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Before the

Subcommittee on Strategic Forces

COMMITTEE ON  
ARMED SERVICES

## **UNITED STATES SENATE**

HEARING TO RECEIVE TESTIMONY ON THE GLOBAL  
NUCLEAR WEAPONS ENVIRONMENT

Wednesday, March 8, 2017

Washington, D.C.

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HEARING TO RECEIVE TESTIMONY ON  
THE GLOBAL NUCLEAR WEAPONS ENVIRONMENT

Wednesday, March 8, 2017

U.S. Senate  
Subcommittee on Strategic  
Forces  
Committee on Armed Services  
Washington, D.C.

The subcommittee met, pursuant to notice, at 2:32 p.m.  
in Room SR-222, Russell Senate Office Building, Hon. Deb  
Fischer, chair of the subcommittee, presiding.

Committee Members Present: Senators Fischer  
[presiding], Inhofe, Cotton, Donnelly, Heinrich, Warren, and  
Peters.

1           OPENING STATEMENT OF HON. DEB FISCHER, U.S. SENATOR  
2 FROM NEBRASKA

3           Senator Fischer: Welcome. The hearing will come to  
4 order.

5           We have just had a vote called here in the Senate, so  
6 we are going to have a 15-minute recess so members of the  
7 committee can vote, and then we will come back and start the  
8 hearing.

9           So, we are in recess. Thank you.

10          [Recess.]

11          Senator Fischer: The committee will come to order.

12          The committee meets today to receive testimony on the  
13 global nuclear weapons environment. As the first formal  
14 hearing of the Strategic Forces Subcommittee for this year,  
15 the objective is to set the stage for the committee's review  
16 of the President's Fiscal Year 2018 budget request as it  
17 pertains to nuclear matters.

18          We are joined today by three well-known former  
19 government officials, all experts in the field of nuclear  
20 deterrence and arms control.

21          Dr. Keith Payne was the principal architect of the 2001  
22 Nuclear Posture Review in the George W. Bush Defense  
23 Department.

24          Dr. Gary Samore served as Senior Advisor to President  
25 Obama on nuclear and arms control policy.

1           And Retired Air Force General Robert Kehler is our  
2 military expert, having served as Commander of U.S.  
3 Strategic Command.

4           This hearing comes as the Administration begins work on  
5 a new Nuclear Posture Review. I believe the policy  
6 foundations of our nuclear deterrent and modernization  
7 programs remain sound, and I agree with the hope you  
8 expressed in your opening statement, General Kehler, that,  
9 quote, "The upcoming Nuclear Posture Review validates these  
10 plans and restates the urgency needed to carry them out."

11           I look forward to hearing more from our witnesses about  
12 their perspectives on the NPR and what they believe the key  
13 objectives or considerations should be.

14           I'd also like to welcome the new members we have on  
15 this committee. I look forward to working with each of you  
16 and continuing the bipartisan consensus on the need for  
17 modernizing our nuclear enterprise.

18           With that, I would like to turn to our ranking member,  
19 Senator Donnelly, for any opening remarks he would care to  
20 make.

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1           STATEMENT OF HON. JOE DONNELLY, U.S. SENATOR FROM  
2 INDIANA

3           Senator Donnelly: Thank you, Madam Chair. I want to  
4 start today by welcoming you as the new Chair of our  
5 subcommittee. Senator Fischer has been a leader on many of  
6 these issues for years, and I look forward to working  
7 together with you to maintain our strong bipartisan  
8 consensus on the importance of the U.S. nuclear deterrent  
9 and the need for continued U.S. leadership on nuclear non-  
10 proliferation.

11           Let me also thank our witnesses for joining us today to  
12 talk about the state of some of the world's nuclear powers,  
13 not just Russia and China but North Korea, India, and  
14 Pakistan. We've asked our witnesses to review and assess  
15 what has changed in the world since the last Nuclear Posture  
16 Review in 2010.

17           We know Russia has become increasingly aggressive  
18 toward the U.S. and our allies. We now have public reports  
19 of serious violations of the INF Treaty, a landmark  
20 agreement signed by President Reagan and Mikhail Gorbachev  
21 in 1987.

22           Likewise, we have reports that North Korea is within  
23 reach of developing an ICBM. Whether that missile can carry  
24 a nuclear warhead is still in debate, but we must prepare  
25 for the worst case.

1           Meanwhile, China is developing a nuclear-armed  
2 submarine to patrol the Pacific, holding the U.S. at risk  
3 and impacting the stability of South and Southeast Asia.

4           These are all troubling developments that have come to  
5 the fore since the 2010 NPR. I look forward to the  
6 testimony of our witnesses on these pressing issues and  
7 their implications for U.S. national security.

8           Before I close, I want to note that over the past  
9 several Congresses we have worked hard to keep the  
10 modernization of our nuclear deterrent bipartisan. This  
11 involves recapitalizing all three legs of our triad over the  
12 next 20 years and major life extension programs for our  
13 warheads. Our planned nuclear modernization is a long-term  
14 acquisition program, and we cannot lose sight of the  
15 fundamental importance of this ongoing effort as we move  
16 forward in this Congress.

17           The young airmen in the ICBM fields and on our bombers,  
18 and sailors on deterrence patrol at sea, are counting on us  
19 to replace their aging systems. I hope we can meet their  
20 expectations and get them the modernized triad they so badly  
21 need.

22           I want to thank all of our new members. Welcome  
23 aboard.

24           Thank you, and I look forward to today's briefing.

25           Senator Fischer: Thank you, Senator Donnelly.

1           We now turn to our witnesses. Your full statements  
2 will be made part of the record, so I ask that you provide  
3 brief opening comments of 4 to 5 minutes, after which we  
4 will proceed with 7-minute rounds.

5           General Kehler, welcome. Nice to see you.

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1           STATEMENT OF GENERAL C. ROBERT KEHLER, USAF [RET.],  
2           FORMER COMMANDER, UNITED STATES STRATEGIC COMMAND

3           General Kehler: Thank you, Madam Chair. Nice to see  
4           you as well, and thank you for inviting me. Senator  
5           Donnelly, thanks to you as well, sir, and thanks to the  
6           members of the subcommittee. I say this in my prepared  
7           remarks, but this subcommittee provided an awful lot of  
8           support to me personally when I served at Strategic Command  
9           and before that at Air Force Space Command, and especially  
10          to the men and women that I was privileged to command. So  
11          thank you for all of that.

12          I am going to be presenting my personal perspective  
13          today, having taken the uniform off now a couple of years  
14          ago. I'm not representing the Department or STRATCOM or the  
15          Air Force today. I'm representing my own views and  
16          opinions. To preserve as much time as possible for your  
17          questions, I just want to highlight three points for you to  
18          consider.

19          First, as tempting as it is to call today's situation a  
20          new Cold War, I think it's very important to remember that  
21          we live in far more complicated and uncertain times today.  
22          The diverse strategic threat that we face is far more  
23          complex than the singular threat we faced during the Cold  
24          War. To effectively deter dangerous actors who have widely  
25          different motives, objectives, and capabilities requires us



1 to carefully tailor our deterrent strategies, our plans, and  
2 our capabilities to match them. One size does not fit all.  
3 And to effectively assure our allies and partners of the  
4 extended deterrence guarantee requires us to coordinate our  
5 strategies and plans with their unique perspectives and  
6 needs as well.

7 Second, nuclear weapons are not gone from world  
8 affairs, and it doesn't look to me like they're going to be  
9 gone anytime soon. Since the end of the Cold War, the  
10 United States has deemphasized the role and prominence of  
11 our nuclear weapons. Along with Russia, we have  
12 dramatically reduced the number of deployed weapons and  
13 supporting stockpile. We've postured the remaining force to  
14 be far less aggressive than what I experienced when I began  
15 serving in the mid-1970s.

16 Combat experience has shown us that conventional and  
17 other forces can now be realistically considered in some  
18 scenarios and again some potential targets where nuclear  
19 weapons were once the preferred or, in some cases, the only  
20 approach. We don't have to rely on our nuclear weapons in  
21 quite the same way today as we did during the Cold War,  
22 without question. Twenty-first Century strategic deterrence  
23 must be based on more than nuclear capabilities.

