

Stenographic Transcript
Before the
Subcommittee on Personnel

COMMITTEE ON
ARMED SERVICES

UNITED STATES SENATE

TO RECEIVE AN UPDATE ON RESEARCH, DIAGNOSIS,
AND TREATMENT FOR TRAUMATIC BRAIN
INJURY/CONCUSSION IN SERVICEMEMBERS

Wednesday, December 13, 2017

Washington, D.C.

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U.S. Senate

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Subcommittee on Personnel

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Committee on Armed Services

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Washington, D.C.

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11 The committee met, pursuant to notice, at 10:09 a.m. in

12 Room SR-222, Russell Senate Office Building, Hon. Thom

13 Tillis, chairman of the subcommittee, presiding.

14 Committee Members Present: Senators Tillis

15 [presiding], McCaskill, Gillibrand, and Warren.

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1 OPENING STATEMENT OF HON. THOM TILLIS, U.S. SENATOR
2 FROM NORTH CAROLINA

3 Senator Tillis: We will bring the committee to order.

4 And the witnesses on the first panel, please be seated.

5 Before I make an opening statement, I have to tell you
6 that I am in my fifth day of what they call monocular
7 vision. That is where my optometrist finally convinced me
8 that I should try putting a contact lens in one eye, and
9 then get my other eye to adjust to a distance. But right
10 now, there is a fight between which eye is winning, so I had
11 to get my staff to print the text a little bit larger, so I
12 could make sure that I could go through the statement. But
13 if you see me walking around in circles, you will know why.

14 [Laughter.]

15 Senator Tillis: But thanks, everyone, for being here.
16 I especially want to welcome some folks who will be speaking
17 from North Carolina.

18 Before we get started, the Personnel Subcommittee of
19 the Senate Armed Services Committee meets this morning to
20 receive testimony from government and civilian witnesses on
21 traumatic brain injury, or TBI. TBI occurs along a
22 continuum, ranging from mild TBI or a concussion to severe
23 and penetrating brain injury. While treatment for TBI
24 varies with the severity of the injury, management of mild
25 TBI includes treatment of symptoms such as headaches, memory

1 problems, dizziness, and poor concentration, followed by
2 slow return to normal activity.

3 From 2000 through the first half of 2017, the
4 Department of Defense diagnosed over 370,000 servicemembers
5 with TBI. Of that total number of diagnoses, over 305,000
6 were mild TBIs.

7 We know, however, that mild TBI is not a unique problem
8 within the Department of Defense. It is a national problem.
9 Last year, there were about 2.5 million emergency room
10 visits related to concussions in the United States, and
11 medical experts believe there were many more concussed
12 individuals who did not seek medical care.

13 As a Nation, we must pursue multiple approaches to
14 understand better the chronic effects of mild TBI, including
15 the long-term neurodegenerative problems associated with
16 multiple concussive injuries.

17 Today, we are fortunate to have a very distinguished
18 group of witnesses joining us to discuss the diagnosis and
19 treatment of mild TBI and to learn more about ongoing
20 research on the effects of concussion on the brain.

21 On our first witness panel, we have Dr. David Dodick,
22 professor of neurology, sports neurology, and concussion
23 program director at Mayo Clinic; Steve Devick, CEO of King-
24 Devick Technologies; and Dr. Chris Miles, medical director
25 of athletics and associate director of sports medicine

1 fellowship, Wake Forest University School of Medicine.

2 And, Dr. Miles, I already warned you that I want to
3 welcome you because I have great regard for the academic
4 programs at Wake. I have no regard whatsoever for your
5 football program.

6 [Laughter.]

7 Senator Tillis: With that stipulated, welcome to the
8 to the committee.

9 Ranking Member Gillibrand?

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1 STATEMENT OF HON. KIRSTEN E. GILLIBRAND, U.S. SENATOR
2 FROM NEW YORK

3 Senator Gillibrand: Thank you so much, Mr. Chairman,
4 for holding this hearing and for really shining a spotlight
5 on something that is so important to both of us and to the
6 entire military.

7 I join you in welcoming our witnesses today to discuss
8 traumatic brain injury and the associated medical
9 conditions. I am pleased that we have a variety of
10 witnesses from different expertise inside and outside the
11 government to discuss the current status of public and
12 private advancements in diagnosis and treatment of TBI.

13 This is a very important topic not only for the
14 military but for society at large. Every parent of a high
15 school athlete worries about his or her son or daughter
16 suffering a concussion, another word for mild TBI, and the
17 long-term potential consequences of this injury. What we
18 learn while studying TBI in the military may also apply to
19 the treatment of their concussive injuries.

20 Certainly, we owe state-of-the-art care to our
21 servicemembers who incur a traumatic brain injury as a
22 result of their military duties. That is what this hearing
23 is all about.

24 But it is more than that. Accurately diagnosing TBI is
25 complicated by symptoms that overlap with post-traumatic

1 stress disorder, such as difficulty in concentrating,
2 irritability or angry outbursts, and memory loss.

3 TBI and PTSD are commonly referred to as the signature
4 wounds of war in our recent conflicts. Indeed, these are
5 wounds of war, but there are other related wounds that also
6 deserve more attention. We know that anxiety disorders,
7 acute stress, sleep disorders, depression, substance use
8 disorders, chronic pain, and other health conditions are
9 also consequences of military service.

10 Reports indicate that there have been more than 370,000
11 servicemembers diagnosed with TBI from 2000 to 2017. At the
12 same time, the Centers for Disease Control estimate that
13 there are 2.8 million TBI-related emergency department
14 visits, hospitalizations, and deaths a year.

15 The damage is not limited to the traumatic brain injury
16 itself. Based on VA data, we know that veterans with a
17 history of TBI are at higher risk for suicide, and other
18 data shows an increase in diagnosis of dementia and
19 Alzheimer's disease and chronic traumatic encephalopathy,
20 commonly referred to as CTE, for those who have suffered a
21 concussion and traumatic brain injury.

22 I am very concerned that servicemembers suffering from
23 TBI, PTSD, and other service-connected conditions are too
24 frequently disciplined and discharged with a bad-paper
25 discharge for actions that are manifestations of these

1 injuries. Servicemembers suffering from moderate or severe
2 TBI can incur a lifetime of physical, cognitive, emotional
3 and behavioral challenges. These challenges can manifest as
4 drug- and alcohol-related misconduct, aggressive actions,
5 charges, assaults, AWOLs, and failures to follow orders.

6 These bad-paper discharges are too often a consequence
7 of suffering from military-induced conditions and result in
8 veterans not being eligible for care for these conditions
9 from the VA.

10 Military leaders must do a better job in taking these
11 medical conditions into account when servicemembers are
12 merely exhibiting the symptoms of their service-related
13 injuries.

14 Mr. Chairman, thank you for the hearing.

15 Senator Tillis: Thank you, Senator Gillibrand.

16 Gentlemen, each of you, we will just start from my left
17 and go across, and you can spend up to maybe about 5 minutes
18 on opening comments.

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1 STATEMENT OF DAVID W. DODICK, M.D., SPORTS NEUROLOGY
2 AND CONCUSSION PROGRAM DIRECTOR, MAYO CLINIC

3 Dr. Dodick: Mr. Chairman, Ranking Member, and
4 distinguished members of the panel, it is, indeed, a
5 privilege and honor to have this opportunity to appear
6 before you today and provide testimony for this hearing on
7 brain injuries in military servicemembers.

8 As was said, I am a professor of neurology and founder
9 and director of the concussion program at the Mayo Clinic in
10 Phoenix, Arizona. I have been involved in the evaluation
11 and management of patients with concussion for over 21
12 years, and I currently oversee the clinical and research
13 concussion programs at Mayo Clinic, several of which are
14 funded by the Department of Defense and the National
15 Institutes of Health.

16 I am the chair of the American Academy of Neurology's
17 Concussion Committee, and I co-direct their annual Sports
18 Concussion Conference. And I am also the president-elect to
19 International Concussion Society and co-founder of
20 Concussion.org.

21 So we will start with, what is a concussion? A
22 concussion is often referred to as a head injury, but it is
23 not synonymous with a head injury. It is, instead, an
24 injury to the brain itself. This injury involves individual
25 cells in the brain and the wiring that connects them. There

1 is both a primary and a secondary injury to the brain that
2 results in dysfunction, disruption, and likely even death of
3 living cells and their living connections.

4 The primary injury occurs from the direct impact of the
5 blunt force or the rapid movement of the brain within the
6 skull. But the secondary brain injury occurs because of an
7 inflammatory response that occurs and the inability of
8 stunned and sick cells to generate the energy required for
9 their repair.

