms of the general staff, I think a con would be

- 1 that a general staff, because the officers would have been
- 2 plucked out of their services at the 04/05 level in their
- 3 late 30's, they would not have the robust level of
- 4 operational experience that we see on the Joint Staff today.
- 5 That would be a con. Again, my intuition is that in both
- 6 cases the pros would outweigh the cons, but that would be
- 7 part of the conversation, looking at both sides.
- 8 Senator Reed: Dr. Lamb, your comments.
- 9 Dr. Lamb: First, with respect to the Chairman in the
- 10 chain of command, I think I would agree with General
- 11 Schwartz that in the past the relationship between the
- 12 Chairman and the Secretary has been extremely tight. And so
- 13 I am not sure what the value added in inserting someone
- 14 formally into the chain of command is. There are issues
- 15 there. Some Chairman and Secretary teams have worked very
- 16 closely, and the Secretary's interests and decisions have
- 17 been passed through the Chairman. And in other cases, you
- 18 can think of Secretaries who have dealt directly with the
- 19 combatant commanders at length. So I think I would be kind
- 20 of agnostic on that, but I am generally inclined to believe
- 21 there is not a lot of value added to that.
- The more important decisions that I think the Chairman
- 23 needs to work on are future force development. This is
- 24 where we really have to work hard to preserve the
- 25 qualitative advantages that we currently enjoy and which I

- 1 think most people agree are diminishing. And there, to get
- 2 to the issue of the general staff, I think he needs really
- 3 dedicated, deep expertise on his staff, and currently we
- 4 tend not to have that. We bring people directly in from
- 5 operational commands who have never worked those broad
- 6 issues before. We throw them at a problem for a couple
- 7 years, then rotate them out. My view would be that more
- 8 stability like a general staff would bring to the Chairman
- 9 would probably be a good thing on the whole.
- 10 Senator Reed: Thank you very much. Gentlemen, thank
- 11 you for your service and for your testimony.
- 12 Chairman McCain: Senator Ernst?
- 13 Senator Ernst: Thank you, Mr. Chair.
- 14 Thank you, gentlemen, for joining us today. It is nice
- 15 to have you here. Some interesting comments.
- 16 Admiral and Dr. Lamb, if you would please. In 2009, in
- 17 relation to the DOD, former DOD Secretary Bob Gates said
- 18 this is a Department that principally plans for war. It is
- 19 not organized to wage war, and that is what I am trying to
- 20 fix. Again, that was from Bob Gates.
- 21 And from both of you, please, do you believe that it
- 22 can be fixed within the Department? And if so, if you could
- 23 share your thoughts on that. Yes, please. General, go
- 24 ahead.
- 25 General Schwartz: I agree that the model for

- 1 employment -- once again, I would try to reemphasize my
- 2 earlier point, that we have migrated perhaps more by chance
- 3 than by design, but the joint task forces are the way we
- 4 operate today. And it seems to me that professionalizing
- 5 those entities in the same way that we have grown the
- 6 special operations national joint task force is the model
- 7 for the future in the other operating domains.
- 8 Senator Ernst: Thank you.
- 9 Admiral Stavridis: I agree with General Schwartz as a
- 10 general position. I think we should make the point that the
- 11 Department of Defense today operates very effectively in a
- 12 number of venues, but we could be better and more efficient
- 13 if we had a model like General Schwartz is suggesting in my
- 14 view.
- 15 Dr. Lamb: I really appreciate the question. I am
- 16 personally fascinated by Secretary Gates and his tenure as
- 17 Secretary of Defense. I think he is a remarkable man, and
- 18 he has been very candid in his memoirs about the experience
- 19 he had leading the Department of Defense at a time of war.
- 20 And I have looked at what he had to say very carefully, and
- 21 I think it is interesting.
- 22 And what really seemed to frustrate him was that even
- 23 though we had troops on the battlefield in contact with the
- 24 enemy, the service chiefs were called to their statutory
- 25 obligation to raise, train, and equip the force of the

- 1 future, and he could not get enough capability in the field
- 2 for the problem we were currently trying to master. And
- 3 this was a source of great frustration to the Secretary, and
- 4 I think it underlies the comment that you just guoted him
- 5 on.
- 6 But for me, the problem there was in part our lack of
- 7 preparedness for irregular warfare. The services, whether
- 8 we are talking about preparing for future irregular
- 9 conflicts or we are engaged in them currently, have always
- 10 given priority to what they consider their core
- 11 responsibility of fighting and winning the Nation's large-
- 12 scale force-on-force conflicts. We have never been very
- 13 good at being prepared for irregular war, and I think that
- 14 is true over the last 60 years.
- So I think we do need some changes there. But for me,
- 16 the solution there is to put someone definitively in charge
- of being prepared for irregular conflict. That is something
- 18 we have not done. We always turn to all the services and
- 19 say you are all equally responsible for being prepared for
- 20 irregular conflict, and they invariably consider a lesser
- 21 included case. So we do not go to those conflicts thinking
- 22 about them, planning for them, prepared for them with the
- 23 niche capabilities, et cetera. I think that is what
- 24 frustrated the Secretary, and I think it can and should be
- 25 fixed.

- 1 Senator Ernst: Yes, and there were a lot of very
- 2 provocative comments that the Secretary has made, and that
- 3 is good because now we are spending the time talking about
- 4 some of those reforms and thoughts that he had in regards to
- 5 irregular warfare, asymmetrical warfare. We really did not
- 6 start talking -- at least I was not so much aware of it
- 7 until about 15 years ago or so when we really started taking
- 8 a look at our force.
- 9 But how can we empower those combatant commanders to
- 10 take that prudent risk and make those decisions on their
- 11 own? Do we empower them to do that, or how can we empower
- 12 them to do that? Any thoughts? Or does it need to be a
- 13 top-down approach? Why can it not be a more bottom-up
- 14 approach in taking some of those risks? General?
- 15 General Schwartz: I think thoughtful combatant
- 16 commanders like Jim Stavridis did exactly that. However, it
- 17 is important to assign missions and to distinguished what
- 18 the priorities are. That is a function of the Pentagon in
- 19 this town. And we have not been terribly good at that.
- 20 Senator Ernst: We have not. Thank you, General.
- 21 Chairman McCain: Senator Manchin?
- 22 Senator Manchin: Thank you, Mr. Chairman.
- 23 And thank all of you for your service.
- 24 And I am going to direct these to General Schwartz and
- 25 Admiral Stavridis.