24 Nevertheless, nuclear weapons continue to perform a  
25 critical foundational role in our defense strategy and the

1 strategies of our allies and partners. Nuclear weapons  
2 remain the ultimate guarantor of our national survival.  
3 Nuclear weapons prevent the coercive and, more importantly,  
4 the actual use of nuclear weapons against us and our allies.  
5 Nuclear weapons constrain the scope and scale of conflict.  
6 Nuclear weapons obviate the need for our allies to acquire  
7 their own. Nuclear weapons force potential adversary  
8 leaders to stop and ponder the consequences of their actions  
9 before they act. In my personal view, history shows that no  
10 other weapons have the same deterrent effect as nuclear  
11 weapons.

12 Third, the U.S. is at a critical point regarding the  
13 future of our nuclear capability. Over the last 10 years we  
14 have conducted 18 to 20 studies -- it depends on which ones  
15 you count -- on our nuclear posture and our nuclear forces  
16 and the issues that we've had in our nuclear forces. Some  
17 of those I participated in directly, by the way, and all  
18 have said the same thing: the systems are at the end of  
19 their service lives. We are rapidly expending whatever  
20 margins are left, and we are out of time.

21 Over the last few years a basic consensus has emerged  
22 between the executive and the legislative branches regarding  
23 the way ahead to modernize the weapons, the delivery  
24 platforms, the critical infrastructure that supports them,  
25 and the supporting command, control, and communications

1 systems. In my view, the most important step Congress can  
2 take is to get on with it.

3 Finally, clarity and consistency are as important now  
4 as they ever were during the Cold War. In my personal  
5 observation, since the end of the Cold War policymakers  
6 across administrations have sent conflicting signals  
7 regarding the continued value of the U.S. nuclear deterrent  
8 and the necessity and cost of its modernization. Committing  
9 to the plan and moving forward to execute it will do much to  
10 demonstrate our resolve. Deterrence credibility demands it.

11 Again, Madam Chair, thank you for inviting me, and I  
12 look forward to your questions.

13 [The prepared statement of General Kehler follows:]

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1 Senator Fischer: Thank you, General.

2 Dr. Payne, welcome.

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1           STATEMENT OF DR. KEITH B. PAYNE, PROFESSOR AND  
2 DEPARTMENT HEAD, DEFENSE STRATEGIC STUDIES, MISSOURI STATE  
3 UNIVERSITY

4           Dr. Payne: Thank you, Madam Chair. It's an honor to  
5 speak here today, and I too am presenting my own personal  
6 views.

7           The starting point for my remarks is to observe that  
8 the threat environment has worsened dramatically since the  
9 2010 Nuclear Posture Review. Moscow is now highly motivated  
10 to correct the perceived geopolitical injustices supposedly  
11 forced on it by the West during the Cold War. The Putin  
12 regime is rearming Russia and changing European borders,  
13 with the goals of overturning the despised Western post-Cold  
14 War order and restoring Russia's power position.

15           Further, Russia believes it has exploitable political  
16 and military advantages that enable it to coerce and deter  
17 the West with nuclear first-strike threats or limited  
18 nuclear employment. These perceived advantages, combined  
19 with Moscow's doubts about NATO's resolve, now threaten  
20 deterrence and our key allies.

21           This is not speculation about some dark future; it is  
22 here, and it is now. President Putin has boasted recently  
23 that he could have Russian troops in five NATO capitals in  
24 two days. What are the implications of these beliefs for  
25 Western deterrence requirements?

1           First, the West must end Russian misperceptions that  
2 limited nuclear employment is a winning strategy, and that  
3 Moscow's resolve and readiness to break the West are greater  
4 than the West's resolve and readiness to prevent it from  
5 doing so. We can help in this regard with declaratory  
6 policies and relevant exercises that signal Western resolve  
7 and capabilities in Moscow.

8           In addition, a basic need is for U.S. nuclear and  
9 conventional forces of sufficient size and flexibility to  
10 adapt, as necessary and over time, to an increasingly  
11 hostile and very surprising threat environment. Western  
12 efforts to deploy high-readiness non-nuclear defense  
13 capabilities for NATO frontline states will likely reduce  
14 Moscow's perceptions of exploitable advantage and strengthen  
15 the credibility of our extended deterrence commitments.

16           Eight additional steps I'll mention in this regard  
17 include, first, modernizing the U.S. nuclear triad, possibly  
18 to include some very low-yield missile options, and  
19 strengthening U.S. command and control systems.

20           Second, deploy national ballistic missile defense to  
21 defeat any possible limited nuclear attack strategy. This  
22 is important given North Korean mounting capabilities in  
23 this regard.

24           Third, advancing the delivery date of the nuclear  
25 capable F-35 and B61-12.

1 Fourth, retaining the unique capabilities of the B61-  
2 11.

3 Fifth, increasing NATO DCA, dual-capable aircraft,  
4 survivability and readiness.

5 Sixth, expanding DCA burden-sharing among NATO allies.

6 Seventh, increasing the active and passive defense of  
7 key NATO nodes and assets.

8 And eighth, ensuring that NATO conventional forces can  
9 fight and survive in the context of limited Russian nuclear  
10 strikes.

11 Finally, the development of new U.S. nuclear  
12 capabilities should not be ruled out or crimped early by  
13 policy.

14 Increased U.S. nuclear force numbers may well be  
15 unnecessary, but the currently planned nuclear force posture  
16 was deemed adequate in 2010 on the assumptions that, one,  
17 Russia would abide by its arms control agreements; and two,  
18 that there would be no call for additional capabilities.  
19 The Russians have now violated that former condition, and  
20 the latter is now open to question.

21 There's much more to say about these issues, but to  
22 stay within time I'll stop here and look forward to your  
23 questions.

24 [The prepared statement of Dr. Payne follows:]

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1 Senator Fischer: Thank you, Dr. Payne.

2 Dr. Samore, welcome.

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1           STATEMENT OF DR. GARY S. SAMORE, EXECUTIVE DIRECTOR  
2           FOR RESEARCH, BELFER CENTER FOR SCIENCE AND INTERNATIONAL  
3           AFFAIRS, HARVARD KENNEDY SCHOOL

4           Dr. Samore: Thank you, Madam Chair. Thank you,  
5           Senator Donnelly. I want to thank the subcommittee for  
6           giving me this opportunity to talk about the emerging  
7           nuclear context.

8           The first thing I want to say is that in my view the  
9           basic nuclear landscape is not likely to change dramatically  
10          in the next 5 to 10 years in terms of the number of  
11          countries that possess nuclear weapons. As you all know,  
12          nine countries have nuclear weapons -- the U.S., Russia,  
13          China, U.K., France, India, Pakistan, Israel and North Korea  
14          -- and all of those countries view nuclear weapons as  
15          essential to their defense and their foreign policy  
16          objectives. None of them are prepared to give them up, and  
17          all of them will take the necessary steps to maintain,  
18          modernize, and expand their nuclear forces in order to meet  
19          their interests. In other words, we're not likely to see  
20          any significant move toward nuclear disarmament in that time  
21          period.

22          At the same time, I think the number of additional  
23          countries seeking to acquire nuclear weapons is very  
24          limited. The focus, of course, is on Iran. But if the  
25          current nuclear agreement remains in force, then Iran's

1 ability to develop nuclear weapons is constrained for at  
2 least 10 to 15 years.

3 Beyond Iran, the proliferation risk is really limited  
4 to the Middle East, countries that feel directly threatened  
5 by Iran like Turkey and Saudi Arabia, and the Far East,  
6 countries like Japan and South Korea that feel directly  
7 threatened by North Korea.

8 In all of these cases, I think there are a combination  
9 of technical constraints and political constraints that give  
10 us a good ability, give us good policy tools to prevent  
11 those countries, which are friends and allies and partners  
12 of the United States, from developing their own nuclear  
13 weapons, in particular if we maintain our strong security  
14 ties and extended deterrence with respect to those  
15 countries.

16 In terms of direct nuclear threats to the United  
17 States, Russia and China will obviously remain the dominant  
18 existential threats over the next 5 to 10 years. Both  
19 Russia and China will continue to modernize their nuclear  
20 forces, especially in terms of deploying a new generation of  
21 submarines and road-mobilized ICBMs in order to assure a  
22 survivable nuclear force that can overcome U.S. missile  
23 defense capabilities and, from their standpoint, have an  
24 assured ability to inflict unacceptable damage.

25 Assuming the U.S. proceeds with its own modernization

1 program, neither Russia nor China will be able to achieve  
2 any option to attack the United States without being  
3 destroyed themselves. In other words, I think the nuclear  
4 balance between the U.S. and Russia and between the U.S and  
5 China is likely to remain robust over the next 5 to 10  
6 years.