10 These primary and secondary injuries result in a
11 breakdown of the normal electrical and chemical
12 communication between cells, and it is this disruption of
13 this extensive and interconnected communication grid that
14 affects many sites in the brain and leads to the varied
15 symptoms, several of which you already highlighted today,
16 including physical, cognitive, emotional, and behavioral
17 symptoms, that have an enormous impact on the individual, a
18 very devastating one, and that actually affects the ability
19 to function in daily life at work, at home, or in school.

20 So why is concussion a military and a public health
21 priority? I think concussion by any measure is a health
22 priority. It is very common, obviously. It can lead to
23 permanent symptoms in some, and progressive neurological
24 disease in others. And yet, as was alluded to, it remains
25 significantly underdiagnosed.

1 For U.S. forces deployed in Afghanistan and Iraq in
2 Operation Enduring Freedom, Operation Iraqi Freedom, and
3 Operation New Dawn, blast exposure was the leading cause of
4 concussion. Blast injury results in the rapid transmission
5 of an acoustic wave through the brain tissue.

6 Over the last 16 years, an estimated 320,000 U.S.
7 troops, about one in five, returning from active theater has
8 sustained a concussion. And among those, almost half
9 experienced symptoms consistent with post-traumatic stress
10 or post-concussion syndrome.

11 Therefore, not surprisingly, there is a heavy personal,
12 family, and financial cost of these injuries to our men and
13 women in uniform. The cost of care alone has increased from
14 \$21 million in 2003 to over \$650 million in 2010, and the
15 median health care costs for veterans with traumatic brain
16 injury is four times higher than those for veterans who do
17 not experience traumatic brain injury.

18 Among civilians, nearly 4 million concussions occur
19 every year. And among these, sport-related concussion has
20 obviously received the most media and public attention.
21 While there are several reasons for this, chief among them,
22 I think, is the devastating, long-term neurological
23 consequences that have been demonstrated in amateur and
24 professional athletes who participate in contact sport. And
25 this should be of particular concern to all of us because

1 there are over 46 million children and adolescents in the
2 United States who participate in sport, and they, in
3 particular, are uniquely vulnerable to the complications of
4 concussion because of the effects of brain injury on a
5 developing brain that hasn't fully matured.

6 Another vulnerable population that is often not talked
7 about is women. Approximately 20 million women experience a
8 domestic violence-related traumatic brain injury in this
9 country every year. A recent study by the New York State
10 Office for the Prevention of Domestic Violence revealed that
11 92 percent of the women in domestic violence shelters were
12 hit in the head by their partners more than once, and almost
13 one in 10 were hit more than 20 times in the past year.

14 Concussion is underrecognized, as I said. And while
15 the reported number of concussions in this country is
16 staggering, the actual number is much higher. It is
17 estimated that only one in six concussions, especially in
18 sport-related concussions, are recognized and diagnosed.
19 And this is due to a lot of different reasons, which I will
20 get to.

21 One major reason for the lack of recognition of a
22 concussive brain injury is the lack of symptoms. Just as
23 brain injury from silent strokes and other silent lesions
24 can occur in the brain, so too can silent concussions occur.
25 And these so-called subconcussive hits have been

1 demonstrated to be far more frequent than actual concussions
2 themselves, especially in contact sport athletes. These
3 subconcussive injuries are especially important because the
4 cumulative effect of subconcussive impacts results in a loss
5 of the brain's normal architecture, and neurological and
6 psychiatric consequences later in life.

7 Much of the research on subconcussive hits has been
8 performed in athletes involved in contact sports. And if
9 you look at many of these studies, some of which I have
10 outlined in the testimony, you will see that even in
11 individuals who have not experienced a concussion, there is
12 a loss of normal brain function in those individuals, both
13 at a youth level as well as at a collegiate and a
14 professional level.

15 So these and other similar studies indicate that
16 concussion is really the tip of the iceberg while
17 subconcussive hits represent a larger, hidden danger that
18 results in injury to the brain and lingering effects that
19 are not being detected by current concussion assessment
20 techniques.

21 While the majority of individuals, as was said,
22 experience symptom resolution from a single concussion
23 within a week or 2, post-concussion syndrome or the
24 persistence of symptoms beyond 4 weeks occurs in about 10
25 percent to 20 percent of individuals after a single

1 concussion.

2 In children and adolescents, the percentage who
3 experience persistent symptoms beyond 1 month has been shown
4 to be at least 30 percent. Individuals who experience
5 persistent symptoms may become functionally impaired or,
6 indeed, permanently disabled.

7 In addition to post-concussion syndrome, repeated
8 concussions and subconcussive hits can lead to permanent
9 cognitive and psychiatric impairment, a syndrome known as
10 traumatic encephalopathy syndrome. In individuals with
11 traumatic encephalopathy syndrome, symptoms persist for
12 longer than 2 years and progress over time.

13 The symptoms and signs of TES, or traumatic
14 encephalopathy syndrome, are similar to those seen in
15 chronic traumatic encephalopathy, or CTE. And as many of us
16 know, CTE is a progressive degenerative brain disease that
17 has been demonstrated to occur in individuals with a history
18 of exposure to repeated head injuries.

19 Unfortunately, and this is something maybe we will get
20 into, at this time, we do not yet know how to identify
21 people who are at-risk of developing CTE, nor do we yet have
22 a reliable method to diagnose the disease before death or to
23 intervene with treatment that prevents or disrupts the
24 progression of the disease.

25 I will end with the challenge that we as clinicians

1 taking care of these patients face. The diagnosis, I think,
2 of concussion is challenging even for experts. The reasons
3 for this are several.

4 First of all, many of the symptoms are subjective.
5 They have to be reported by the athlete or the individual,
6 and many times, they are not.

7 Or the symptoms, as I said, maybe absent. They may
8 have had a subconcussive hit or a silent concussion or brain
9 injury. A lot of times, the visible signs may not be
10 present. Even for those of us who have been examining
11 patients for over 20 years, the signs can be so subtle that
12 they are not picked up on the routine bedside neurological
13 examination.

14 And finally, the detection of concussion often requires
15 objective and quantitative tests that are not part of the
16 routine neurological examination.

17 Even when the diagnosis of concussion is made, the
18 challenge of managing the patient is difficult because there
19 are no pharmacological agents, not a single one, that has
20 been shown to be effective in improving symptoms or
21 interrupting that secondary injury cascade that occurs that
22 I alluded to earlier.

23 Another challenge for the clinician is knowing when the
24 brain injury has stabilized. It has been well-demonstrated
25 now that the brain injury continues and is not fully

1 recovered long after the symptoms have resolved. So we are
2 lulled into a sense of complacency, thinking that the
3 examination is normal, the symptoms have resolved, and so
4 that individual is ready to return to duty or ready to
5 return to play, and that simply is not the case in many
6 individuals.

7 And it is during this window of time where the brain is
8 uniquely vulnerable to repeat injury that may result in
9 symptoms that persist or, more seriously, may result in
10 permanent injury.

11 So determining if and when this window of vulnerability
12 is closed is very challenging without expensive brain
13 imaging that is not widely available, not feasible on a
14 large-scale basis, and still not validated as a reliable
15 clinical tool that can be used on an individual basis.

16 So what is needed? Given the challenges in diagnosis,
17 treatment, and the ability to provide patients with a
18 prognosis, I think there is an urgent need for objective,
19 widely available, and cost-effective tests that do the
20 following: rapidly and accurately identifies when a
21 concussion has occurred, allowing for the removal of that
22 individual from the activities that place them at further
23 risk; indicate when it is safe for an individual to return
24 to their previous activities, and this will avoid exposing
25 an individual to a repeat and potentially devastating

1 injury; predict who is most vulnerable to repeated
2 concussions; and predict who is at-risk of long-term
3 symptoms and chronic neurological impairment from repeated
4 concussions and subconcussive impacts.

5 We also need tests that accurately diagnose traumatic
6 encephalopathy syndrome and chronic traumatic encephalopathy
7 so that treatments, when developed, and I am optimistic they
8 are coming, can prevent the progression or at least
9 ameliorate the symptoms of these diseases.

10 There is also, in addition to the diagnostic tests that
11 are necessary, there is a serious need for treatments,
12 treatments that can prevent these second injury cascades
13 that are set in motion with that primary impact to the
14 brain, because I think it is these second injury cascades
15 that can persist for days, weeks, or longer that result in
16 the progressive brain damage that occurs and likely results
17 in the persistence of symptoms that these individuals
18 experience.

19 I also think there is a need for treatments that
20 facilitate the brain's ability to repair, adapt, and
21 compensate for previous injury; to prevent the development
22 of chronic neurodegenerative diseases; and to interfere with
23 the progression of those diseases when they have already
24 begun.