- I am so appreciative of you all coming and so candid
- 2 with us and tell us exactly what you have seen and what your
- 3 experience. The hard thing I am having a hard time with,
- 4 why either you cannot make these changes when you are in
- 5 that command, when you are on the front line, when you are
- 6 in charge. Is the system bogged down to where we are
- 7 throwing so much stuff at you from here to the
- 8 intermediators that is coming to us? But also, how do we
- 9 keep the separation of the civilian oversight, as we do,
- 10 which is unbelievable, and I am glad we do. And that is the
- 11 concern we might have, the balance.
- But you know, when you have -- the 2010 report by
- 13 McKinsey and Company found that less than 25 percent, or
- 14 one-quarter, of active duty troops were in combat roles,
- 15 with the majority instead performing overhead activities.
- 16 And if you look at it from the standpoint of all the pay
- increases, we are giving the same pay increases to 75
- 18 percent of the people who do not see any action. I think we
- 19 need to know from you now in your role, not being
- 20 constrained in your remarks, how do we get to where you are
- 21 able to make the decision when you are in charge and in
- 22 power. They are saying they cannot be made. The military
- 23 cannot change. Under the Goldwater Act that we had way back
- 24 when, that only we can force it from here. But yet, we have
- 25 thrown so many regulations and so many oversights, that it

- 1 makes it impossible to govern. Where is the intermediate?
- 2 Who makes that decision? Is there a commission that should
- 3 be in place?
- 4 And for those who are concerned about giving total
- 5 power to the Joint Chiefs and the Chairman, still having the
- 6 civilians in the control in an advisory capacity -- I do not
- 7 know how to circumnavigate this.
- 8 And the final question you all two can answer. I know
- 9 that we are talking about NORTHCOM and SOUTHCOM. I would
- 10 ask the same question about National Guard and Reserves. I
- 11 as a Governor, former Governor. I was over my Guard. And I
- 12 would have gladly shared with the President, and if the only
- 13 reason we have the Reserves doing what they are doing and
- 14 the Guard doing what they are doing is because of separation
- of oversight, it does not make any sense to me. We could
- 16 save a tremendous amount and use our Guard and Reserves in a
- 17 much more, I think, effective role and much more cost-
- 18 effective. But I do not see that happening either.
- 19 So whoever wants to chime in, please do.
- 20 General Schwartz: Thank you.
- I actually believe that giving the Chairman, hopefully
- 22 a very capable individual, directive authority, executive
- 23 authority would change the dynamic in what you are saying.
- Senator Manchin: And right now, you are saying that
- 25 that person does not have that.

- 1 General Schwartz: At the moment, he does not have
- 2 that. He can encourage. He can persuade, but he cannot
- 3 compel. And that is not a business-like approach to the
- 4 problem.
- 5 Secondly, with regard to the Guard and Reserve, it is
- 6 at least in part a function of the statutory authority, as
- 7 you are aware being a former Governor and others here on the
- 8 dais. The Reserve is a Title 10 entity which is responsive
- 9 to the service leadership, and the Guard, of course, is
- 10 Title 32 and a little more complex arrangement. And I think
- 11 it is safe to say that at least the Army and the Air Force
- 12 have a preference for maintaining both of those entities
- 13 because access to the Reserve is cleaner and more
- 14 expeditious in most cases than it is in some cases with the
- 15 Guard.
- 16 Senator Manchin: Admiral?
- 17 Admiral Stavridis: A couple of thoughts, sir. You do
- 18 touch on, I think, an important aspect of all this, which is
- 19 reforming pay, benefits. I think those authorities derive
- 20 from all of you here on Capitol Hill based on proposals that
- 21 can come, and I think you are spot-on to look at why do we
- 22 pay an 03 essentially exactly the same amount of money.
- 23 Senator Manchin: Right.
- 24 Admiral Stavridis: It really is in my view ripe for a
- 25 new look. You could drive it from here, but I think in the

- 1 building, they have the authority to build that into
- 2 proposals and move it forward. And I hope you spur them to
- 3 do it.
- In terms of authorities to really make changes, I think
- 5 providing the SecDef more authority to go into government
- 6 and move civilians that have been there, simple authorities
- 7 over the GS system I think would be helpful in creating
- 8 efficiencies.
- 9 In terms of the Guard and Reserve, to the degree the
- 10 committee wants to really lick your finger, reach up, and
- 11 touch the third rail, you could look at an alternative model
- 12 in the maritime world. We have an Air Guard and a land
- 13 Guard, if you will, but we have a Coast Guard. The Coast
- 14 Guard resides, as you all well know, in the Department of
- 15 Homeland Security. It is a very different model. If you
- 16 want to look at efficiencies and structures, that might be
- 17 an interesting model to look at as to whether it pertains in
- 18 the air and on the land, as it seems to work quite
- 19 effectively in my view at sea.
- 20 So these are huge questions. In terms of do you need a
- 21 commission, I would say what this committee is doing right
- 22 now is the basis of driving these thoughts forward, and I
- 23 hope you continue at this.
- 24 Senator Manchin: Thank you, sir.
- 25 Chairman McCain: Senator Fischer?

- 1 Senator Fischer: Thank you, Mr. Chairman.
- 2 Gentlemen, recently a friend and I have been having
- 3 discussions on a 1984 speech by Caspar Weinberger, which of
- 4 course became known as the Weinberger Doctrine. And the
- 5 third rule that he laid out would be that military forces
- 6 should only be committed after the military and political
- 7 objectives have been clearly defined.
- 8 There has been criticism lately because of recent
- 9 campaigns that we have seen in Afghanistan and Syria,
- 10 criticisms that perhaps we have not seen that end result,
- 11 that end state really clearly defined. I think in future
- 12 conflicts, especially when we look at the cyber area, it is
- 13 going to be difficult. It is going to be a challenge there
- 14 to be able to define what is ahead.
- 15 I guess I would like to hear from all of you, if you
- 16 believe these evolving trends are going to change, how we
- 17 look at laying out those objectives in the future, and are
- 18 we going to be able to look at a comprehensive strategy and
- 19 comprehensive plan for the future? Or are we going to have
- 20 to look at it more incrementally as we move forward, and
- 21 what are the risks that would be involved with that? If I
- 22 could start with you, General.
- 23 General Schwartz: As I see it, ma'am, the role of
- 24 civilian leadership is to decide the why and the where, and
- 25 the role of the uniforms is to offer advice on the how.