7 In terms of arms control, the new START Treaty helps to  
8 maintain strategic stability between the U.S. and Russia in  
9 terms of imposing verifiable limits on deployed strategic  
10 warheads and delivery vehicles, but I doubt we'll see any  
11 dramatic breakthroughs in bilateral arms control for the  
12 time being.

13 In particular, Russia will not accept additional limits  
14 on its offensive forces unless the U.S. accepts quantitative  
15 and qualitative limits on missile defense, and I don't think  
16 we can do that because of emerging threats, in particular  
17 North Korea.

18 At the same time, I think Moscow will want to keep the  
19 new START Treaty in place and probably extend it because it  
20 provides reliability and transparency.

21 The INF Treaty, which Russia has violated by deploying  
22 prohibitive ground-launched cruise missiles, is probably  
23 unsalvageable, but the strategic consequences are modest.

24 Finally, in terms of new nuclear threats on the  
25 horizon, North Korea's program to develop a nuclear-armed

1 ICBM is clearly the most significant and the most immediate.  
2 I think it's difficult to calculate or predict when North  
3 Korea might achieve that capability, a reliable nuclear-  
4 armed ICBM, but certainly with the pace of testing they've  
5 been carrying out, something in the next 5 to 10 years seems  
6 like a reasonable guess.

7       Unfortunately, our ability to prevent North Korea from  
8 achieving that capability with military or diplomatic tools  
9 is very limited, although we might be able to delay the  
10 program. In the end, I think deterrence and missile defense  
11 is probably going to be our most effective response.

12       So the bottom line, nuclear weapons will remain an  
13 enduring feature of the international security landscape and  
14 U.S. defense for the foreseeable future. As a result, I  
15 think we'll need to maintain and modernize our nuclear  
16 forces, as the other two witnesses have said. We can debate  
17 details and numbers, schedules and particular weapons  
18 systems, but having a robust and effective nuclear force is  
19 likely to be important for the foreseeable future.

20       Thank you, Madam Chair.

21       Senator Fischer: Thank you.

22       I know that all of you have mentioned this explicitly  
23 or implied it in your testimony, but I would ask you to  
24 respond to these questions.

25       In 2010 the NPR stated, "Retaining all three triad legs

1 will best maintain strategic stability at a reasonable cost  
2 while hedging against potential technical problems or  
3 vulnerabilities." Do each of you believe this statement  
4 remains accurate and that the new NPR should validate the  
5 triad's lasting importance?

6 Dr. Samore: Yes.

7 Dr. Payne: Yes.

8 General Kehler: Yes.

9 Senator Fischer: Thank you. Do you all believe the  
10 NPR should also validate the current modernization plans?

11 Dr. Samore?

12 Dr. Samore: As I said, I think there's room for debate  
13 about schedules and deadlines and particular weapons systems  
14 and numbers, and this is mainly because of budgetary  
15 considerations. I mean, it's going to be a very expensive  
16 modernization program, and I think it would be legitimate  
17 for Congress to take a look at that program and see whether  
18 or not Congress wants to tinker with the cost by extending  
19 deadlines, reducing numbers and so forth.

20 I don't have a particular view about that, but my point  
21 is that I think that there's a lot of flexibility, or  
22 there's at least some flexibility in that program which one  
23 might want to employ for reasons of saving some money.

24 Senator Fischer: If the budget constraints were not an  
25 issue, would you change your answer?

1 Dr. Samore: No. I think the only hesitation I have is  
2 because of budgetary issues.

3 Senator Fischer: Okay, thank you.

4 Dr. Payne?

5 Dr. Payne: I think getting on with the modernization  
6 plan of record, with the schedule that's now there, is  
7 important, and I certainly think that the NPR should endorse  
8 that.

9 Senator Fischer: General Kehler?

10 General Kehler: Madam Chair, given the conditions, if  
11 the budget was not a constraint, I would want to accelerate  
12 some things, actually. But I support the program as it's  
13 been laid out.

14 Senator Fischer: Okay, thank you.

15 In his 2011 message to the Senate on the new START  
16 Treaty, President Obama promised to accelerate the design  
17 and construction of the plutonium and uranium facilities  
18 within the Department of Energy's nuclear enterprise, and  
19 for a variety of reasons these facilities remain incomplete.

20 Do each of you believe that the country requires a  
21 responsive nuclear enterprise, including in plutonium and  
22 uranium facilities, and that the new NPR should confirm this  
23 need?

24 Dr. Samore: Yes.

25 Dr. Payne: Yes.

1 General Kehler: Yes.

2 Senator Fischer: Very good. Okay. This is easy,  
3 isn't it?

4 General Kehler, given your experience as a former  
5 STRATCOM commander, can you speak to the value of an air-  
6 launched cruise missile, the value that that provides, and  
7 your thoughts on the importance of the LRSO program?

8 General Kehler: Madam Chair, we have well over 30  
9 years of experience now with long-range missiles associated  
10 with bombers, and what we found both in a conventional sense  
11 where we've used them in combat many, many, many times over  
12 the intervening years, and certainly in the value that they  
13 have played for deterrence, I fully support the requirement  
14 to have a long-range missile associated with our bomber  
15 force. It allows us to take a standoff platform like the B-  
16 52 and keep it viable, and it takes a penetrating platform  
17 like the B-21 and makes it more lethal.

18 So in both of those cases, this is not incompatible. I  
19 do think the LRSO has a bad name, actually, because it isn't  
20 necessarily a long-range standoff weapon. The questions  
21 that I've gotten about this have been why does a penetrating  
22 bomber need a standoff weapon? And it's really misnamed.  
23 We've had long-range missiles associated with penetrating  
24 bombers back to the B-52. So when the B-52 used to  
25 penetrate, it also had long-range missiles on it.

1           So to me, this is not incompatible. It's about  
2 viability of a platform like the B-52 in a standoff role,  
3 and it's about lethality of a penetrating bomber that allows  
4 us to cover a greater part of the target base, hold that at  
5 risk, and ultimately enhance deterrence.

6           Senator Fischer: Thank you.

7           The Obama Administration, like its predecessors,  
8 considered taking the U.S. ICBMs off alert and rejected that  
9 policy, maintaining the current alert posture.

10          General Kehler, do you believe any changes should be  
11 made to the current alert posture?

12          General Kehler: I do not. In my view, as long as a  
13 nuclear-armed adversary has the ability to strike us  
14 quickly, we should retain the capability to respond quickly.  
15 The issues about hair triggers are typically about use-or-  
16 lose and concerns about vulnerability. As I said before,  
17 this is not the Cold War. That situation doesn't look quite  
18 the same as it did in the Cold War. That would require a  
19 massive attack from the Russians. No one else can do that  
20 besides the Russians. The Chinese can't do that. And it's  
21 really about making sure that we have taken steps both to  
22 plan around a use-or-lose kind of scenario. If you think  
23 about this, the plans for new START will eventually have the  
24 bulk of our weapons aboard submarines. And it's also about  
25 -- not about the trigger, it's about the trigger finger, and



1 it's about making sure that the decision-maker has decision  
2 time. So a lot of work has been done to extend the amount  
3 of decision time associated with those kinds of decisions  
4 that might come with time urgency associated with them.

5 I think this problem looks different today than it did  
6 in the Cold War. I think that we get tremendous deterrent  
7 value out of having the ability to respond quickly. An  
8 attacker would have to take that into account. And I think  
9 that in the context of the triad, I believe that retaining  
10 ICBMs in a ready-to-use posture is the right way to go,  
11 especially since they're aimed at broad ocean areas.

12 Senator Fischer: Thank you, sir.

13 I would ask all of you, do you believe that the United  
14 States forces are adequately configured to respond to  
15 Russia's deescalate strategy in the event that the  
16 deterrence would fail? And what additional steps should we  
17 be considering to, I guess, better dissuade Russia from  
18 continuing down that road?

19 Dr. Samore?

20 Dr. Samore: I think the most important way to prevent  
21 the Russians from employing that strategy is a very strong  
22 conventional defense in NATO. I think the steps that have  
23 been taken since the Russian seizure of Crimea and the  
24 invasion of Ukraine are important steps. I think we should  
25 take a look at other things we need to do, in particular to

1 defend the Baltic states, so the Russians understand that  
2 any conventional aggression against those countries would  
3 mean war against NATO.