25 Until this occurs, I think we need to implement

1 validated examination techniques that are sensitive for the
2 detection of concussion immediately.

3 I am confident of the dedication and commitment of the
4 scientists and clinicians involved in this field, several of
5 whom are in this room. And I am optimistic that the
6 scientific and treatment advances will be realized for the
7 benefit of millions of men, women, and children affected by
8 concussion.

9 Mr. Chairman, Ranking Member, and distinguished members
10 of the committee, thank you again for this opportunity and
11 for your precious time and attention.

12 Senator Tillis: Thank you.

13 Dr. Devick?

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1 STATEMENT OF STEVEN D. DEVICK, M.D., CHIEF EXECUTIVE
2 OFFICER KING-DEVICK TECHNOLOGIES, INC.

3 Dr. Devick: Mr. Chairman, Ranking Member Gillibrand,
4 and distinguished members of the committee, it is a high
5 honor for me to appear before you today.

6 I am the CEO of King-Devick Technologies. We develop
7 objective, physical, electronically transmittable tests of
8 eyes and brain function, which are validated in peer-
9 reviewed medical journals, and that are able to be
10 administered by laypersons.

11 Before beginning, I would like to recognize Tregg
12 Duerson, who is right there. Tregg is the son of NFL two-
13 time Super Bowl winner Dave Duerson of the Chicago Bears and
14 the New York Giants. Dave was a friend of mine. He was a
15 brilliant scholar-athlete who graduated with honors from
16 Notre Dame and later took his own life at 50 years old by
17 shooting himself in the chest, so that his brain could be
18 evaluated, because he was fairly certain he had chronic
19 traumatic encephalopathy. He did, indeed, have an advanced
20 case of CTE, although he was diagnosed with very few
21 concussions in his career.

22 Tregg is a highly successful businessman now, and he is
23 also a former Notre Dame athlete. His dad was drafted by a
24 Montreal baseball franchise, too. Tregg has often said, if
25 he played baseball, he probably would be still alive today.

1 But anyway, he has dedicated his life to doing
2 something about CTE, which is a prevalent problem, called to
3 attention again this week when the NFL had an issue with a
4 diagnosis on the sidelines.

5 As far as our products are concerned, in a DOD- and
6 NCAA-funded study published in November 2017 in a peer-
7 reviewed journal, it is found that King-Devick tests were
8 shown to have the highest test-retest reliability when
9 compared with more than a dozen other concussion tests.
10 This article was authored by members of the CARE Consortium,
11 the NCAA, and the Department of Defense.

12 And in May of 2017, King-Devick Technologies was one of
13 a group of participants ranging from Federal Government
14 representatives, private industry, professional medical
15 research and veteran communities invited to compete in the
16 VA's annual Brain Trust InnoVation summit. King-Devick
17 Technologies was selected as a winner of the 2017 InnoVation
18 awards for its brain injury remediation and rehabilitation
19 applications utilizing technology that allows for faster
20 recovery from TBI and from concussions as well.

21 Because the diagnosis of MTBI concussions in
22 servicemembers and everyone often relies on history alone,
23 the DOD-VA clinical practice guidelines indicate that a
24 confirmatory, objective test for concussions that could be
25 used to direct support and/or predict outcomes would be

1 desirable. And in 2016, a group of military officers who
2 were doctors identified the King-Devick test as a solution.

3 The quote from their article was, "We recommend the
4 King-Devick test be utilized as a supplementary screening
5 tool in those who have suffered a concussive event. Having
6 preinjury King-Devick test data will allow more precise
7 determination. Therefore, we recommend the test be included
8 as a baseline for all warfighters prior to exposure to risk
9 of MTBI. Having a validated, rapid, easy-to-assess brain-
10 screening test can assist frontline providers in making
11 return-to-duty decisions."

12 And since 2011, more than 110 peer-reviewed articles
13 have been published in elite medical journals validating
14 these King-Devick applications. These articles describe the
15 products as clinical biomarkers, not serum biomarkers, and
16 other aspects of the test helped in remove-from-play
17 decisions. And the effectiveness of this detection led to
18 its cobranding with the Mayo Clinic, the first cobranding
19 agreement ever entered into throughout Mayo Clinic's 150-
20 year history. The test, now known as the King-Devick test
21 in association with Mayo Clinic, is the most validated
22 sideline screening tool for concussions currently available.

23 Changes in performance can easily be transmitted to
24 inform diagnostic and related clinical service provision and
25 guide clinical decision-making from theater to medical

1 treatment facility.

2 Thank you.

3 Senator Tillis: Thank you.

4 Dr. Miles, I should say that my senior member from
5 North Carolina probably has a decidedly different view of
6 the Wake program, so you have some balance there in the
7 delegation. You can provide your opening statement.

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1 STATEMENT OF CHRISTOPHER M. MILES, M.D., ASSOCIATE
2 DIRECTOR, SPORTS MEDICINE FELLOWSHIP, WAKE FOREST UNIVERSITY

3 Dr. Miles: Very good, sir. Chairman Tillis, Ranking
4 Member Gillibrand, and honorable members of the committee,
5 thank you for this opportunity to discuss concussion from an
6 academic clinician's perspective.

7 I currently serve as the medical director of athletics
8 and the head team physician for Wake Forest University, the
9 associate director of the Primary Care Sports Medicine
10 Fellowship at the School of Medicine, and the site principal
11 investigator to the NCAA- and Department of Defense-
12 sponsored CARE Consortium Research Study.

13 As a former college football player who has experienced
14 concussion, I have seen all sides of this condition. Unlike
15 many medical diagnoses, concussion is not yet well
16 understood. This enigmatic condition not only has different
17 presentations, causes, and outcomes for patients, but it
18 also has very little evidence-based guided evaluation and
19 management options, though research is changing this. Much
20 of the management recommendations for sport-related
21 concussion have been driven by consensus statements released
22 over the last decade.

23 The most recent release of this came in 2017 as the
24 result of the fifth International Consensus Conference on
25 Concussion in Sport. Although the conference makes a

1 distinction between sport-related concussion and nonsport-
2 related concussion, many of the key principles are shared
3 between these two entities.

4 I have been fortunate to be part of several different
5 research studies investigating the natural history of the
6 condition, evaluation tools, and management options. The
7 largest and most well-known of these is the NCAA and DOD
8 CARE study. As part of the Grand Alliance, the CARE study
9 is designed to answer scientific questions about the course
10 and neurobiology of concussion in a definitive way. With 30
11 sites, including the four military academies, over 37,000
12 athletes and cadets have been enrolled, and over 2,500
13 concussive events have been captured and studied. This is
14 nearly 100 times the number of subjects in the average
15 concussion study.

16 Through this study, the NCAA and DOD Grand Alliance is
17 setting the standard for concussion research and clinical
18 care. The collaboration between universities and the
19 military academies has provided data that is absolutely
20 unprecedented.

21 Although it has been just over 3 years since its
22 inception, this consortium has impacted the practice of
23 concussion management in several ways. Perhaps most
24 important to this committee is the finding that,
25 historically, there have been some undue delays in the

1 return to duty of nonathlete cadets. This finding has
2 changed management of concussion at the academies.

3 Unfortunately, the consortium is at a watershed moment.
4 Funding for continuation of this highly important research
5 has expired. An application for CARE 2.0, a study to
6 further our knowledge, especially in areas of neurobiology
7 and long-term outcomes, was declined by the Department of
8 Defense.

9 The NCAA has agreed in principle to supporting the CARE
10 2.0 initiative, but funding from the DOD has not yet been
11 secured. It is my hope that this committee sees the benefit
12 in continuing this highly important work.

13 In addition to the work with the CARE study, our group
14 at Wake Forest is involved in force sensor research through
15 helmet and mouthpiece sensors, post-concussive biomarker
16 data, and the role of genetics in post-concussion syndrome.
17 We are also particularly active with the study of
18 concussions in youth football.

19 Also being studied are blood and saliva tests to
20 determine if we can predict which patient will have
21 prolonged symptoms, and brain imaging techniques that may
22 provide similar predictability information.

23 These types of studies are vitally important as,
24 clinically, no two concussions are created equal. It is
25 crucial that we develop an objective test that will help

1 diagnose and guide the management of this condition.

2 There are current tools, such as the King-Devick, but
3 there is not yet a gold standard for concussion testing. If
4 an imaging or a blood test similar to what we have for
5 evaluating heart attacks were to be discovered, the
6 evaluation and management could be standardized.

7 Perhaps of equal importance, if we were able to
8 identify a gene that may predispose patients to the long-
9 term sequelae of concussion, we could counsel those patients
10 on avoiding potentially higher risk activities.

11 We are still too early in the study process of
12 biomarkers, imaging, and gene identification to include them
13 in clinical decision-making. More research funding will
14 help to determine if these advances are, in fact, predictive
15 and, if so, which ones do this the best.