- 1 Both are essential ingredients of success. And the desire
- 2 for clarity in the why and the where is important to those
- 3 who serve in uniform, without a doubt.
- 4 I think the clear thing here is that there is a need
- 5 for understanding that these are complex circumstances, but
- 6 it is important for there to be support for the mission.
- 7 And if I may offer an unsolicited piece of advice, the
- 8 absence of an authorization for use of military force in the
- 9 current setting is less than ideal.
- 10 Admiral Stavridis: I agree with General Schwartz.
- 11 Clearly, ideally the ideal structure, Senator, would be
- 12 crisp, clear direction from the political level, a coherent
- 13 strategy that has been explained to the American people and
- 14 has a reasonable level of support in our democracy. Then
- 15 the military conducts the detailed planning, which really is
- 16 the precision piece of this going forward. How to make that
- 17 link more effective -- I think a lot of what we are
- 18 discussing today would be helpful in that regard. And the
- 19 degree to which that our military can be given that kind of
- 20 strategic clarity will be the degree to which we are
- 21 successful in our engagements overseas.
- 22 Senator Fischer: So would you both say that that is a
- 23 rule that we as Members of the Senate should continue to
- 24 require to limit risk even into a future where the nature of
- warfare may change?

- 1 Admiral Stavridis: Yes.
- Senator Fischer: And, Dr. Lamb, if you had comments,
- 3 please.
- 4 Dr. Lamb: Yes. One of the jobs I had in the Pentagon
- 5 was helping prepare the contingency planning guidance and
- 6 the defense planning quidance and overseeing the Nation's
- 7 war plans for the Under Secretary of Defense for Policy.
- 8 And one of my observations was that the operational plans
- 9 were crystal clear compared to the strategic guidance that
- 10 we often are able to promulgate. And I know that some of
- 11 your previous witnesses have talked about strategy from the
- 12 point of view of the need for more gray matter, greater
- 13 strategists, better strategists, et cetera.
- 14 My view is a little bit different. I think there are
- 15 political and bureaucratic forces at work that tend to
- 16 militate against strategy. You ask why do we not have a
- 17 clear end state. Why do we not have a clear center of
- 18 gravity? Why do we not marshal our resources against that
- 19 center of gravity, et cetera? I think the answer is
- 20 twofold.
- 21 First of all, in formulating a strategy with that kind
- 22 of clarity, right now there are great political and even
- 23 bureaucratic disincentives for that kind of clarity. So if
- 24 you say there are three ways to attack this problem and we
- 25 are going to choose door B, so to speak, someone will always

- 1 criticize you for not having taken option A or option C. So
- 2 the safer thing to do is to say we are going to do all those
- 3 things. So in the war on terrorism, we are going to
- 4 emphasize strategic communications and we are going to go
- 5 after the terrorists themselves and we are going to dissuade
- 6 state sponsors, and on and on and on. So if you look at all
- 7 of our public strategy documents, they are just long laundry
- 8 lists of objectives, and you do not have that clarity.
- And then when it comes to implementing the strategy,
- 10 you similarly have bureaucratic forces at play. I am firmly
- 11 convinced, after a year of study, that a lot of popular
- 12 opinion about what went wrong in Iraq is in fact wrong.
- 13 Because of the point we just made about formulating
- 14 strategy, if you have real strategy, it really exists not on
- 15 paper but in the minds of the key decision-makers because
- 16 they cannot promulgate the strategy for the reasons I just
- 17 mentioned. So it is in their minds. So if you are going to
- 18 get a clear, cohesive implementation of the strategy,
- 19 everybody has to be working together and have a mind-meld,
- 20 if you will.
- 21 That did not happen in Iraq, and we could go into
- 22 detail on why that did not happen. But the point is we had
- 23 people in one part of our national security system working
- 24 very hard to go in one direction and people on the ground in
- 25 Baghdad supported by other people trying to go in a

- 1 different direction. And the results were not good.
- 2 So when it comes to strategy, I think we have political
- 3 and bureaucratic problems. And it is one reason I favor
- 4 these cross-functional teams. I think they can put the
- 5 strategy together and have a better chance of implementing
- 6 it in a cohesive and a unified way.
- 7 Senator Fischer: Thank you.
- 8 Chairman McCain: Senator Kaine?
- 9 Senator Kaine: Thank you, Mr. Chair.
- 10 And I appreciate Senator Fischer bringing up the
- 11 Weinberger Doctrine and, General Schwartz, your comment
- 12 about the authorization. I think there are many reasons why
- 13 an authorization is really important. One is just the legal
- 14 requirements of Article I and Article II. The second is the
- 15 sign of resolve that you show to adversaries, allies, and
- 16 especially your troops. But the third is sort of the one
- 17 that the Weinberger Doctrine gets at, which is it helps you
- 18 clash out at the beginning what is the mission and goal. So
- 19 traditionally the President would present an authorization,
- 20 but then Congress usually does not just accept it verbatim.
- 21 President Bush presented an authorization right after the
- 22 attack on 9/11. Congress rejected the originally presented
- 23 version and batted it around and came up with something
- 24 different.
- The war against ISIL is one that we started on August

- 1 8th, 2014 -- the President to protect Yazidis on Mount
- 2 Sinjar and to protect the American consulate in Irbil. But
- 3 within a couple of weeks, it was, okay, now we have to go on
- 4 offense, but we did not have the discussion. We did not
- 5 have the administration's presentation of the rationale and
- 6 then the withering cross examination that that deserves. I
- 7 fault the President for not sending an authorization to
- 8 Congress for, I mean, essentially 6 months after the
- 9 beginning of the war, and now it has been 10 months since
- 10 the President sent an authorization. We still really have
- 11 not had the discussion that you ought to have at the front
- 12 end if you are going to ask people to risk their lives. So
- 13 I think the Weinberger Doctrine is a good way to look at it.
- 14 A couple questions just to clarify. You have all
- 15 offered some interesting ideas. So, Admiral Stavridis, the
- 16 cyber force. Just walk through, if you are looking 15 years
- 17 ahead, how does that look. There is a force. There is a
- 18 command. Is there a cyber academy? Most of us have just
- 19 done our service academy nominations. Is there a cyber
- 20 academy? Talk to us about what that would look like.
- 21 Admiral Stavridis: I can. I think it is small. It is
- 22 probably numbered in thousands of members, so quite small,
- less than 10,000 probably.
- I think what you have today is each of the service
- 25 academies is building inside itself a small cyber academy,