4 We don't want to find ourselves in a situation where  
5 the Russians have invaded the Baltic states, we're in a  
6 conflict with them and they use low-yield nuclear weapons,  
7 which are very important to them, much more important to  
8 them than they are to us in terms of our overall defense  
9 strategy.

10 So I think deterrence is the name of the game here. We  
11 don't want to be responding to a Russian use of nuclear  
12 weapons in Europe. But if they were to do that, yes, I  
13 think we have sufficient forces to respond.

14 Senator Fischer: Thank you.

15 Dr. Payne?

16 Dr. Payne: I would only add to what Gary said, that  
17 increasing the NATO DCA survivability and readiness would be  
18 an important step. Right now, according to open sources,  
19 the highest level alert for NATO DC aircraft is several  
20 weeks. My guess is -- in fact, I'm sure that making that  
21 much better -- I don't know if we'll need to go back to  
22 quick action alert status of the Cold War, but doing much  
23 better than a couple of weeks or weeks for our readiness  
24 would be extremely important to help discourage Moscow from  
25 thinking that it can engage in a limited nuclear strike.

1 Senator Fischer: Thank you, sir.

2 General Kehler?

3 General Kehler: I agree with both of my colleagues. I  
4 would only add a couple of points.

5 One is I think this says something about the wisdom of  
6 keeping U.S. weapons in Europe committed to the NATO  
7 alliance. So I would make sure that the B-61 life extension  
8 program is funded and that we are watching that very  
9 carefully to make sure that that's proceeding apace.

10 The second thing I would do is I would look carefully  
11 at the plans for the F-35 and its deployment and nuclear  
12 certification, when that is supposed to happen and when  
13 maybe we ought to have that happen. We might want to do  
14 something different there. I don't know that for sure, but  
15 that's something for us to think about.

16 And the other thing we ought to at least have on our  
17 plate is how this might shape the future of missile defenses  
18 in Europe.

19 Senator Fischer: Thank you very much.

20 Senator Donnelly?

21 Senator Donnelly: Thank you, Madam Chair.

22 Dr. Samore, you mentioned about low yield being  
23 important to the Russians. Do you believe that our  
24 capabilities can also match on the low yield end, if  
25 necessary?

1           Dr. Samore: I think that the B-61 gives us a flexible  
2 response and will allow us to use nuclear weapons in Europe  
3 in that scenario. Again, we don't want to be confronted  
4 with a situation in Europe where tactical nuclear weapons  
5 are being used. I'm very skeptical that that can be  
6 controlled. I think there would be extremely high risk that  
7 that would escalate to general nuclear conflict. So the  
8 name of the game here is to prevent a war in Europe, and I  
9 think conventional deterrence is the most important line of  
10 defense.

11           So I think, as my colleagues have suggested, I would  
12 look at things to do to strengthen our conventional  
13 capability. I don't particularly see any need for us to  
14 develop a new low-yield weapon, but I'm open to it. If NATO  
15 military experts study the issue and believe, especially in  
16 light of Russian violation of the INF Treaty, we need new  
17 systems for military purposes, then I think that's something  
18 we should do, and I don't myself see any immediate  
19 requirement for it.

20           Senator Donnelly: General Kehler, do you believe that  
21 we have the ability in the low-yield area at the present  
22 time?

23           General Kehler: I would agree with Dr. Samore on this  
24 one. I think that one of the features of the modernization  
25 plans that have been laid out is retaining an ability to

1 hedge our bets here. I think the B-61 does give us quite a  
2 bit of capability here, especially the life-extended B-61.  
3 I think that that gives us something at the lower-yield end  
4 here.

5 I would also agree, though, if in studying this and  
6 watching what's happening with the Russians a need arises,  
7 then we ought to be in a position to field something that's  
8 of lower yield. So that says to me that what we have to do  
9 is make sure that the weapons complex can handle that kind  
10 of task if it's given to them. That gets back to the  
11 features of investing in the infrastructure to make sure  
12 that the weapons complex could do that if and when it  
13 becomes necessary.

14 Senator Donnelly: General, do you believe, when you  
15 hear about the Russians talking about a low-yield strategy,  
16 escalate to deescalate, do you think, in the experience  
17 you've had, that Vladimir Putin believes that, or is he  
18 rattling sabers, that that is a viable strategy?

19 General Kehler: Senator, that's the \$64,000 question.  
20 I don't know. The way I was always taught to think about  
21 deterrence was there are two ways that you look at an  
22 adversary: one is capability; the other is intent.  
23 Capability doesn't change quickly; intent does. So all I  
24 can go on is what they say publicly, and then watch  
25 carefully about what their capabilities are. In this case,

1 it looks like they are wanting to deploy some capabilities  
2 that would back that up. That would concern me if I was  
3 still wearing a uniform because I don't know what their  
4 intent really is, but if they have the capability to do  
5 something, that would worry me.

6 I also believe, though, like my colleagues, this is  
7 very dangerous ground for them to be on, and I think that --  
8 you know, a predecessor of mine some years ago said  
9 something that stuck with me. All this theory, thankfully,  
10 has never been tested. So I think one of the issues here is  
11 the risk that goes with nuclear matters writ large. It's  
12 why they have deterrent value, by the way. But I think  
13 there's tremendous risk here in the way the Russians are  
14 talking about their weapons.

15 Senator Donnelly: In other words, take him at his word  
16 and prepare for it.

17 General Kehler: As a military person, I couldn't do  
18 that any other way, actually.

19 Senator Donnelly: Dr. Payne?

20 Dr. Payne: We're reading tea leaves, like back in the  
21 Cold War when the Sovietologists tried to figure out who was  
22 thinking what.

23 My view, and I would look at this very seriously, is  
24 that the Putin regime writ large does have some confidence  
25 in its escalate-to-deescalate approach. You can see that

1 this approach goes back to its exercises, back to ZAPAD-99,  
2 where according to open sources it used four cruise  
3 missiles, and after it used four nuclear-armed cruise  
4 missiles the West stopped.

5         So what you see are exercises that look like they're  
6 reflecting escalate-to-deescalate. I read the Russian  
7 military daily. The Russian military talks about escalate-  
8 to-deescalate in very precise terms. So it looks like the  
9 exercises go along those ways. It looks like they're  
10 developing forces exactly for that and have developed forces  
11 for that. So on that basis I have to conclude, with General  
12 Kehler, that to prepare for something more benign than that  
13 would be imprudent.

14         Senator Donnelly: General, do you believe it's in the  
15 national security interest of the United States to continue  
16 implementation of the new START Treaty? And if so, why?

17         General Kehler: I do. I took command at STRATCOM  
18 right after the new START was ratified. My predecessor was  
19 asked if he supported it; he did. I was asked that  
20 subsequent to that. I supported it as well. I still  
21 support it. I think that we have gotten tremendous benefit  
22 out of those kinds of agreements with the Russians over the  
23 years, provided that the Russians comply. It looks to me --  
24 and again, all I see is what's publicly available today --  
25 that our benefit here in terms of on-site inspections, in

1 terms of data exchanges, in terms of the very interchanges  
2 that are required to execute these agreements provide value  
3 to us. I also think that it has reduced the threat that we  
4 have to face.

5 So I believe for a long time that there are two ways to  
6 reduce the threat. One is by reducing the weapons, and the  
7 other is by deterring the remainder.

8 Senator Donnelly: Dr. Payne, I wanted to ask you a  
9 little bit about North Korea's KNOA. In focusing on a low-  
10 yield capability, as you look at this it seems that the  
11 challenge -- the primary issue may not be so much targeting  
12 it but finding it. So do you think that a strategy  
13 involving a conventional strike capability which could  
14 destroy it has the advantage of leveraging significant  
15 investments we've already made? Do you think that's a  
16 sufficient strategy or not?

17 Dr. Payne: I think it's necessary but not sufficient,  
18 necessary but insufficient. I would like to see that, but  
19 in addition strengthening U.S. missile defense capabilities,  
20 particularly near term for Hawaii, for example, which may be  
21 one of the most near-term targets that the North Koreans  
22 could reach, and there are ways we could do that that I  
23 think are relatively inexpensive, largely with the assets we  
24 have now. So I'd like to see a combination of both  
25 offensive options but also defensive options just in case



1 the offensive options aren't available or are seen as too  
2 provocative at the time.