16 I believe the importance of the collaboration between
17 military and civilian clinicians and researchers in tackling
18 the best way to diagnose and treat concussions is crucial.

19 Although the causes of injuries may be different,
20 though certainly not always, the importance of being able to
21 accurately diagnose and provide the best treatment is the
22 same.

23 When a condition does not have an objective test that
24 cannot be manipulated, there is always the risk that
25 symptoms may be feigned. A student or soldier that wants to

1 avoid an activity could falsely report symptoms. A truly
2 objective test will assist and guiding not only diagnosis of
3 actual concussion injuries but will allow for a more rapid
4 return to learning and activity in those who would not test
5 positive.

6 Many entities have helped raise awareness of concussion
7 to our society at large. We are likely more educated on the
8 prevention and identification of concussion than ever
9 before. Most athletes and military personnel recognize the
10 importance of this topic for their safety and well-being.

11 However, there is still great work to be done. We must
12 make activities safer and less of a burden on long-term
13 health and the health care system. Researchers and
14 clinicians must continue to grow the data needed to make
15 evidence-based recommendations. And funding bodies must
16 continue to make this topic a priority.

17 Thank you for your time, and I look forward to your
18 questions.

19 Senator Tillis: Thank you all.

20 I have a question for Dr. Devick. Can you give me an
21 idea of the cost, the timing of the tests, and where these
22 tests can be administered?

23 Dr. Devick: Did you say the cost?

24 Senator Tillis: Yes.

25 Dr. Devick: The cost is less than \$20 per year per

1 individual for unlimited testing. So there is very little
2 cost involved.

3 Senator Tillis: And when is the test administered?
4 After somebody has experienced an injury that you are
5 testing for? Or is it something that you do on a recurring
6 basis to a larger population?

7 Dr. Devick: The sideline application that we are
8 partners with Mayo Clinic on is at the point of sidelines,
9 immediately after the concussion occurs.

10 Senator Tillis: Is this a sort of test that could be
11 reliably administered in a battlefield situation?

12 Dr. Devick: Yes. As a matter of fact, thousands of
13 teams and leagues around the world use King-Devick tests,
14 and they do it on a noisy -- the NFL doesn't use it yet, but
15 I think they will get there. The Canadian Football League
16 does. All of the administration can be done on the
17 sidelines where there is noise and whatever else goes on, on
18 the sidelines.

19 It is just a 2-minute test that checks your ability to
20 move your eyes and --

21 Senator Tillis: What are the other alternative tests?
22 Give me some idea. I would guess they would be competing
23 against a test that has obviously gotten a lot of attention.
24 But what does the landscape look like out there, in terms of
25 options?

1 Dr. Devick: Right now, it is kind of a three-legged
2 tool for sideline testing. One is oculomotor function,
3 which is what our test applies to. And one is balance. And
4 then one is cognition, like, "Who is the President? What
5 day is it?" those kinds of questions.

6 I think that when you apply all three of those that can
7 be done quickly on the sideline, you get very high
8 specificity and sensitivity.

9 So as Dr. Miles said, ours is a tool. There are other
10 tools that they should be combined with. But again, the
11 whole suite of tools doesn't take long, and it can be done
12 on the sidelines.

13 Senator Tillis: Is there any data out there with
14 respect to false positives? I mean, is it highly accurate?
15 Do we sweep in that may not have suffered an injury?

16 Dr. Devick: The false positives we aren't nearly as
17 concerned about as false negatives.

18 Senator Tillis: Right.

19 Dr. Devick: So the specificity in the biggest meta-
20 analysis ever done on our products, they combined 15 studies
21 together, and the sensitivity was 86 percent, and the
22 specificity was 90 percent, which is higher than anything
23 like a Pap smear or anything else, and it is done on the
24 sidelines.

25 No, it is not 100 percent, but it is certainly a better

1 indication than asking a player how he feels.

2 Senator Tillis: Okay.

3 And anyone who has information on it, what research has
4 been done -- this actually speaks to something I will spend
5 more time with the second panel, but Senator Blumenthal and
6 I and others on the Veterans' Affairs Committee are worried
7 about PTS and TBI that may have resulted in behavioral
8 problems that ultimately precipitated an other-than-
9 honorable discharge.

10 So what research has been done to the population who
11 experiences a concussion or something on the spectrum of TBI
12 where there are measured behavioral differences in the
13 person after, that are virtually unrecoverable? They just
14 become a part of who they are, in this case, a soldier.

15 Anyone who has any information on that, I would like to
16 hear it.

17 Dr. Devick: The DARPA, DOD, VA study just done by Dr.
18 Scher found with comorbidity -- that is what you described,
19 where there is PTSD or something else in addition to a
20 concussion. She found, in this article that is pending
21 publication that Dr. Dodick may know more about than I, that
22 when there is comorbidity, the defect under oculomotor test
23 on King-Devick test --

24 Senator Tillis: Dr. Dodick or Dr. Miles?

25 Dr. Miles: So part of the CARE Consortium study is

1 looking at behavioral changes long term, both acutely and in
2 the long-term setting. There is some speculation and I
3 think some data to support that premorbid conditions, such
4 as depression, anxiety, those sorts of things, may also play
5 into some of the behavioral changes that occur post-
6 concussively.

7 Senator Tillis: Dr. Dodick?

8 Dr. Dodick: Yes. I would say there actually has been
9 quite a bit of work, especially imaging work, that has been
10 done with some of these individuals. And so what they
11 found, first of all, is actually the temporal lobe, which is
12 sometimes referred to as the limbic lobe, where many of the
13 structures in the brain are housed that govern and control
14 emotional function, is altered. Its architecture is altered
15 after a concussive brain injury. Such that I saw a recent
16 study indicating that the amygdala, which is part of the
17 brain that drives the fear response, part of the brain that
18 is responsible for impulsivity, aggressive behavior, is
19 actually enlarged after a concussive brain injury, while
20 other areas in the temporal lobe, such as the hippocampus,
21 for example, which is what allows us to remember what we are
22 being told, actually shrinks over time.

23 Senator Tillis: So are you able to determine a change
24 without having a reference point, let's say an image of the
25 brain prior to the event?

1 Dr. Dodick: Very good question. So not exactly, but
2 the studies that I am talking about compare to age- and sex-
3 matched, or age- and gender-matched controls.

4 Obviously, it would be ideal to have a preinjury MRI
5 scan on all these individuals, but it is not feasible or
6 practical, so it ends up being compared. And it is within
7 the 95 percent confidence interval of change in that
8 individual.

9 So there are a variety of imaging studies. There are
10 also some molecular studies that have been done showing an
11 upregulation in something called the corticotropin receptor,
12 which is a sensitive surrogate marker of stress response.

13 So there are physiological, biological, and imaging
14 changes that occur in individuals who exhibit this
15 impulsive-aggressive behavior after a traumatic brain
16 injury.

17 Senator Tillis: Very good. Thank you all.

18 Ranking Member Gillibrand?

19 Senator Gillibrand: Thank you, Mr. Chairman.

20 While the symptoms of TBI may appear right away, others
21 may not be noticed for days or months after injury or until
22 the person resumes everyday activities. In some cases,
23 servicemembers do not recognize or admit that they are
24 having problems or understand the problem and how the
25 symptoms they are experiencing impact their daily

1 activities.

2 Are you looking at delayed onset TBI in your research?

3 Dr. Miles: Absolutely. One of the nice pieces of the
4 CARE study is the data points currently during symptom
5 stage, in the asymptomatic stage, once they have returned
6 and then again at the 6-month follow-up, the hope with CARE
7 2.0 is to continue that out for many years to see if there
8 are potentially behavioral changes, mood changes, et cetera,
9 that may occur.

10 And so that is part of the reason why the funding is so
11 important, so that we can complete that part of the study.

12 Senator Gillibrand: And do you think it is getting
13 enough research? And do you think there is any way we can
14 integrate awareness of delayed onset into policies and
15 procedures at the DOD and VA?

16 Dr. Miles: I think, just simply, as you mentioned,
17 that it is out there, and we should be aware of it and
18 educating not only physicians but commanders of units and
19 those sorts of things that that is certainly something they
20 should watch for.

21 Dr. Dodick: I alluded to the secondary injury cascades
22 that are set in motion after the primary impact, and I think
23 it is these inflammatory cascades that are set in motion
24 that continue for days, weeks, or even months that are
25 responsible for some of the delayed onset symptoms and signs

1 that you are talking about.

2 We and others are doing preclinical work in animal
3 models showing what some of those changes are, because that
4 allows us to sort of tee up high-value targets for therapy.