- 1 and this is kind of the inefficiency of it that I think we
- 2 need to overcome.
- 3 So, yes, I think there would be an educational
- 4 pipeline. I think there would be a career path. I think
- 5 you would have to get away from some of the, if you will,
- 6 traditional go to boot camp, shave your head, crawl your way
- 7 up a hierarchical organization. I am not sure that is going
- 8 to attract the kind of people we need in a cyber force. So
- 9 it probably has somewhat different paid benefits back to
- 10 Senator Manchin's question a moment ago about are we paying
- 11 the right people the right amount. So this may be a highly
- 12 paid cadre. I think probably the closest analog to what we
- 13 have, quite obviously, is special forces, and that is
- 14 roughly what it would look like.
- I do believe it is time we get after this because I
- 16 think our vulnerabilities are significant in this area.
- 17 Senator Kaine: A second question to another idea you
- 18 had. I thought it was intriguing, the idea of an
- 19 ambassadorial level sort of civilian deputy within the
- 20 COCOMs. And I gather there is sort of an unstated
- 21 assumption that is kind of about the nature of the American
- 22 military mission now that so much of it is diplomacy, you
- 23 know, the nations that want us to send the special purpose
- 24 MAGTFs throughout Africa to train their militaries. I mean,
- 25 so much of it is kind of on the border between diplomacy and

- 1 military or working out with the Japanese the Okinawan
- 2 situation. That is diplomatic as much as it is military.
- 3 Is that sort of your thinking behind the recommendation?
- 4 Admiral Stavridis: It is. The structure, as it was in
- 5 effect when I was at Southern Command and while I was at
- 6 U.S. European Command, I had a military deputy, and I think
- 7 you need to continue to have a military deputy for the
- 8 conduct --
- 9 Senator Kaine: Operations.
- 10 Admiral Stavridis: -- of operations.
- But we also had, instead of a POLAD, a political
- 12 advisor from the State Department -- we had a senior
- 13 ambassador who was our civilian deputy, and he or she was
- 14 capable of doing that kind of engagement, diplomatic work,
- 15 working with host nations, helped resolve innumerable
- 16 individual challenges in, if you will, the smart power side
- 17 of the equation. It is low cost, and it also is a strong
- 18 signal to the interagency about how we want to work together
- 19 to address problems that I think is salutary.
- 20 Senator Kaine: It sounds like a Fletcher School dean
- 21 idea.
- 22 And then, Dr. Lamb, one last question for you. The
- 23 idea that you advocate in your opening testimony about
- 24 having some primary responsibility for irregular war, if it
- 25 is small or if it is large, rather than everybody feeling

- 1 like the irregular wars are sort of a lesser responsibility,
- 2 which means we are not really preparing for regular wars.
- 3 Talk a little bit about that. Elaborate on that if you
- 4 would.
- 5 Dr. Lamb: Yes. I mean, I think that we have a
- 6 parallel with regard to special operations forces in
- 7 general. All the services, before we combined them under
- 8 SOCOM, had special operations forces. They knew what they
- 9 wanted to use them for, et cetera. But they were not a
- 10 priority for the services. So Congress in its wisdom -- and
- 11 I think rightly so -- created USSOCOM, and we now have
- 12 world-class special operations forces particularly for the
- 13 high value target mission. So the direct action, go there,
- 14 go to a site, get what you need done, and come back. We
- 15 have unparalleled capabilities. And those have only
- 16 improved over the last 10 or 15 years.
- But when it comes to working by, with, or through host
- 18 nation forces, we are not quite as sharp. And there is a
- 19 number of complex reasons for that which have been discussed
- 20 by many individuals. But I think the committee needs to
- 21 take that issue up with SOCOM. SOCOM leadership has
- 22 repeatedly told Congress that they think the indirect
- 23 mission is in fact more important and they intend to improve
- 24 their indirect capabilities. But whether or not that is
- 25 happening I think is a matter of great import.

- 1 With regard to the Marine Corps, not every problem,
- 2 unfortunately, not every low end of the conflict spectrum
- 3 problem could be handled with a small special operations
- 4 team. So the question is who in the Department of Defense,
- 5 amongst all our forces, is really responsible for being
- 6 prepared for that mission. Time and time again, we go on
- 7 these missions, whether it is Panama, Somalia, Bosnia. We
- 8 go on these missions not really prepared for them, kind of
- 9 learning on the job, seeing what the situation demands, not
- 10 having the equipment, as Secretary Gates found, not only not
- 11 having the equipment, but not being able to generate it
- 12 quickly in response even to urgent requests from forces in
- 13 the field.
- I think we can do better than that. The Marine Corps,
- 15 from my point of view, would work well in that regard for a
- 16 number of reasons. It has a history of greater involvement
- 17 in these. It is already kind of a joint force with
- 18 amphibious, air, land capabilities that are well integrated.
- 19 So there is a lot of advantages there.
- I think we have come to a point where we cannot afford
- 21 all the duplication we have without some clarification of
- 22 roles in the Department. So this is something that made
- 23 sense to me.
- 24 Senator Kaine: I thank all of you.
- 25 And thank you, Mr. Chairman.