3 Senator Donnelly: Thank you.

4 Thank you, Madam Chair.

5 Senator Fischer: Senator Inhofe?

6 Senator Inhofe: Thank you, Madam Chair.

7 You know, when you're out away from Washington and  
8 around real people and you remind them that we have reduced  
9 our capability since the Cold War by 70 percent or something  
10 like that, while other countries, the obvious ones -- China,  
11 Russia, and others -- it was pointed out, as Dr. Samore has  
12 said, some nine different countries have been increasing  
13 theirs, it's a real shock treatment to them because they  
14 look at that as our vulnerability.

15 Now, I would first of all just ask you, is it a lack of  
16 priority by not just the last administration but going back  
17 to the Clinton Administration, that we have not put our  
18 emphasis on this deterrent? Back when you had your uniform  
19 on, how would you have answered that at that time?

20 General Kehler: Sir, I think it's a combination of a  
21 lot of reasons. One, when the Cold War ended, there was a  
22 sense I think that we had crossed some line that perhaps we  
23 didn't need these weapons in quite the same way that we  
24 needed them in the Cold War. I think certainly the  
25 conventional conflicts that we got engaged in, certainly

1 after 9/11 I think had, from my observation anyway, a lot to  
2 do with the focus that we placed on the nuclear deterrent.  
3 I think we put all of that, to use an Air Force term, on  
4 auto-pilot, and I think over time we had benign neglect. As  
5 a result of that, we now find ourselves in a time when  
6 there's a sense of urgency that has to go with  
7 recapitalizing this.

8 Senator Inhofe: Well, yes. But now has your thinking  
9 changed, since we now are looking at North Korea where its  
10 leadership are somewhat mentally defective, totally  
11 unpredictable? Does that change your thinking in terms of  
12 priorities?

13 General Kehler: It does, and that's why I think you  
14 will have some very difficult priority decisions to make in  
15 any budget that comes forward, I'm sure. But I think  
16 modernizing and recapitalizing the nuclear deterrent and its  
17 supporting elements needs to go to the top of that priority  
18 list. I think now is the time.

19 Senator Inhofe: Okay. And, Dr. Samore, without your  
20 notes you quickly responded as to the nine countries. Give  
21 us the top four in terms of your concern, of your list of  
22 nine.

23 Dr. Samore: Well, the top three that directly threaten  
24 the United States are Russia, China, and North Korea. The  
25 other countries have nuclear weapons for their defense, but

1 it's hard to imagine a situation in which they would  
2 directly threaten the United States.

3 Senator Inhofe: The third one you mentioned, North  
4 Korea, that's the one that's unpredictable. Doesn't that in  
5 some ways concern you more?

6 Dr. Samore: It's very unpredictable. And as a  
7 consequence I think missile defense has to be developed in  
8 order to ensure that we can protect ourselves against that  
9 North Korean threat.

10 Senator Inhofe: Okay. Now, on modernization, are we  
11 looking at capabilities, or are we looking at safety? The  
12 reason I ask that, a very prominent former war fighter told  
13 me a few minutes ago that back when a lightning strike might  
14 have come carrying a weapon, that could have activated it,  
15 and now some of the modernization has made that safer so  
16 that they're not carrying around something that could be  
17 activated, or even deployed.

18 Is safety a major area that we have been sacrificing by  
19 allowing other countries to progress further than we are?

20 Dr. Samore: Well, others may be better equipped to  
21 answer that than I am. My impression is that our current  
22 nuclear weapons are extremely safe. So I think  
23 modernization is really more a question of developing new  
24 delivery systems --

25 Senator Inhofe: I apologize because I was directing

1 that to General Kehler.

2 Dr. Samore: Oh, I'm sorry.

3 General Kehler: Sorry. This is almost like choosing  
4 between the children, because I am not concerned that our  
5 weapons would be hard to use if they needed to be used. I  
6 am concerned that security is different today than it was  
7 when these weapons were designed and fielded for the Cold  
8 War.

9 Insider threats, for example, other things that we see  
10 every day in the news in other places, cyber threats, I  
11 think we need to take those very, very seriously, and we  
12 need to be sure that we have done everything we need to do  
13 to address whatever concerns we find in those regards.  
14 Safety is the same kind of thing where I don't think you can  
15 separate that.

16 I don't believe there's an issue today with the ability  
17 for the United States to use those weapons if so ordered.

18 Senator Inhofe: Okay. Dr. Payne, a few minutes ago  
19 you made the statement -- I didn't get the rest of your  
20 statement. You said we need to look carefully at the F-35.  
21 In what context was it that you made that statement a minute  
22 ago?

23 Dr. Payne: Well, I'd very much like to see the  
24 nuclear-capable F-35 and the B61-12 combination advanced to  
25 an earlier entry date, if that's possible, and there's some

1 evidence that it's possible.

2 Senator Inhofe: Okay, good. Well, that is  
3 significant. Some don't agree with that.

4 The last question I'd have for you, General Kehler. In  
5 your opening statement you made a comment. About two years  
6 ago you took your uniform off, so you have some different  
7 ideas now than you had at that time, or different  
8 priorities. What do you see differently now that your  
9 uniform is off than you did at that time?

10 General Kehler: Senator, I actually don't have a  
11 different view about the way forward than I did then. I am  
12 certainly more concerned. The United States hadn't slapped  
13 the table about an INF violation by the time I left that was  
14 about to happen but it hadn't happened yet. That concerns  
15 me.

16 The plans that are in front of you today I had a hand  
17 in shaping, both as a member of the Nuclear Weapons Council  
18 and as the Commander of Strategic Command. I had a hand in  
19 shaping the policies that are sitting there in front of you  
20 today, the nuclear employment strategy that's sitting in  
21 front of you today, and by and large I still support that  
22 range of things that were put in place.

23 Senator Inhofe: Thank you, Madam Chair.

24 Senator Fischer: Thank you, Senator.

25 Senator Heinrich?

1           Senator Heinrich: General Kehler, congratulations on  
2 the liberty that your uniform provides for this setting. I  
3 want to go back to new START for a second. New START allows  
4 the United States to conduct 18 on-site inspections of  
5 Russian strategic nuclear forces each year, and we've done  
6 that, I believe, every year since the treaty was signed.

7           In addition, the treaty maintains an extensive database  
8 and mandates unique identifiers of Russia's strategic  
9 forces.

10           What are some of the benefits of, in particular the  
11 intelligence benefits, of having inspections and database  
12 and unique identifiers? And what would be the implications  
13 if we were to lose that?

14           General Kehler: I would contrast -- well, first of  
15 all, Senator, visibility and insight I think are  
16 tremendously important, as is the face-to-face contact that  
17 our inspectors and Russian inspectors get with counterparts  
18 and the way this forces us to interact.

19           So I think over time, not just with new START but  
20 because of a number of agreements like this, we've developed  
21 a pretty comprehensive understanding of the Russians, and I  
22 think they've got a pretty comprehensive understanding of  
23 us, and that makes a difference perhaps in some places.

24           Senator Heinrich: Which is important in a deterrence  
25 posture, right?

1           General Kehler: Absolutely. It's important for  
2 deterrence, and I think it would be really important in a  
3 crisis.

4           Senator Heinrich: So if we pulled out or if Russia  
5 were to pull out of new START, would our strategic stability  
6 be improved, or would it be dramatically worse?

7           General Kehler: Well, it depends.

8           Senator Heinrich: Or somewhere in-between?

9           General Kehler: I think it depends. But I would  
10 contrast this interchange that we have with the Russians via  
11 arms control versus interchanges that we have with the  
12 Chinese, for example, over their forces, which we don't have  
13 really. One of the things that I always wanted to have was  
14 a military-to-military exchange with my counterparts in  
15 China, and we just were never able to make that happen.

16           So there are things that I knew about the Russians and  
17 their nuclear forces and capabilities and safeguards and  
18 those kinds of things that I wished I had known about the  
19 Chinese. So I think if you withdraw from those things,  
20 then --

21           Senator Heinrich: Are they technical things, or  
22 technical things and a better understanding of intent and  
23 posture?

24           General Kehler: Both. I've always believed that  
25 bringing us together in some way, military to military

1 particularly but technical to technical as well, diplomacy  
2 to diplomacy, makes some sense. But I don't believe, by the  
3 way, just to finish the thought, that this gets done at any  
4 cost. I think that there are consequences. If the Russians  
5 decide that they're going to cheat, then I think there ought  
6 to be consequences about that.

7         Senator Heinrich: What do you see as the priorities  
8 for the next administrator at NSA in order to sustain the  
9 stockpile and assure that the NSA labs have the capabilities  
10 that they need to meet our military requirements?