5 We are also doing some imaging work following patients
6 prospectively over time to see some of the structural and
7 functional changes in the brain that occur well after the
8 injury.

9 Senator Gillibrand: Yes. I have seen those images.
10 They are remarkable.

11 Dr. Dodick: They are startling.

12 Senator Gillibrand: They do some of it locally, I
13 think at Walter Reed.

14 Dr. Dodick: Yes. So I think there really needs to be
15 a public awareness campaign. We have seen the results of
16 massive public awareness campaigns where individuals are
17 educated about the signs and symptoms of stroke, for
18 example, because now we have all these clot-busting
19 therapies, and we need patients to recognize them and get
20 into the hospital as quickly as possible.

21 We need the same sort of public awareness campaign
22 around concussion. As I said, 20 million women have
23 suffered traumatic brain injury. Forty-six million kids
24 exposed are in contact sport.

25 It is a massive public health problem, and we need a

1 public awareness campaign that matches the importance of
2 this problem.

3 Senator Gillibrand: I agree, which is why I think if
4 we can have the military be state-of-the-art, we can then
5 have a better conversation about sports and particularly
6 kids in sports.

7 I mean, I do not want my child playing football. It
8 would scare the heck out of me. Even soccer scares the heck
9 out of me. I was glad when they finally said no headers
10 until you are at least, I think, 13 or 14.

11 But these are real issues, and I think if the military
12 figures it out, then the rest of us can figure it out. And
13 that is why it is so important.

14 A second question. Based on your expertise and
15 research into the diagnosis and treatment of TBI in the
16 civilian population, what do you think the military and the
17 VA can do to improve their approaches to prevention,
18 diagnosis, and treatment? What ways can you guys influence
19 the civilian world?

20 Dr. Dodick: I will start by just saying that I can
21 only talk about what I can control in my own center, and in
22 that control, we have implemented what we believe is an
23 evidence-based objective and quantitative neurological
24 assessment preseason -- I am talking about sport athletes
25 now -- preseason and after injury.

1 And that is why I said earlier I think it is really
2 important that we at least use the tools that we have.
3 While not perfect, they are objective, they are
4 quantitative, and they are sensitive for detecting
5 concussion.

6 So I think an evidence-based approach needs to be
7 implemented. And this field was evolving. Every day, new
8 research comes out. And it is incumbent upon us, on behalf
9 of our patients, to be able to adapt and evolve with the
10 changing science.

11 Senator Gillibrand: And do you think that the military
12 and VA approaches to diagnosis and treatment are effective
13 methods for preventing the potential long-term consequences
14 of injury?

15 Dr. Dodick: I am not intimately familiar with the
16 military concussion protocol. But again, I would just say
17 that, as science becomes available, I think that military
18 physicians, civilian physicians, we all need to adapt and
19 evolve with that.

20 Dr. Devick: I think that there are new things
21 available all the time. One of the things is the oculomotor
22 aspect that the military hasn't used much so far but is
23 being used in branches of the military, and, of course,
24 balance and cognition are being used.

25 So I think that package of three evaluating tools is

1 becoming more and more the state-of-the-art, at least in
2 sports.

3 Senator Gillibrand: Thank you.

4 Dr. Dodick: It really needs to be objective and
5 quantitative, because I have been examining patients for
6 over 25 years, and even to this day, when an individual
7 comes in with a concussive brain injury, I would have a hard
8 time picking up, on a bedside neurological examination,
9 deficits that I could hang my hat on and say, yes, this
10 individual has a brain injury.

11 That is why I think the guesswork needs to be taken out
12 of it. The subjectivity needs to be taken out of it. We
13 have quantitative, objective tools. We need to implement
14 them now. And we need to continue the research and work
15 hard to find better tools.

16 Senator Gillibrand: Thank you.

17 Thank you, Mr. Chairman.

18 Senator Tillis: Senator Warren, before I recognize
19 you, I want to thank you for your consistent participation
20 in these subcommittee hearings. This is a very important
21 one. I appreciate you being here.

22 Senator Warren: Thank you. And I apologize for
23 running in. I am trying to cover another hearing at the
24 same time.

25 Senator Tillis: I should also say there is a number of

1 hearings happening at the same time. This is a very
2 important subject.

3 Senator Warren: But this is really important, and I
4 really do appreciate your holding this hearing, Mr.
5 Chairman. This is critical.

6 And I thank all of you for being here today.

7 Since 2000, more than 370,000 servicemembers have
8 received a first-time diagnosis of traumatic brain injury.
9 It is one of the most common and least understood injuries
10 that servicemembers experience.

11 And thanks to the work that you and others have done,
12 we now understand that exposure to blast pressure can result
13 in an impact-related concussion where the brain is damaged
14 because it bangs around inside the skull.

15 But we are also now coming to understand that the blast
16 pressure wave can also cause harm by damaging the brain at
17 the subcellular level. And while most people think of TBI
18 as being the result of exposure to an IED explosion on the
19 battlefield, we are now learning that it is not the only or
20 even the most common source of blast exposure for
21 servicemembers.

22 So I was very glad to get an amendment into this year's
23 defense bill that requires the Pentagon to begin a
24 longitudinal study of the blast exposure that our
25 servicemembers experience on the battlefield and when firing

1 larger weapons during training.

2 Can I just ask you, Dr. Dodick, can you explain why
3 tracking blast exposure over time is essential to helping us
4 get a handle on this problem?

5 Dr. Dodick: I think a blast exposure traumatic brain
6 injury is in some ways different than the kind of brain
7 injury that one might experience on a football field or on
8 an ice hockey rink. There is an acoustic wave, as I
9 mentioned earlier, that travels through the brain at very
10 high velocity that, at a microstructural level, damages the
11 tissues and disrupts the connections between cells, in
12 addition to, as you say, rattling the brain around inside
13 its skull.

14 So there are multiple mechanisms of injury that I think
15 are distinct and unique. And I know that there are some
16 research labs in the country looking specifically at the
17 cellular level, at the injury cascades that are set in
18 motion after an acoustic blast like that.

19 So I do think the injury is different, and I think the
20 work is ongoing right now to see whether or not, at the end
21 of the day, does it really matter? Are the same cascades
22 still set in motion? Is the initial impact injury from a
23 blunt force to the head versus a blast injury, is that the
24 same? And how different are they?

25 There is no question in my mind, as you allude to,

1 that-- up until recently, we have always said concussion is
2 a functional brain injury from which 90 percent of
3 individuals recover fully. That may not be the case,
4 because even when you do an MRI scan, which is certainly
5 more sophisticated and can see the brain at a finer detail
6 than a CT scan, you may not see the injury until you peer at
7 a microscopic level with special types of MRIs. And then
8 you see these fiber tracks that are just completely
9 disrupted, like you took a pair scissors to them, that you
10 do not see on a routine MRI scan.

11 So I agree with you completely. There is a lot
12 happening at a cellular level, at a microstructural level,
13 that we cannot pick up on routine clinical imaging. We
14 definitely need more imaging research, and we definitely
15 need more basic research to understand whether or not these
16 two injuries, the blunt force versus the acoustic blast, is
17 similar in the damage to the brain that occurs as a result
18 of them.

19 Senator Warren: That is very helpful, and it looks
20 like we are going to get this one passed into law.

21 I also want to note that my amendment requires that the
22 Pentagon consider the feasibility of a blast exposure log,
23 analogous to a servicemember's jump log for airborne
24 operations.

25 So let me ask about that one. Could data collection

1 like this help ensure that blast exposure is fully
2 documented, so that servicemembers get appropriate care, if
3 they later develop post-concussive symptoms?

4 Dr. Miles, could I ask you to weigh in on that?

5 Dr. Miles: Certainly. I think that the idea behind
6 that helmet sensor and mouthpiece sensor data that we are
7 researching to determine can we get a sense of how many
8 blows and at what force those blows are occurring, that same
9 technology could certainly be applied to our servicemembers.

10 Dr. Dodick had mentioned earlier the cumulative effect
11 of subconcussive blows. And that same effect, whether that
12 is because of blast injuries from using firearms or
13 explosions in the field, although subconcussive at that
14 time, when added up, can lead to these same symptoms.

15 And so I think the idea behind keeping track of the
16 amount of force that the brain sees over a given time is a
17 very good concept and may lead to a threshold identified
18 that, when a servicemember reaches that, you pull them out
19 of their activity or whatever they are doing that is leading
20 to those exposures.

21 Senator Warren: Thank you, Dr. Miles. That is a
22 powerfully important point. We all know that traumatic
23 brain injury can have devastating, lifelong consequences for
24 our servicemembers and our veterans, and I am grateful for
25 the work you are doing in this area. I hope you will let us

1 know if there is more we can do.