- 1 Chairman McCain: Senator Ayotte?
- 2 Senator Ayotte: Thank you, Chairman.
- I want to thank all of you for being here today.
- 4 Admiral Stavridis, I wanted to ask you about your prior
- 5 position as Commander of SOUTHCOM. And we had testimony
- 6 this spring from General John Kelly, the Commander of
- 7 SOUTHCOM, about how the networks are working over our
- 8 southern border, the sophisticated smuggling networks that I
- 9 can assure you now, unfortunately, are being used to
- 10 devastate my State with how heroin is coming into my State,
- 11 but also the issue that he raised as well was that he
- 12 believed that adherents to ISIS have called for infiltration
- 13 of our southern border.
- So I wanted to ask you about your thoughts on that in
- 15 terms of the use of those networks not only on things like
- 16 drugs, but also as we look at this terrorism challenge. Is
- 17 this something we should be worried about?
- 18 Admiral Stavridis: It absolutely is something we
- 19 should be worried about, Senator. And I have called this
- 20 before convergence, and it is the convergence of these drug
- 21 routes, which are extremely efficient, with the possibility
- 22 of using them to move terrorists or, at the really dark end
- 23 of the spectrum, weapons of mass destruction, along with the
- 24 narcotics. So when those drug routes and those higher-level
- 25 threats converge -- convergence -- I think we are at great

- 1 risk.
- What we should do about it is exactly what we are
- 3 talking about here is think holistically about how you
- 4 create a network to combat a network. This is a very
- 5 sophisticated, private-public, if you will, collaboration
- 6 with international abilities ranging from moving submarines
- 7 with 10 tons of cocaine to aircraft, et cetera, et cetera.
- 8 So you need to bring the interagency to bear. You need to
- 9 bring special operations to bear. And I think this also
- 10 argues for merging NORTHCOM and SOUTHCOM because it creates
- 11 one sphere through which these routes are coming at us. So
- 12 there is a quick basket of ideas.
- 13 Senator Ayotte: I appreciate it. I do not know if
- 14 anyone else wants to comment on that. Thank you.
- 15 I also wanted to, not to pick on you today, Admiral,
- 16 but given your prior position as certainly the Commander of
- 17 NATO, what we have seen recently with Iran -- on October
- 18 10th, Iran conducted a ballistic missile test, a medium-
- 19 range missile, and then also recently we have learned that
- 20 they have tested a missile on November 21st. And as I look
- 21 at these, first of all, a clear violation of U.N.
- 22 resolutions. Also from what we understand, the reports
- 23 suggest that the missile tested last month has a range of
- 24 approximately 1,200 miles. So that would give Iran a
- 25 capability, of course, of hitting eastern Europe and places

- 1 that we are concerned about in the NATO context.
- 2 So I have been asking why are we not responding to
- 3 this, and what do you think our response should be? Should
- 4 there be some response? It strikes me as a very important
- 5 issue because it is already, in light of the JCPOA -- they
- 6 are violating existing U.N. resolutions. And it seems to me
- 7 if there is not some response from us, that they are going
- 8 to continue. Not only this does not bode well for the
- 9 JCPOA, but also to continue to develop ICBM capability, as
- 10 you know, that could go even further to hit the United
- 11 States.
- 12 Admiral Stavridis: As I have said often, Senator, we
- 13 ought to be concerned about Iran's nuclear program, but it
- 14 is a much bigger problem than that. Iran views itself as an
- 15 imperial power dating back 2 and a half millennia. They
- 16 currently are in control of five capitals in this region.
- 17 The JCPOA I think is going to shower resources upon them.
- 18 And so they are a highly dangerous opponent and will be
- 19 going forward.
- 20 So what should we do?
- 21 First, we should hold Iran to the commitments they have
- 22 made in the JCPOA, and if that means that agreement is
- 23 broken and we, therefore, return to a sanctions regime, we
- 24 need to face that.
- Secondly, we need to use all of our clandestine, our

- 1 intelligence capability to truly understand what is going on
- 2 in Iran.
- 3 Thirdly, we need to stand with our Sunni allies in the
- 4 region and, of course, with Israel, who are going to be the
- 5 bulwark against this kind of expansion.
- 6 Fourthly, in Europe, as you well know, Senator -- I
- 7 took you around there -- we looked at the missile defense
- 8 system. We should continue to move in that direction. That
- 9 is kind of a beginning, but I think Iran will continue to be
- 10 a geopolitical threat to the United States.
- 11 Senator Ayotte: Thank you all.
- 12 Senator Reed [presiding]: Thank you, Senator.
- On behalf of Senator McCain, Senator Shaheen.
- 14 Senator Shaheen: Thank you.
- 15 Thank you all very much both for your service and for
- 16 being here today.
- 17 Dr. Lamb, you talk about flattening the structure of
- 18 the military to set up special teams that have a commitment
- 19 to mission as opposed to what often interagency groups bring
- 20 to task. It seems to me that I really like that idea. I
- 21 think that one of the things -- if we look at the private
- 22 sector, one of the things they figured out is that the top-
- 23 down approach, a hierarchical approach, is not as good for
- 24 decision-making for what they are trying to accomplish as a
- 25 team approach.

- But what are the challenges -- and I guess maybe I
- 2 ought to ask both General Schwartz and Admiral Stavridis
- 3 what you think the challenges are of trying to move from
- 4 what has been such a traditional hierarchical structure to
- 5 one that allows that team approach to really address the
- 6 challenges that we are facing? And, General Schwartz, do
- 7 you want to start?
- 8 General Schwartz: Sure. You know, I do not know,
- 9 ma'am, if the committee has had Stan McChrystal before you,
- 10 but here is an example, maybe the best recent example, of
- 11 how the team approach produces extraordinary results with
- 12 his organization. And he has written two books and what
- 13 have you. But the bottom line is that Chris Lamb's model
- 14 does work. There is evidence of that. And there is a new
- 15 generation of military leadership that gets it I think, and
- 16 we should support that, encourage it, and through your
- 17 oversight, mandate it.
- 18 Senator Shaheen: Admiral Stavridis?
- 19 Admiral Stavridis: A core question going forward. And
- 20 what mitigates against it, what makes it difficult, Senator
- 21 -- and you know this -- is the built-in structure of the
- 22 military. This is an organization where a million people
- 23 get up in the morning and put on the same outfit. I mean,
- 24 this is why we call it "uniforms." And you have got to
- 25 start cracking that mentality. We will -- I think General

- 1 Schwartz is spot-on -- because there is a generational
- 2 shift.
- 3 The question here is this is not an on and off switch
- 4 between a highly chaotic, Silicon Valley-like entity or a
- 5 Prussian-style military. It is a rheostat. We need to dial
- 6 that rheostat more toward team approaches, interagency,
- 7 international cooperation, strategic communication, all of
- 8 those smart power things without losing our ability to
- 9 deliver lethal combat power. I think we can do that. We
- 10 need to think of it as a rheostat that is turning in the
- 11 direction you identified.
- 12 Senator Shaheen: And, Dr. Lamb, you talked about the
- 13 Coast Guard having a different model. One of the things I
- 14 remember after the BP oil spill, when they were talking
- 15 about the response to rescuing people -- no. I am sorry.
- 16 Not the oil spill. Hurricane Katrina -- was that the Coast
- 17 Guard was very effective in responding I think both there
- 18 and on the BP oil spill because they were able to make
- 19 decisions on the spot without having to check with anybody.
- 20 So what is different about the Coast Guard model, and
- 21 how do you transfer what is effective about that? Or should
- 22 we be looking at transferring what is effective about that
- 23 to address some of the other challenges of building that
- 24 teamwork capacity?
- Dr. Lamb: Well, when I was involved in the project,