11         General Kehler: I think they've got to stay on the  
12 pathway. There have been issues, as I know the subcommittee  
13 is well aware of the issues that there have been to  
14 modernize the weapons complex. That is a unique, one-of-a-  
15 kind industrial complex. It does something that no other  
16 industrial complex can do. So I think the investment in  
17 that is very important, but there have been real concerns  
18 about the costs of that modernization and how it's been  
19 carried out, et cetera.

20         I think that, like with any major acquisition, we've  
21 got to settle on some requirements, we've got to slap the  
22 table, and then we've got to invest in it and get going.

23         Senator Heinrich: So interrelated with that, as you're  
24 well aware, Los Alamos Lab is the designated Center of  
25 Excellence for plutonium research. In your view, does our



1 current plutonium strategy maintain the critical skills and  
2 the capability to support that modernization and production  
3 of plutonium?

4 General Kehler: I think so. At least when I left the  
5 movie two-plus years ago, I thought we were on the right  
6 pathway. I am concerned about the skill set writ large.  
7 It's not just about plutonium. It's about keeping design  
8 skills in the complex. It's about keeping other skills in  
9 the complex. Just doing life extension programs doesn't  
10 necessarily keep it in the complex. And if the complex is a  
11 hedge strategy for us, which is what we've said, then my  
12 view is it's not wise for us to be a nuclear power with no  
13 capability to produce a weapon if we ever had to.

14 Senator Heinrich: So for all three of you, earlier  
15 this week we saw North Korea launch four ballistic missiles  
16 that traveled approximately 1,000 kilometers towards Japan.  
17 The missiles landed about 200 miles from their coastline in  
18 the Sea of Japan. Do you believe that our missile defense  
19 system now deployed in South Korea serves as an effective  
20 deterrent? And how could other capabilities, capabilities  
21 like cyber or directed energy, change the calculus of our  
22 adversaries in terms of missile defense? That's for  
23 whoever, jump ball. But not all at once.

24 Dr. Samore: I'll start. It's very difficult to defend  
25 South Korea, because even if you had in place an effective

1 missile defense system, it's so vulnerable to artillery and  
2 rockets --

3 Senator Heinrich: It's right there, 30 miles from the  
4 border.

5 Dr. Samore: -- that any conflict would be devastating  
6 to our Korean allies. I do think that THAAD is justified  
7 because of the North Korean threat beyond Seoul, and also  
8 including U.S. military bases there. I can't answer the  
9 military question of whether the current battery is  
10 sufficient. The North Koreans, as you say, demonstrated  
11 earlier this week that they can fire a salvo of liquid-  
12 fueled systems. As they develop their solid-fueled systems,  
13 they will be even more capable.

14 So missile defense is not going to be the complete  
15 answer to defending Korea. I think there's a different  
16 situation with the United States. I think for the  
17 foreseeable future, North Korea's ability to attack the  
18 United States with long-range missiles is going to be very  
19 rudimentary. This is not Russia or China in terms of  
20 resources and technical capability.

21 So I think our investment in national missile defense,  
22 including regional components, is a reasonable strategy for  
23 trying to defend ourselves if there should be war. I still  
24 think deterrence is an incredibly important feature of  
25 preventing war from breaking out, and I think the North

1 Koreans recognize that they would be destroyed in a  
2 conflict. So there's a strong incentive on their part not  
3 to start a war. But it could escalate from a local  
4 conflict, and I think that's why it's so important that we  
5 invest in national missile defense against a limited threat  
6 from North Korea.

7 Senator Heinrich: Thank you all.

8 Senator Fischer: Thank you, Senator.

9 Senator Cotton?

10 Senator Cotton: Thank you.

11 I want to go back over some of the previous answers and  
12 questions and clarify or elaborate on some.

13 General Kehler, you mentioned slapping the table when  
14 it came to intermediate-range nuclear forces treaty  
15 violations by Russia. We've now done that. Our government  
16 has said repeatedly that Russia is in violation of that  
17 treaty, although we haven't done much more than slap the  
18 table.

19 Could you explain to us the military significance of  
20 Russia possessing a ground-launched cruise missile system,  
21 one that is apparently road mobile as well, and maybe also  
22 how the United States and NATO should consider responding to  
23 such a blatant violation of the INF Treaty?

24 General Kehler: Senator, I think the military impact  
25 of that at some level remains to be seen. It remains to be

1 seen how many they deploy, how they go about doing this. So  
2 assuming for a moment that they deploy some number of these,  
3 I think it has implications for us in many ways. It has  
4 implications for the alliance in many ways, just like  
5 deployment of intermediate-range nuclear forces did during  
6 the Cold War. I think that the alliance will have to make  
7 some determination about how to go forward.

8 I think there are a lot of things that can be done.  
9 Certainly, you can pursue all the avenues in the INF Treaty  
10 to try to get all of this back on some kind of track, and I  
11 don't know honestly where that is. So again, all I read  
12 about this is what I see in the paper, so I don't know where  
13 that process stands.

14 Another thing that you can do, of course, as Dr. Samore  
15 said earlier, you can enhance our conventional presence and  
16 capabilities in Europe. We can make sure that our nuclear  
17 commitment to the alliance and the alliance's nuclear  
18 commitment and all the pieces that go with that remain firm.

19 Ultimately, we can decide whether or not to deploy  
20 additional capabilities there, whether those are additional  
21 defensive capabilities that are specifically intended to  
22 deal with the cruise missile threat, or ultimately whether  
23 these are additional offensive capabilities that we would  
24 have to deploy. I think all of those need to be considered  
25 as we go forward here while diplomacy continues to work its

1 way forward.

2 Senator Cotton: Dr. Payne, do you have anything to add  
3 to that question?

4 Dr. Payne: Yes. I think the Russian violation of the  
5 INF Treaty with the cruise missile actually is important  
6 because it gives them a capability that's neither short-  
7 range nor strategic to back up their escalate-to-deescalate  
8 threats. If we're going to engage in nuclear threats  
9 explicitly, which they are doing and have done vis-à-vis  
10 NATO, having that kind of option that doesn't require a  
11 short-range system to support it and doesn't require them  
12 going to their strategic forces to support it I believe is  
13 an important rung in the escalation ladder that they appear  
14 to be filling with that capability and for that purpose.

15 So I believe it's a validation for them of their  
16 escalate-to-deescalate threat, which is something we need to  
17 counter and deny.

18 Senator Cotton: Dr. Samore?

19 Dr. Samore: Let me add one thing. Russia's violation  
20 of the INF Treaty frees us from any obligation to abide by  
21 the treaty. So if we decided for military reasons that we  
22 needed to deploy systems that are currently prohibited by  
23 the treaty, I think we're free to do so. That's a military  
24 judgment that NATO should make. But I also think it's  
25 important to recognize that there would be some political

1 cost to doing that, that especially in Germany and the  
2 Netherlands and other countries this would be controversial.

3 So we need to weigh the military benefits of deploying  
4 systems if they're necessary against the potential political  
5 complications and figure out a strategy for overcoming those  
6 political complications.

7 Senator Cotton: Thank you.

8 Dr. Samore, in your testimony you said that low-yield  
9 nuclear weapons are much more important to Russia than to  
10 the United States. Would you specify for the record why  
11 that's the case?

12 Dr. Samore: Well, it's really a reverse of the  
13 situation during the Cold War. During the Cold War, we saw  
14 the Russians as having a conventional advantage, and  
15 therefore we needed tactical nuclear weapons in order to  
16 counterbalance that advantage. The Russians now see NATO as  
17 having an advantage in the conventional area, and they see  
18 tactical nuclear weapons as necessary to balance that  
19 advantage.

20 Senator Cotton: Dr. Samore, you said that, quote, "we  
21 ought to be open to" at least research and possibly  
22 development of new low-yield nuclear weapons.

23 Dr. Payne, in your written statement, you seem to be  
24 open to research and development of all kinds of new nuclear  
25 capabilities, if necessary, given the threat we face.

1           Dr. Payne: I think we ought to be open to looking at  
2 it, but I particularly think that the very low-yield option  
3 is something we ought to consider. I agree with Dr. Samore  
4 on that.

5           Senator Cotton: General Kehler, do you agree with the  
6 two doctors?

7           General Kehler: Again, I think whether or not we need  
8 to deploy a new nuclear weapon remains to be seen. What I  
9 would not want is to be sometime forward deciding that we  
10 need to do that and not have the ability to do it. So I  
11 would --

12          Senator Cotton: In terms of the nuclear  
13 infrastructure?

14          General Kehler: Yes. So I would want to keep whatever  
15 work in the pipeline that is appropriate to keep the skill  
16 set there. Someone mentioned prototyping, et cetera. There  
17 might be some good ways that we can keep the right skill set  
18 there.