2 I have a question about protective equipment, but I am
3 already over my time.

4 Is that all right, Mr. Chairman? Is that all right?

5 Senator Tillis: That is fine.

6 Senator Warren: Thank you. Good.

7 So I want to go to another area here. The Pentagon is
8 at the forefront of research into equipment that protects
9 the lives of our soldiers and sailors and airmen and
10 marines. For example, I am very proud of the cutting-edge
11 research that the Army Natick Soldier Systems Center in
12 Massachusetts is doing, everything from improving body armor
13 to preventing stress injuries.

14 Natick is also at the cutting edge of helmet
15 technology, and the research has shown that different helmet
16 designs and shapes can change the way that blast pressure
17 impacts the brain. But right now, most of the military
18 helmets that we give to deploying soldiers are designed
19 principally just to protect against bullets and other blunt
20 injuries rather than blast injuries.

21 So Dr. Miles or Dr. Dodick, whoever would like to do
22 this, what does the research tell us about the types of
23 helmet modifications that might reduce pressure transmitted
24 to the brain in a blast? Who would like to go?

25 Dr. Miles?

1 Dr. Miles: So I can speak to that in a hockey helmet
2 and football helmet. I cannot speak to it in the military
3 helmet. So if that is okay?

4 Senator Warren: Let me just ask, Dr. Dodick, would you
5 like to speak to it in the military context?

6 Dr. Dodick: I do not know a lot about the actual
7 helmet design that is being developed to prevent that
8 acoustic wave or those pressure waves coming from a blast
9 injury, so I am not familiar with that technology.

10 Senator Warren: But I take it what you would tell me,
11 Dr. Miles, let's just do this one in a short summary, is
12 that helmet design may have a powerful impact, and this is
13 something you think might be worth studying in greater
14 detail?

15 Dr. Miles: I think you said that very well. It may
16 have an impact. There is a lot of discussion on whether or
17 not football helmets are able to be designed to decrease
18 concussive risk. Again, the injuries may not be the same,
19 but it seems like a very important area of research for the
20 military.

21 If that can be designed, and we can reduce the forces
22 that the brain is seeing inside the skull, there is a great
23 likelihood that you will --

24 Dr. Dodick: I would say, Senator Warren, that there
25 is no evidence to date that any technology, helmet or

1 otherwise, has actually been able to reduce the incidence of
2 concussion, because as you said very early on, it is that
3 movement of the brain within the skull.

4 I make the analogy that it is like an egg. It is like
5 a yolk inside of an egg. You shake it and you can break the
6 yolk, but the egg looks fine.

7 Helmets have done a very good job at preventing skull
8 fractures and preventing major, catastrophic intracranial
9 bleeding, for example, but there is no evidence yet that
10 they have been able to reduce the incidence of concussion.

11 Senator Warren: My view on this is that we owe it to
12 our servicemembers, anyone who is put in harm's way, to have
13 the best possible equipment and the best possible equipment
14 starts with research to figure out what works and what
15 doesn't work. So I hope this is an area where we are doing
16 more in trying to determine what we can do to best protect
17 those who are in the field fighting for us.

18 Thank you, Mr. Chairman. I appreciate, again, your
19 having this hearing.

20 Senator Tillis: Thank you, Senator Warren.

21 And, Dr. Miles, I am not going to ask other questions
22 except request that our office get together to talk about
23 the consortium and see what we can do to try and help,
24 because that really is a collaboration where it is not just
25 DOD, it is private sector, everybody coming together. And I

1 think that holistic approach is probably going to produce
2 the best result.

3 Thank you all for being here. We appreciate your time.

4 We can have just a brief transition. We will bring up
5 the second panel and a brief introduction and get to opening
6 statements.

7 Thank you all, and in the interest of time, I am going
8 to go ahead and do a brief introduction and get right to the
9 opening statements. I want to welcome the second panel:
10 Captain and Dr. Michael Colston, director of military health
11 policy and oversight for the Assistant Secretary of Defense
12 for Health Affairs, Department of Defense; Dr. Joel
13 Scholten, associate chief of staff for rehabilitation
14 services for the Veterans Affairs Medical Center,
15 Washington, D.C.; and David Cifu, senior TBI specialist and
16 principal investigator, Chronic Effects of Neurotrauma
17 Consortium, Department of Veteran Affairs.

18 Welcome all to the committee, and we will do a
19 windshield wiper. We will start from the right and go to
20 the left this time.

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1 STATEMENT OF CAPTAIN MICHAEL J. COLSTON, M.D., U.S.
2 NAVY, DIRECTOR, MILITARY HEALTH POLICY AND OVERSIGHT FOR THE
3 ASSISTANT SECRETARY OF DEFENSE FOR HEALTH AFFAIRS

4 Captain Colston: Chairman Tillis, Ranking Member
5 Gillibrand, members of the subcommittee, thank you for the
6 opportunity to discuss the Department of Defense's efforts
7 regarding traumatic brain injury.

8 I am honored to testify alongside my esteemed VA
9 colleagues. And I would also like to thank you for your
10 sustained leadership in support of our Nation's
11 servicemembers, families, and veterans, especially those
12 dealing with complex issues around TBI.

13 The department's approach to evaluation and treatment
14 of TBI at the point of injury facilitates rapid
15 identification and recovery, reducing the chance of another
16 concussion before a servicemember has healed from a first.
17 DOD's mandatory screening program promotes early
18 identification of servicemembers with concussion, ensuring
19 effective treatment of physical, cognitive, and emotional
20 effects of the injury.

21 We know that after a brief period of rest, a concussed
22 individual can begin a progressive return to activity. The
23 vast majority of individuals who sustain a concussion
24 improve clinically and do not have any sequelae. On the
25 other hand, we see patients who continue to suffer.

1 In my practice as a psychiatrist, I have seen a number
2 of TBI patients with comorbidities, such as adjustment
3 disorders, pain, anxiety, depression, PTSD, and substance
4 use disorders.

5 So in short, we find that TBI is a protean disorder
6 that can present with a wide range of cognitive, behavioral,
7 and physical deficits.

8 But we need to meet patients where they are on the road
9 to recovery. So DOD remains focused on hard problems around
10 diagnostic clarification, because we need to get return-to-
11 duty determinations, administrative dispositions, and
12 medical disability findings right.

13 DOD conducts state-of-the-science research as part of
14 the National Research Action Plan, which coordinates our
15 research priorities with VA and NIH. DOD also collaborates
16 in the national effort to characterize degenerative
17 conditions stemming from subconcussive events or blast
18 exposures. The Army STARRS study is characterizing TBI's
19 possible contribution to our suicide problem. And
20 interaction between mental health and TBI research
21 portfolios lets us know what we know so we can rehabilitate
22 more servicemembers who present with complex symptoms.

23 As we look to the future of TBI research, we appreciate
24 that the human brain represents the most complex
25 organization of living structure in all of biology.

1 Our investments will pay returns. With your continued
2 support, I am confident that our research discoveries,
3 clinical innovations, and focus on readiness will bear
4 fruit.

5 And I look forward to answering your questions.

6 [The prepared statement of Captain Colston follows:]

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1 Senator Tillis: Thank you.

2 Dr. Cifu?

3 Dr. Cifu: I defer to my colleague, Dr. Scholten.

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1 STATEMENT OF JOEL D. SCHOLTEN, M.D., ASSOCIATE CHIEF
2 OF STAFF FOR REHABILITATION SERVICES FOR THE VETERANS
3 AFFAIRS MEDICAL CENTER, WASHINGTON, D.C., ACCOMPANIED BY
4 DAVID X. CIFU, M.D., PRINCIPAL INVESTIGATOR, CHRONIC EFFECTS
5 OF NEUROTRAUMA CONSORTIUM, DEPARTMENT OF VETERAN AFFAIRS

6 Dr. Scholten: Good morning, Chairman Tillis, Ranking
7 Member Gillibrand, and members of the subcommittee. Thank
8 you for the opportunity to discuss traumatic brain injuries,
9 or TBI.

10 I am accompanied today by Dr. David Cifu, my colleague
11 who is the senior TBI specialist for VHA.

12 VA's TBI-polytrauma program delivers world-class
13 rehabilitation services for veterans and servicemembers.
14 Through this program, VA continues to advance the diagnosis,
15 evaluation, and treatment of TBI.

16 TBI severity is determined at the time of injury and is
17 based on the individual's ability to respond to the
18 environment and to questioning. The majority of TBI is
19 categorized as mild, which is usually more difficult to
20 identify than severe TBI due to a lack of visible injury and
21 unspecific symptoms.

22 In 2007, VA established a systemwide TBI screening and
23 assessment program.

24 Senator Tillis: You may need to pull your mike a
25 little bit closer. I think they are having a problem

1 recording.