- 1 national security reform, we spent some time looking at the
- 2 Coast Guard model. And the Coast Guard I think would say --
- 3 and Admiral Stavridis could speak to this, I think, more
- 4 directly. But I think they would say their leadership model
- 5 and their training and education model is different than
- 6 some of the other services. Because of their very nature,
- 7 they are used to thinking about problems in a cross-
- 8 functional way. They both serve the Department of Defense
- 9 in war and law enforcement in peacetime. And so they have
- 10 some natural advantages in that respect.
- 11 Senator Shaheen: So can you explain? When you say
- 12 their leadership model is different, their training is
- 13 different, what is different that gives them that different
- 14 ability to focus? Admiral Stavridis?
- 15 Admiral Stavridis: They begin their lives at the Coast
- 16 Guard Academy with an appreciation of the fact that they are
- 17 but one entity within the Department of Homeland Security,
- 18 which has 19 different entities within it. They know they
- 19 straddle that border between Title 10 combat operations, in
- 20 which they participated heroically many, many times, as well
- 21 as law enforcement, as well as rescue at sea, as well as
- 22 environmental. So their mission, their ethos, their
- 23 mentality is simply one of cooperation, working together.
- 24 It is hard to find a better integrated organization than the
- 25 Coast Guard. I think we could learn a lot from that.

- General Schwartz: And they have much greater
- 2 experience with State and local leadership than typically do
- 3 the active duty forces.
- 4 Senator Shaheen: Thank you all very much.
- 5 Senator Reed: Thank you.
- 6 On behalf of Chairman McCain, Senator Sullivan, please.
- 7 Senator Sullivan: Thank you, Mr. Chairman.
- 8 And thank you, gentlemen, for being here today and your
- 9 years of service, decades of service to our country.
- I wanted to focus a little bit, Admiral, on your
- 11 recommendation perhaps with regard to NORTHCOM and SOUTHCOM
- 12 merging with a bit of a focus on the Arctic. General
- 13 Schwartz, I know that you have spent a lot of time in Alaska
- 14 and so have a sense of that. We have had a lot of
- 15 discussions here. Senator King and I and the chairman and a
- 16 lot of others are interested very much in what is going on
- in the Arctic. Actually in this NDAA, there is a
- 18 requirement for the Secretary of Defense to put together an
- 19 Arctic operations plan for the first time, which we think is
- 20 progress.
- 21 But just given your background -- actually any of the
- 22 panelists. You know, one of the many challenges that we
- 23 have up there is that when you look at the Arctic, it is the
- 24 classic scenes of different combatant commands where its
- 25 forces are OPCOM to PACOM. Its advocate is NORTHCOM, and

- 1 its threat is primarily in EUCOM.
- 2 So you, I am sure, all noticed the very massive Russian
- 3 buildup. Actually just yesterday there was another article
- 4 about a new missile defense system that they are putting in
- 5 the Arctic, four new combat brigades, 11 new airfields, on
- 6 and on and on, huge exercises. And we are looking at
- 7 actually getting rid of the only airborne BCT in the entire
- 8 Asia-Pacific and in the Arctic. And as you know, General
- 9 Schwartz, that takes a lot of training to have your forces
- 10 up there well trained to be able to operate in 30 below
- 11 zero.
- So I would just really appreciate your views on the
- 13 Arctic, but also how that NORTHCOM/SOUTHCOM merger idea
- 14 would either enhance or merger idea would either enhance or
- 15 diminish -- we do not think it should be much more
- 16 diminished. We think there should be more attention on the
- 17 Arctic given all that is going on up there right now. Any
- 18 panelist, I would welcome your thoughts on it.
- 19 General Schwartz: I think it is important that the
- 20 Arctic be assigned as a mission to one of the combatant
- 21 commands. That has yet to happen. It should transpire.
- 22 That is point one.
- Point two is a more pedestrian concern, but we only
- 24 have one operating icebreaker, Senator Sullivan. This is
- 25 unthinkable for the United States of America. And clearly,

- 1 that Coast Guard platform -- we need more of that, and we
- 2 need the other kinds of wherewithal that allow us to assert
- 3 our sovereignty in the Arctic.
- 4 Senator Sullivan: We have one and the Russians have 40
- 5 I believe.
- 6 General Schwartz: Understood, sir.
- 7 Admiral Stavridis: You are absolutely correct. Russia
- 8 has 38 plus 2 icebreakers. The Chinese, who are not an
- 9 Arctic power, to say the least, have 16 icebreakers, et
- 10 cetera. The Danes, a nation of 5 million, have eight
- 11 icebreakers. So this is actually beyond a pedestrian point.
- 12 It is a very good one.
- 13 I agree with assigning it to U.S. Northern Command in
- 14 its entirety. I think that it would not be diminished by
- 15 the merger between NORTHCOM and SOUTHCOM. When you look at
- 16 the level of activity to the south and what NORTHCOM is
- 17 doing, I think that could easily be folded into a unified
- 18 command responsibility, and I think it would be valuable
- 19 because it would further solidify our integration with
- 20 Canada, with whom we ought to be partnering in a very
- 21 significant way, as you know better than anybody, in the
- 22 north.
- Lastly, we should be working with NATO to ensure that
- 24 NATO perceives this is a NATO frontier. This is a NATO
- 25 border. Canada and the United States are NATO nations. We