19          Senator Cotton: Dr. Samore, you said that North  
20 Korea's ability to attack the continental United States with  
21 a nuclear weapon will remain rudimentary. They're obviously  
22 developing their missile program rapidly. The number of  
23 launches has increased significantly. They have nuclear  
24 devices, clearly. They tested them.

25           Do you say rudimentary because of the difficulty of

1 developing an ICBM, or because of the difficulty of taking  
2 the third step of marrying those two technologies together,  
3 miniaturizing the warhead and having a suitable reentry  
4 vehicle?

5 Dr. Samore: That's correct, Senator. The North  
6 Koreans have not yet demonstrated the ability to have an  
7 effective reentry vehicle that could survive a long-range  
8 delivery. So until they do that, they don't really have a  
9 credible capability to attack us with a missile. And even  
10 if they do demonstrate that eventually, there are going to  
11 be limits on the numbers of ICBMs the North Koreans can  
12 deploy, on the kind of penetration aids they have, whether  
13 they have maneuverable warheads. All of this kind of high-  
14 technology end I think is nothing that the North Koreans can  
15 achieve in the near term, and therefore I think missile  
16 defense has a reasonable prospect of defeating their missile  
17 capability.

18 Senator Cotton: Of all the steps that you would take,  
19 from a standing stock to being able to hold at risk the  
20 continental United States with a nuclear-armed ICBM, is that  
21 last step of marrying the nuclear device and the missile in  
22 a suitable reentry vehicle the hardest technical step to  
23 take?

24 Dr. Samore: Well, it's the one they haven't been able  
25 to demonstrate yet. I'm not sure I would necessarily say



1 it's the hardest, but the North Koreans have never tested a  
2 reentry vehicle at that range. So it's something we don't  
3 know whether or not they're capable of, and probably they  
4 don't either.

5 Senator Cotton: Thank you, gentlemen.

6 Senator Fischer: Senator Warren?

7 Senator Warren: Thank you, Madam Chair.

8 And thank you all for being here.

9 I'd like to start by asking about the Iran nuclear  
10 deal. Our list of problems with Iran is long. Iran  
11 sponsors terrorism, they engage in human rights abuses, test  
12 missiles, and take a lot of destabilizing actions in their  
13 part of the world. Given what Iran is willing to do, I  
14 think it's a lot easier to counter their provocative actions  
15 so long as Iran does not have nuclear weapons than it would  
16 be to try to cabin Iran if they possessed a nuclear weapon.

17 Now, we forced Iran to the negotiating table with  
18 international sanctions, and so far this nuclear deal has  
19 blocked Iran's path to the bomb while putting in place an  
20 unprecedented inspections regime. Now President Trump says  
21 he wants to ignore all of this and instead he has threatened  
22 to rip up the Iran nuclear deal. But it's not just our deal  
23 with Iran. The agreement includes Britain, France, Germany,  
24 Russia, China, and the European Union. So he can't rip it  
25 up. What he can do is abandon the deal unilaterally.

1           So, Dr. Samore, if the United States unilaterally  
2 withdraws from the deal, how easy would it be to convince  
3 our allies to re-impose sanctions on Iran?

4           Dr. Samore: Well, I think it would be very difficult  
5 because they would hold us responsible for blowing up an  
6 agreement which they believe is working to constrain Iran's  
7 nuclear program, despite all the other objections we have to  
8 Iranian behavior. So my concern, if we unilaterally  
9 abrogated the agreement, is that we would find ourselves in  
10 a very weak position to restore the kind of sanctions that  
11 forced Iran to negotiate in the first place.

12          Senator Warren: That's right. And without those  
13 sanctions, what are the chances we're going to get Iran to  
14 negotiate a better deal from our perspective?

15          Dr. Samore: Well, the trouble with not having leverage  
16 in a negotiation is that we might quickly be forced to have  
17 to use military options. So you'd have to be prepared to  
18 use military force in that event.

19          Senator Warren: So if the deal collapsed, do you  
20 believe that the Iranians would likely resume their nuclear  
21 program?

22          Dr. Samore: I think so, but I think they'd be very  
23 cautious. I mean, if you look at the history of Iran's  
24 program, they could be much more technically advanced than  
25 they are now in terms of producing weapons-grade uranium and

1 so forth. I think the Iranians have tried to calculate how  
2 can we move the program forward without inviting a military  
3 attack or strong international reaction. So I think they  
4 would probably revive the program. The restraints would be  
5 lifted. But I don't think they'd race for a bomb. I think  
6 they're much too cautious for that.

7 Senator Warren: But it sounds like to me that  
8 enforcing the deal we have is better than not having a deal.

9 Dr. Samore: I think so, and I think as the Trump  
10 Administration reviews their options my guess is that they  
11 will probably conclude that it makes sense to continue to  
12 abide by the deal as long as Iran does.

13 Senator Warren: I hope so.

14 Let me ask you another part about this. The  
15 International Atomic Energy Agency is responsible for  
16 monitoring and inspections of Iran's nuclear program. The  
17 United States is the largest contributor to this nuclear  
18 watchdog budget, and many of our allies also contribute.

19 According to media reports and a leaked draft executive  
20 order, President Trump is considering a significant cut to  
21 U.S. funding for international organizations like the IAEA  
22 by as much as 40 percent, and this is despite the fact that  
23 a GAO report issued last June explained that IAEA officials  
24 will need about \$10 million more each year, in addition to  
25 the funding they have over the next 15 years, to fund the

1 verification and monitoring of Iran's nuclear program.

2       So, Dr. Samore, regardless of what anyone's opinion is  
3 about the Iran deal, does cutting the IAEA's resources for  
4 verifying Iran's compliance with the agreement increase or  
5 decrease the likelihood of Iran developing a nuclear bomb?

6       Dr. Samore: Well, if we cut the IAEA's resources, they  
7 will be less able to monitor Iran's program, and therefore  
8 the Iranians might calculate they have a greater likelihood  
9 of not being caught if they cheated. And I might add the  
10 IAEA, of course, does more than monitor Iran's program.  
11 They monitor peaceful nuclear programs all around the world.

12       Senator Warren: So the impact is everywhere.

13       Dr. Samore: The impact would be everywhere, yes.

14       Senator Warren: Thank you.

15       The nuclear deal put in place put an unprecedented  
16 inspections regime on Iran, and that regime has provided  
17 tools that we didn't have before to help prevent a nuclear-  
18 armed Iran. So if that's actually our goal, it seems to me  
19 that it would make sense that we would want the nuclear  
20 agency that's charged with monitoring this to have the tools  
21 that it needs to be able to do its job.

22       I made a note here that Secretary of Defense Mattis was  
23 and is a critic of the Iran nuclear deal. But during his  
24 confirmation he made the point to our committee, when  
25 America gives her word, we have to live up to it and work

1 with our allies, and I think that's particularly true when  
2 our allies are signaling that they're going to ignore us if  
3 we throw a fit and start to walk away from the deal. If  
4 we're serious about reducing the threat of nuclear  
5 proliferation, then I think the United States should make  
6 sure that the entire world understands that it is Iran's  
7 fault if this deal falls apart.

8 I want to take the last minute I've got, if I can, just  
9 to follow up on a question about North Korea. We've talked  
10 about the threat from North Korea. By my count, they've  
11 conducted five nuclear tests since 2006. We talked about  
12 that last week. They test-launched four missiles in the Sea  
13 of Japan. These are real threats from a dangerous,  
14 unstable, and nuclear-armed state.

15 Refusing to talk to North Korea over the last several  
16 years has not stopped their extreme behavior, and despite  
17 the tough sanctions they continue these provocative actions.

18 So the question I want to ask about is that North Korea  
19 relies heavily on its ally, China, and in recent months the  
20 Chinese government has signaled some frustration with the  
21 North Korean government and suspended all North Korean coal  
22 imports, which is a major source of income for the regime.

23 Dr. Samore, do you think the Chinese strategy towards  
24 North Korea has changed? Is that what we're seeing here?

25 I'll be careful about my time here, Madam Chair.

1           Dr. Samore: I think the Chinese are terribly  
2 frustrated and angry with Kim Jong Un, and they're signaling  
3 to him that if he continues to carry out testing that  
4 damages China's interests they will punish him by exacting  
5 economic penalties. So from that standpoint I think the  
6 Chinese are working with us better than they ever had  
7 before. But at the end of the day I think the Chinese will  
8 not be willing to pull the economic plug on North Korea. I  
9 think China is too worried about instability.