2 Dr. Scholten: In 2007, VA established a systemwide TBI
3 screening and assessment program. All post-9/11 veterans
4 are screened when they access VA for health care. Those who
5 screen positive are then evaluated by a TBI specialist.

6 Between 2007 and 2017, VA screened over 1.1 million
7 veterans and diagnosed over 93,000 of these veterans with a
8 history of a mild TBI. These veterans then received an
9 individualized rehabilitation plan of care for their
10 specific needs.

11 Individualized rehabilitation treatment plans are
12 paramount to TBI care as these plans consider the impact of
13 symptoms on the veteran's unique functional abilities and
14 are developed with active input from the veteran and their
15 caregiver to develop recovery goals.

16 Of the post-9/11 veterans with the TBI diagnosis, over
17 70 percent also have a PTSD diagnosis, and over 50 percent
18 have both a PTSD and a pain diagnosis. This highlights the
19 importance of active integration of mental health and pain
20 care providers when treating individuals with TBI.

21 The complexity of care needed for veterans with TBI and
22 polytrauma is best provided through an integrated medical
23 system, such as VA's polytrauma system of care. This system
24 includes over 100 facilities that provide specialized
25 rehabilitation programs.

1 In the field of brain injuries, VA collaborates with
2 multiple partners to advance care and research by working
3 directly with our veteran service organizations, academic
4 partners, the NFL, the NCAA, and Federal agencies such as
5 DOD, NIH, and CDC.

6 VA and DOD have worked together to develop a common
7 definition for TBI. In addition, VA has collaborated with
8 DOD, NIH, and academic partners to develop and implement
9 evidence-based clinical practice guidelines to help both
10 standardize and enhance care.

11 VA continues to invest heavily in TBI-related research.
12 In fiscal year 2017, VA spent over \$35 million in TBI
13 research on 164 projects, which includes four research
14 centers and VA's annual \$5 million contribution to the VA-
15 DOD Chronic Effects of Neurotrauma Consortium, or CENC. The
16 goal of this research consortium is to better understand the
17 lifetime impacts of military service, particularly combat-
18 associated concussions and their association with mental
19 health disorders, dementia, and related neurodegeneration.

20 VA's research portfolio, coupled with its integrated
21 TBI system of care, provides an optimal setting to better
22 understand TBI and translate these findings to enhance
23 clinical care.

24 Many veteran populations are recognized to be at higher
25 risk for suicide, including those living with a history of

1 TBI. Because military and veteran suicide rates are
2 elevated compared to civilian rates, VA has made suicide
3 prevention a top priority. VA offers wide-ranging suicide
4 prevention efforts to identify veterans at greatest risk.
5 And in July 2017, VA changed its policy to allow urgent
6 mental health treatment for veterans with an other-than-
7 honorable discharge.

8 Mr. Chairman, thank you again for the opportunity to
9 testify about the importance of TBI diagnosis, treatment,
10 and research. We believe VA is a leader in each of these
11 areas, delivering the best care available to our veterans.
12 And we welcome the opportunity to advance collaboration with
13 our Federal and private partners.

14 We also thank the subcommittee and Congress as a whole
15 for their support in getting our veterans the care they have
16 earned and deserve.

17 My colleagues and I would be pleased to answer your
18 questions. Thank you.

19 [The prepared statement of Dr. Scholten follows:]

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1 Senator Tillis: Dr. Cifu?

2 Dr. Cifu: I actually would be open to just answer any
3 questions.

4 Senator Tillis: You are just here to provide all the
5 answers?

6 Dr. Cifu: Yes, in the interest of time, sir.

7 Senator Tillis: Thank you all for being here.

8 I want to jump to something. Dr. Colston, we now are
9 going to implement an electronic medical record in the VA
10 that is a platform that has already been implemented in the
11 DOD. So I am trying to think and I want to talk a little
12 bit in two different buckets.

13 One is the concern that I have with people who have
14 received -- and I am glad to hear from Dr. Scholten that we
15 are helping with crisis intervention with persons with
16 other-than-honorable discharge. I think that that is good.

17 But it seems to me that, on the one hand, looking
18 forward, if we do a better job of whether it is their MOS,
19 the role that they are playing when they are deployed where
20 we know that they are going to be exposed to events that
21 could potentially have this cumulative impact that Senator
22 Warren pointed out, it would seem like we should really
23 think through, maybe not in phase one of the EMR but in
24 subsequent phases, how we capture some of these life events
25 so that we can cumulatively look back and have a high degree

1 of certainty that this person may be suffering from TBI.

2 Does that make sense to you?

3 Captain Colston: Absolutely, sir, and I couldn't agree
4 more. If I can relay a story, 30 years ago, I was a nuclear
5 engineer on USS Carl Vinson. I wore a dosimeter, and every
6 month in my medical record, the amount of radiation that I
7 got was put in my medical record. That reactor on Carl
8 Vinson could have killed me inside of a second, but between
9 occupational protections that I had, medical protections
10 that I had, we reduced the risk to zero.

11 Now, TBI is a much harder problem. The brain is a
12 considerably more complex organism than just the body as a
13 whole. Blast physics presents a number of challenges.

14 I know when Senator Warren spoke there, we are working
15 on helmets. We are working on things to maybe get the blast
16 wave to go around.

17 There are many separate things that happen when you get
18 a blast or an impact, and it is really hard to document
19 those things. It is a very hard thing to ascertain. So I
20 think, for right now, it is very important to get good
21 histories. And that is where our corpsmen and medics come
22 in with our concussion evaluations that discuss what the
23 circumstances were.

24 We also have an obligation as clinicians to get really
25 good histories and document exposures. I am heartened that

1 the VA is going to have the same medical record as us,
2 because I have worked in both systems, and I can say it has
3 been very hard over the years to kind of figure out what is
4 going on, or the delay has been inordinate.

5 So I am excited that that is where we are going
6 forward.

7 Senator Tillis: And to any of the panelists, I think
8 it was Dr. Dodick that said, even if you do not have a prior
9 image, that it was about a 95 percent confidence interval in
10 being able to look at a brain image and reasonably determine
11 that they had suffered some sort of a concussive trauma.

12 Is that possibly something we should look at as a way
13 to go back at some members who have been other-than-
14 honorable discharge and say maybe there was something there
15 that we did not take into account?

16 Dr. Scholten: I believe that the evidence may not be
17 there to support that type of implementation at this time.
18 I think the approach right now is to have a no-wrong-door
19 approach for veterans or servicemembers with an other-than-
20 honorable discharge.

21 With implementation of that policy for those
22 individuals that can access for urgent mental health needs,
23 during that time, that episode of care can last up to 90
24 days, during which time we can investigate the background,
25 their clinical presentation, and determine possibly if their

1 benefit profile should be changed.

2 Dr. Cifu: In addition to that, as part of the Chronic
3 Effects of Neurotrauma Consortium, the large VA-DOD study,
4 we are looking at exactly this issue of dose effect from
5 brain injury. And we have a validated measure to figure
6 out, did they have prior concussions?

7 Actually, the biggest challenge we have is to find
8 veterans and servicemembers who have not had a prior
9 concussion in their records. That is the hardest challenge.
10 But we have a validated metric.

11 So we are recording that, and it is published, and it
12 is standardized. But we are recording that as well as
13 monitoring serial MRI scans, eye-tracking scans, all the
14 things the prior panel talked about.

15 What we are trying to do is actually get the knowledge
16 so that, if we had that information, we could actually act
17 on it. Because it is scary to know that you have had this
18 dose effect, whether it is from radiation or from brain
19 injuries. What is even more scary is if your clinicians
20 have no clue what to do with it.

21 And so what we are trying to do is, instead of just
22 thinking we know what to do with it, we are trying to really
23 put some data around that so we are on top of it.

24 We hope next time we are able to report in front of
25 you, we will be able to give you hard evidence on that.

1 Senator Tillis: Thank you.

2 Senator Gillibrand?

3 Senator Gillibrand: Thank you for being here.

4 What is the military doing overall to ensure that it
5 will do a better job in assessing program effectiveness on
6 more evidence-based practices, providing appropriate
7 training to providers, and collaborating across the
8 services? Because the October report from the Secretary of
9 Defense evaluating specific tools, processes, and best
10 practices to improve the Armed Forces identification,
11 treatment, and mental health conditions in TBI identified
12 six areas to improve service provision, including frequent
13 use of evidence-based practices and better specialty
14 certification for providers.

15 Captain Colston: I can speak to that, ma'am.

16 We just spent \$50 million from CAPE to look at programs
17 for effectiveness, and I think one of the things that we
18 really struggled with was outcomes and fiscal granularity,
19 as we looked back.