- 1 need to think of that border as importantly as we do as the
- 2 borders of the Alliance in eastern Europe and to the south
- 3 on the Mediterranean.
- 4 Senator Sullivan: General Schwartz, could you talk to
- 5 just the strategic location of those forces up there?
- 6 Because, you know, Admiral, when you talk about having it
- 7 completely with regard to unified under NORTHCOM, do you
- 8 think that the operational forces should also be under
- 9 NORTHCOM, given that they are very oriented towards the
- 10 Asia-Pacific? And as General Schwartz -- and I know you
- 11 know, sir, the strategic location of Alaska is such that
- 12 those forces, those air forces, those Army forces, can
- 13 really be anywhere in the northern hemisphere within 7-8
- 14 hours whether it is Korea or the Baltics. Would you mind
- 15 just talking on that for a bit, sir?
- 16 General Schwartz: Quickly, if the constraint of
- 17 assigned forces to the domestic four-star can be overcome,
- 18 that makes sense. To assign those assets in Alaska that
- 19 have the opportunity both to reinforce America's claims in
- 20 the Arctic, as well as be deployable for other missions that
- 21 might be assigned is certainly the right approach.
- 22 Admiral Stavridis: I would only add we talk a lot
- 23 about the unified command plan, which kind of divides the
- 24 world among the combatant commanders. The other important
- document, Senator, is called the "Forces For" document,

- 1 which actually apportions and assigns those forces. It is
- 2 renegotiated typically every 2 years. I think as General
- 3 Schwartz indicates, that would be a very important new way
- 4 to think about force assignment.
- 5 Senator Sullivan: Thank you.
- 6 Thank you, Mr. Chairman.
- 7 Chairman McCain [presiding]: Senator King?
- 8 Senator King: Thank you, Mr. Chairman.
- 9 A couple of quick points. Amen on the icebreakers. It
- 10 is preposterous that we do not have more significant
- 11 icebreaker capacity, particularly given what is happening in
- 12 the Arctic in terms of the opening up of the ice.
- 13 Secondly, would all of you agree that it would be
- 14 advantageous to the U.S. to accede to the Law of the Sea
- 15 Treaty?
- Admiral Stavridis: Because I am an Admiral, I get to
- 17 go first.
- 18 Senator King: Yes, sir.
- 19 Admiral Stavridis: Yes.
- 20 Senator King: Thank you.
- 21 General, do you agree?
- 22 General Schwartz: And airmen agree with that.
- 23 Senator King: Thank you.
- 24 Dr. Lamb?
- 25 Dr. Lamb: Agnostic, sir.

- 1 Senator King: Agnostic on the treaty? All right. Two
- 2 to one. We will take those odds.
- 3 Chairman McCain: Could I ask why agnostic?
- 4 Dr. Lamb: I really have not studied it at length, but
- 5 I am concerned about our willingness to protect freedom of
- 6 navigation around the world and the way other nations are
- 7 interpreting their littoral areas and their control over
- 8 them. I am not quite sure of the impact of the Law of the
- 9 Sea Treaty on those kinds of issues.
- 10 Senator King: My concern is that other nations are
- 11 going through that process, making claims, and we are
- 12 standing on the sidelines. Your gestures will not show up
- 13 in the record. Could you --
- 14 Admiral Stavridis: I agree with your assessment. We
- 15 are much better inside that treaty than outside it in terms
- 16 of protecting our rights. We could have a long hearing on
- 17 the Law of the Sea, and I am sure such has been done. But
- 18 call me back up on that one anytime.
- 19 Senator King: Thank you.
- 20 I want to associate myself with the comments of Senator
- 21 Ayotte on this Iran ballistic missile test. It is hard to
- 22 interpret exactly what they are doing. There is some
- 23 thinking that maybe this is the struggle of the hardliners
- 24 and they are trying to torpedo the agreement. On the other
- 25 hand, it seems to me it would be very dangerous for us to

- 1 establish the precedent of blinking at violations. I am a
- 2 great believer that implementation is as important as
- 3 vision. I voted for the JCPOA but it was based upon an
- 4 understanding and expectation that it would be scrupulously
- 5 enforced. And I think this could be interpreted as an early
- 6 test of our resolve. And, General, I take it you agree.
- 7 General Schwartz: I certainly do. And if it is a
- 8 violation of U.N. resolutions, we should call that out
- 9 without hesitation.
- 10 Senator King: Thank you.
- 11 Admiral Stavridis: I agree with General Schwartz. I
- 12 agree with your comment as well.
- I have been hopeful of this agreement, but I am
- 14 increasingly skeptical that it will be the right step for
- 15 U.S. national security. This certainly gives weight to the
- 16 negative side of that equation.
- 17 Senator King: Thank you.
- Dr. Lamb, in your prepared remarks, you talked about
- 19 how we need to be thinking about unconventional warfare and
- 20 suggested several areas, one that I want to emphasize. You
- 21 talk about persuasive communication. In my view, there are
- 22 two fronts to the war with ISIS. One is military. The
- 23 other is ideas. And we are badly losing the war of ideas.
- 24 And it strikes me that that is a huge gap in our national
- 25 strategy. I know we are doing some things, but my sense is

- 1 it does not have the priority that it should. Would you
- 2 agree with that?
- 3 Dr. Lamb: Yes, I absolutely would. I think there are
- 4 two issues here, one substantive and one organizational.
- 5 Organizationally we are not well organized to treat the
- 6 issues of communications. We get public affairs, public
- 7 diplomacy, and then what used to be called psychological
- 8 operations.
- 9 Senator King: And USIA was abolished 15 years ago.
- 10 Dr. Lamb: Yes, yes. We do not have a dedicated
- 11 organization to deal with this anymore, and we are confused
- 12 about the difference between these different -- Americans
- 13 are very sensitive about government control or use of
- 14 information. And we are losing this game. I would actually
- 15 concur.
- 16 On the substantive front, we are having some real
- 17 political problems with deciding the best way to deal with
- 18 the issue, as General Dempsey once said, with the fact that
- 19 some terrorists happen to also be Muslim and Islamic. And
- 20 we want to emphasize that the Islamic religion is peaceful
- 21 and tolerant and so on and so forth, but we do have this
- 22 strain within that religion that sees the world differently.
- 23 And our ability to deal with that in a forthright way has
- 24 really been handicapped.
- 25 And actually I am surprised by the number of senior