10           So we're going to have to figure out whether we want to  
11 use the economic leverage that we've acquired in order to  
12 try to negotiate some limits on North Korea's nuclear  
13 missile program. I'm not terribly optimistic that will  
14 work. We've tried three times in the past and the North  
15 Koreans have always violated or cheated or reneged on the  
16 agreement, but I do think it's worth another try to slow  
17 down their effort to develop an ICBM.

18           Senator Warren: And I take it from this, it is  
19 important to bring as much pressure as we can bear on China  
20 to try to get China to bring more pressure on North Korea to  
21 try to get them to abide by some kind of control agreement.

22           Dr. Samore: Well, and to develop a common strategy  
23 with China, because even though the U.S. and China have  
24 different fundamental strategic interests on the Korean  
25 Peninsula, both of us have a common interest in preventing

1 or limiting North Korea's nuclear and missile program.

2 Senator Warren: Thank you very much, I appreciate it.

3 Thank you, Madam Chair, for your indulgence.

4 Senator Fischer: Senator Peters?

5 Senator Peters: Thank you, Madam Chair.

6 Thank you to our witnesses here today.

7 I have a question related to ballistic missile defense,  
8 particularly continental defense and the location of  
9 continental interceptor sites. Being the senator from  
10 Michigan, we are under consideration for one of those sites,  
11 along with Ohio, New York, in addition to what we have in  
12 California and in Alaska. I just want to get a sense from  
13 one or all of you as to the importance of locating a site at  
14 one of those three places to complement what we currently  
15 have existing. Is it something that we need to be moving  
16 forward with, particularly perhaps in light of what we're  
17 seeing in Korea, but in addition to the sophisticated  
18 missile system that the Chinese have, and others?

19 Dr. Payne: I'll go ahead. I think it is important,  
20 sir. The recent discussion we just had about North Korea  
21 emphasizes both, I believe, the need to have what I  
22 described earlier in my testimony as a capability to prevent  
23 limited nuclear strike options, particularly vis-à-vis North  
24 Korea, but vis-à-vis others as well.

25 Moving in that direction in my mind is very important

1 because I don't believe that North Korea is going to allow  
2 its nuclear capability to be rolled back. I don't think  
3 China is actually ever going to press hard enough to do  
4 that. It's going to continue to expand its nuclear  
5 capabilities. It's going to continue to expand its missile  
6 capabilities.

7 So we see this going in one direction, and that site  
8 east of the Mississippi is going to be important for  
9 expanding our ability to protect the United States.

10 Senator Peters: Do others of you agree? It can be a  
11 short answer. General, do you agree?

12 General Kehler: I would agree, with a caveat. I think  
13 we always have the option to deploy additional missile  
14 defenses. I would be very interested in how additional  
15 steps that we take now would be oriented toward dealing with  
16 the threat from North Korea. I think that's the priority.

17 Senator Peters: Okay, thank you.

18 Dr. Samore: I don't feel qualified to answer.

19 Senator Peters: Okay, that's fine.

20 Back to the Russian situation and the deployment of  
21 this intermediate-range missile in violation of the treaty,  
22 which I think is very disturbing. I find it curious and I'd  
23 just like to have your reaction, that when we talk about the  
24 new START Treaty, the Russian compliance has been pretty  
25 good over the years, and there have been news reports that



1 Mr. Putin has raised the possibility of extending it with  
2 President Trump, so those discussions are going on now.

3 But at the same time that that's going on, they are  
4 pretty blatantly violating another treaty at the same time.  
5 So in your view, why do the Russians choose to violate one  
6 while remaining in compliance with the other? What's the  
7 strategic calculus there?

8 Dr. Samore: Well, the Russians have complained about  
9 the INF Treaty for many years because their argument was it  
10 only constrains the U.S. and Russia and doesn't constrain  
11 other countries that have missiles in that intermediate  
12 range, and for years now the Russians have proposed that we  
13 try to globalize the INF Treaty, which I think is not a  
14 practical suggestion because those other countries wouldn't  
15 agree. But the Russians have felt compelled for their  
16 military reasons to want to deploy systems that are  
17 prohibited by the INF Treaty.

18 Now, they're perfectly allowed under the treaty to  
19 withdraw from the treaty if they feel it's no longer in  
20 their interests and openly deploy those systems. But in  
21 typical Russian fashion, instead of doing the above-board  
22 thing, which is to withdraw from the treaty, just like we  
23 withdrew from the ABM Treaty, the Russians do it by cheating  
24 and denial, and that's the practice we've seen. The reason  
25 they comply with new START is because they see it in their

1 interest. The reason why they violate INF is because they  
2 see it in their interest.

3 Dr. Payne: I agree with that. The only other point  
4 that I would make is that the Russians are not just in  
5 violation of the INF Treaty. They're in violation of a  
6 whole series of treaties, and I frankly am not entirely  
7 confident that the Russians are going to meet their  
8 obligations to meet the new START ceilings next February. I  
9 hope that's the case, but they are so far above the warhead  
10 ceiling now that they're going to have to do some serious  
11 withdrawal of capabilities to meet that ceiling. We'll see  
12 whether it happens or not.

13 General Kehler: I just agree with my colleagues.

14 Senator Peters: So what you're saying is that we can't  
15 trust the Russians. It's pretty clear. They're not our  
16 friend on many, many occasions, and we have to be concerned  
17 about it.

18 I'll switch gears to overall proliferation. Dr.  
19 Samore, you mentioned the weapon states that are out there,  
20 the nine that have weapons now, and there's a list -- I  
21 believe it's close to 30 countries that have peaceful  
22 nuclear programs, somewhere in that range. I'm concerned  
23 about the Iranian deal in the fact of what's going to happen  
24 in 10 to 15 years when they can get back to scaling up the  
25 enrichment of uranium, which is certainly one of the paths

1 to weaponization.

2 The United States has had a fairly consistent policy, I  
3 think, in the past, that although we support the use of  
4 peaceful power and believe that every nation has a right to  
5 peaceful nuclear power, we have not said a nation has the  
6 right to enrich uranium.

7 To what extent are you concerned that some of the other  
8 countries who may have peaceful programs now and don't  
9 enrich will start enriching? That could lead to an  
10 increased proliferation risk. I believe the Canadians have  
11 that option and they actually decided against it, not  
12 because we were concerned or that anyone had any concern  
13 that the Canadians were going to weaponize themselves, but  
14 they thought just the fact that they were enriching uranium  
15 provided a proliferation risk that was unacceptable.

16 So I'm concerned about the other nations out there, and  
17 I'm hearing Brazil and other countries may be interested in  
18 doing that. Where does that path lead, and do we need to  
19 take some steps to constrain the ability to enrich uranium,  
20 which would be helpful for us to prevent the Iranians from  
21 doing it as well in 10 to 15 years?

22 To any of the panelists, how should we be thinking  
23 about dealing with this slowly creeping proliferation risk  
24 that I think is out there?

25 Dr. Samore: You know, it's a very good question,

1 Senator. We've always been inconsistent in our policy about  
2 enrichment. We have accepted that certain close allies will  
3 develop enrichment for peaceful purposes, Japan and Europe  
4 for example, and we've always tried to draw the line, no new  
5 countries developing enrichment, which is very difficult.  
6 In the case of Brazil, for example, the Bush Administration  
7 decided not to object to Brazil pursuing a peaceful  
8 enrichment program.

9 I think you're right to be worried about the precedent  
10 that the Iran deal will set, although as I read the deal and  
11 as I've talked to the negotiators, in 15 years if Iran seeks  
12 to build an industrial-scale enrichment plant and we think  
13 they still harbor ambitions to develop nuclear weapons, we  
14 have the option to object to that. We're not required to  
15 acquiesce. So I think the nuclear deal in 15 years, if it  
16 lasts that long, will have to face that issue, will have to  
17 face that problem.

18 Senator Peters: Thank you so much, appreciate it.

19 Senator Fischer: Thank you, Senator Peters.

20 My thanks to the panel today for the information you've  
21 provided to us, and we certainly appreciate your thoughtful  
22 comments as well.

23 With that, the hearing is adjourned.

24 [Whereupon, at 4:03 p.m., the hearing was adjourned.]

25