- 1 leaders who have said in their memoirs from their tours of
- 2 duty during the past 15 years that this is an Achilles heel
- 3 for us and that we still have not effectively identified the
- 4 enemy we are up against and how best to deal with that, how
- 5 to turn that issue back into something that the Islamic
- 6 world debates itself about what it is going to do about this
- 7 virulent strain within it.
- 8 So I think substantively and organizationally we are
- 9 really on our heels in this regard. I could not agree more.
- 10 Senator King: And ultimately that is where this battle
- 11 will be won or lost in my view because there are now -- pick
- 12 a number -- 100,000, 200,000 jihadists. There are 1.6
- 13 billion Muslims. That is the battlefield. And it can only
- 14 be won within the Muslim community, but we have to lead it,
- 15 it seems to me, or we at least need to work with the
- 16 worldwide non-jihadist Muslim community.
- 17 General?
- 18 General Schwartz: Senator, I just would close by
- 19 saying we need to give voice to those who have escaped ISIL-
- 20 occupied areas.
- 21 Senator King: It seems to me a natural.
- 22 General Schwartz: Yes.
- 23 Admiral Stavridis: Just one last thought, if I could.
- 24 It is a battlefield, but it is also a marketplace. And we
- 25 have to compete. We have to recognize that. That is a very

- 1 important aspect of how we communicate. We are pretty good
- 2 at dominating markets. We should bring some of those skills
- 3 to bear.
- 4 Senator King: It is ironic in the extreme that we are
- 5 the people that invented Facebook and Twitter and all of
- 6 those things, and we are losing on that front.
- Well, thank you very much, gentlemen. I have a lot of
- 8 other questions about the organization, but we will get to
- 9 those later. Thank you.
- 10 Chairman McCain: If you would like to ask an
- 11 additional question --
- 12 Senator King: One additional question on -- and maybe
- 13 this is for the record. We are talking about combining
- 14 several of the combatant commands, NORTHCOM and SOUTHCOM,
- 15 AFRICOM and Europe. Are there any savings to be had? And
- 16 if so, we would like to quantify them because in fiscal year
- 17 2017 we are going to face about a \$15 billion shortfall from
- 18 where we would like to be. And that is real money, and we
- 19 are going to have to find some places where it can be saved
- 20 in staff, personnel, noncombatant kind of areas. So perhaps
- 21 you have an immediate response or for the record.
- 22 General Schwartz: In the business world, we call those
- 23 synergies. And I cannot offer a number, but certainly there
- 24 are those in the Department who could answer that question
- 25 for you and I would recommend you press for that.

- 1 Admiral Stavridis: Yes. There are savings. And I
- 2 would recommend not only pressing the Department but getting
- 3 somebody on the outside to take a good look at that.
- 4 Senator King: Thank you very much. I appreciate your
- 5 testimony.
- 6 Chairman McCain: I appreciate the comments about the
- 7 hearts and minds, but first you have got to kill them. And
- 8 as long as the perception is out there that they are
- 9 winning, then they will also win in other areas as well. I
- 10 believe that one of the reasons why these young men are most
- 11 attracted is that they think they are joining a winning
- 12 cause, and events such as at San Bernardino and Paris are
- one of the greatest recruitment tools they have. And until
- 14 we beat them on the battlefield, I think that our messaging
- 15 efforts will be severely hindered, but I also agree that it
- 16 is just going to be a long fight on using the most advanced
- 17 technologies.
- And I would also point out that we still have a big
- 19 problem with the ability now of ISIS to be contacted and
- 20 direct a young man or young woman to a secure site. That is
- 21 just not right. That is not right. And I see heads
- 22 nodding. As Senator King mentioned, that is not recorded.
- 23 Senator King: I agree with the chairman on both
- 24 fronts. Thank you.
- 25 Chairman McCain: Admiral?

- 1 Admiral Stavridis: I agree completely. And I think
- 2 that this also gets into the cyber piece of this. There are
- 3 ways that we can track, control, eradicate in the cyber
- 4 world.
- 5 I also particularly agree the leading edge of this has
- 6 to be hard power. In the long game, it is a mix of hard
- 7 power, smart power. But at the moment, dealing with the
- 8 forces that are arrayed against us from the Islamic State,
- 9 we have to go hard now.
- 10 Chairman McCain: Doctor, did you have any comment?
- 11 Dr. Lamb: For myself, I think this is just a good
- 12 example of what I was referring to on the indirect approach
- in special operations, the military information support
- 14 forces in SOCOM. If you look at how they are raised,
- 15 trained, and equipped, it is not to the same levels of
- 16 proficiency that the other aspects of SOCOM are. So I think
- 17 there is room for improvement there.
- 18 Chairman McCain: Well, I thank you.
- And the Doctor is a graduate of the institution in
- 20 which you are presently employed when it had the correct
- 21 name. I want to thank you for your continued good work.
- 22 And I thank the Admiral and General for your many years
- 23 of service.
- This will probably be the conclusion of a series of
- 25 hearings that we are having as we try to address this whole

- 1 issue of reform, ability to get into the challenge, to meet
- 2 the challenges of the 21st century. I believe that
- 3 Goldwater-Nichols could never have come from within the
- 4 Pentagon. I think everybody agrees with that. And we
- 5 intend, on a bipartisan basis, to work with the Pentagon and
- 6 Secretary Carter as closely as we possibly can, but I think
- 7 it is pretty well known that we have to lead. And it is not
- 8 to the exclusion of the Pentagon, but it certainly is a
- 9 responsibility that I think that we have. And I am proud of
- 10 the modest measures that we have taken in this year, but I
- 11 think next year is really where we can really make a
- 12 significant impact. And the series of hearings that we are
- 13 now concluding with I think gives us an excellent basis for
- 14 the kinds of reforms that need to be made.
- 15 It just is disappointing to our constituents when I go
- 16 back to Arizona and somebody asks me about a \$2 billion cost
- overrun of one weapon system. It is hard to defend, hard to
- 18 justify. And then when we see the combat capabilities going
- 19 down in organizations and yet the staffs and support going
- 20 up and we are still unable to conduct an audit successfully
- 21 of the Department of Defense and no one can tell this
- 22 committee how many contract personnel are employed, there is
- 23 a pretty large task ahead of us. But if we pursue the
- 24 principles that you have recommended to us today, some of
- 25 those other aspects of this challenge will follow.

So you have been very helpful. And, Admiral, I asked the panel yesterday if you all would prepare notes of condolences to be delivered to Senator Reed on Saturday afternoon, it would be much appreciated. [Laughter.] Admiral Stavridis: Con gusto. Senator Reed: Go Army. [Laughter.] Admiral Stavridis: Go Navy. Chairman McCain: We are adjourned. [Whereupon, at 11:12 a.m., the hearing was adjourned.] 2.3