20 So going forward, that needs to be a part of the way
21 that we do business. So we created a behavioral health data
22 portal that, in essence, gets outcomes that are in the
23 medical record and will be there for perpetuity.

24 We also need to make good choices with regard to
25 programs. We need to have a stop-doing list. So if a

1 program is not effective, it needs to come off, because it
2 is presenting an opportunity cost, and that is something
3 that we definitely need to focus on going forward.

4 Senator Gillibrand: So I did not feel like you
5 answered Senator Tillis' question fully in the last
6 question, because he is really saying, what are you doing to
7 create an opportunity for someone who may well have been
8 discharged dishonorably because of behavior that is
9 absolutely against the rules but that would have been caused
10 by traumatic brain injury or PTSD?

11 So, specifically, can you address that? What are you
12 doing to protect those servicemembers who may well have been
13 punished for inappropriate behavior that was actually caused
14 by these diseases?

15 Captain Colston: Yes, ma'am.

16 First, there are opportunities for reclama, so there
17 are boards of correction for military records.

18 The second thing that we implemented was an across-the-
19 board look at people who had medical boards stopped for one
20 reason or another, say for disciplinary reasons. And we had
21 a Physical Disability Board of Review actually look at
22 those. And opposed to like a BCMR where maybe 5 percent of
23 cases get recharacterized, that board was around 30 percent.

24 We also wrote special guidance for the boards of
25 correction for military records, secondary to some Senator

1 Blumenthal's efforts, for Vietnam vets and other folks who
2 may have had illnesses before we even had the capability to
3 recognize this.

4 Really, the first good literature about PTSD and TBI
5 and really good about post-concussive symptoms and mental
6 health systems that were sustained well beyond having those
7 two things together was an epidemiological study by Lisa
8 Brenner in 2010 at the MIRECC in Denver, one of my VA
9 colleagues.

10 So the science is still nascent, but we really need to
11 protect folks.

12 I think that we have tried to get ahead of the problem
13 in a lot of ways. So now before we administratively
14 separate someone, we do an evaluation for PTSD and TBI.

15 When I was a resident at Walter Reed in 2000, we would
16 administratively separate people from the emergency room.
17 And in fact, we had about 4,000 administrative separations
18 for mental health issues a year. We have reduced that to
19 300 now. So that was a round turn. That happened really
20 quickly in the late 2000s.

21 Senator Gillibrand: Great. Related, many survivors of
22 military sexual trauma suffer from PTSD as a result of that
23 trauma. What is the military doing to diagnose and treat
24 PTSD that results from military sexual trauma? Is their
25 diagnosis and treatment different than the diagnosis and

1 treatment for PTSD caused by a blast injury or other combat
2 activity where there might be a co-occurring brain injury?

3 Captain Colston: Absolutely. I think that Edna Foa's
4 group at the University of Pennsylvania, I think CPT and
5 prolonged exposures, those are both very good treatments for
6 military sexual trauma.

7 I think one of the things that I have noticed as a
8 psychiatrist is you can take a person who really did not
9 have a lot of premorbid illness, who did not have adverse
10 childhood experiences, they can be sexually assaulted, and
11 they can just break apart. So as leaders, it is really
12 incumbent upon us to set up a system where we are vigilant
13 for those types of injuries.

14 The incidence of sexual harassment and abuse in this
15 Nation is horrible, and in the cohort of patients that I
16 treat, of course, it is much higher.

17 So we need to be really focused on access to care for
18 that group, meeting patients where they are, and the ability
19 of confidential care.

20 For instance, a servicemember can actually walk into a
21 VA vet center and get treatment for military sexual trauma.
22 But as a clinician who is actually writing things in the
23 record, I also need to be sensitive to that patient's needs.
24 I do not need to be writing details about what is going on,
25 nor do I have to have a close contact with command. I need

1 to be focused on that patient's needs and making that
2 patient better.

3 Senator Gillibrand: I appreciate that.

4 Can I ask a follow-up?

5 Senator Tillis: Sure.

6 Senator Gillibrand: So to Dr. Scholten and Dr. Cifu,
7 please describe the VA programs that have been developed to
8 diagnose and treat military sexual trauma-induced PTSD in
9 veterans seeking treatment for TBI. And are we doing
10 enough?

11 Dr. Scholten: Thank you for that question.

12 So VA has an extensive military sexual trauma program
13 and implementation of screening at all VA medical centers.
14 We screen every veteran accessing VA for care for military
15 sexual trauma. Actually, the screening rate was 98.7
16 percent in fiscal year 2016.

17 Senator Gillibrand: Can you do it from the other end?
18 If someone comes in for PTSD, do you check that it might be
19 not a blast but actually trauma? Meaning, they do not come
20 in for sexual trauma; they come in for PTSD.

21 Dr. Scholten: Exactly. Right. And that is a good
22 point, because military sexual trauma is not a diagnosis.
23 It is an experience. And so they are screened for the
24 diagnosis as well as the experience, and then their
25 individual treatment plan is based upon their symptomatology

1 and their presentation.

2 In addition, VA has a large research portfolio trying
3 to better understand the impact of military sexual trauma
4 and its effect on associated mental health conditions.

5 Dr. Cifu: And importantly, so if they come in, they
6 get screened, for example, for TBI, they are also going to
7 be screened for the PTSD diagnosis. And that PTSD diagnosis
8 could be due to military sexual trauma.

9 The beauty of the integration of the VA system across
10 every VA is that the team doesn't just treat TBI or PTSD
11 from a blast or a depression. The team is set up to treat
12 all the diagnoses within the same setting with the same core
13 of providers.

14 That is a huge difference. Nobody wants to come back 3
15 days later or go to another setting.

16 Senator Gillibrand: Exactly.

17 Dr. Cifu: So we are very aware that each patient is
18 unique, but we are doing it within the same team context,
19 what used to be called a medical home. Primary care is
20 involved, but the specialists are, too.

21 So each diagnosis is vitally important but military
22 sexual trauma, it has a uniqueness to it. But that is also
23 handled in the same setting, which we think is an advantage
24 across the U.S.

25 Senator Gillibrand: Thank you very much.

1 Senator Tillis: Just one final question for Dr.
2 Colston.

3 Dr. Colston, when you were describing your experience
4 working near a reactor, the beauty of that is you knew where
5 it was, and you had precise measurement devices to make sure
6 that you were in a safe environment. Is there any work
7 being done to, again, look at the MOS or the task?

8 Let's say that you are in artillery or you are in
9 various conditions where -- again, the cumulative impact
10 that we were talking about is something that I have not
11 spent a lot of time studying, and I will -- but any way
12 where we could reasonably predict that some people need to
13 be tested or we have to provide research just based on the -
14 - until we have helmets that can deflect the waves and do
15 the sorts of things to minimize the injury, is there any
16 research being done in DOD in that light?

17 Captain Colston: Yes, sir. In fact, I was speaking
18 with my colleague, Dr. Bennett, who is in the audience, at
19 the Office of Naval Research yesterday about a lot of the
20 work that is being done around blast physics and attempt to
21 ascertain what happens with any particular blast.

22 You shoot a .50 caliber, that is about a half PSI
23 pressure wave. A breacher is seeing maybe 2 PSI. But a
24 breacher may see 400 or 500 of those. And then, certainly,
25 an IED can be something much higher than 10 or 15.

1 We are very worried about what we see downstream. Dr.
2 Perl at the Uniformed Services University has seen almost a
3 pathognomonic lesion associated with blast injury.

4 Now, there is a lot of crossover in between lesions
5 that we see in the brain, but this particular lesion was at
6 density junctions, in other words, right where you would
7 deposit injury from a blast wave.

8 And a blast wave is not just running 25,000 feet a
9 second through the brain. There is also a coup-contrecoup
10 injury, where your brain is sloshing around in your skull,
11 and, obviously, fragments.

12 So there is all kinds of work to do in the research
13 realm that we are working on assiduously, and we need to do
14 it fast, because, certainly, the next battles are out there.

15 Senator Tillis: I want to thank all of the panelists
16 from the first and second panel for being here. I think
17 this has been a very informative hearing, and it is one that
18 we need to focus a lot of attention on. I think we have all
19 highlighted our concern prospectively for men and women
20 serving in uniform but also for the veterans.

21 So I thank you all for your testimony and your time
22 here today.

23 We will hold the committee record open through the end
24 of business tomorrow, so that you can submit any other
25 information. We may also submit some questions for the

1 record, and other members will be allowed to do the same.

2 [The information referred to follows:]

3 [SUBCOMMITTEE INSERT]

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1 Senator Tillis: Thank you all for being here today.
2 Thank you for your service to our veterans and our men and
3 women in uniform.

4 This committee is adjourned.

5 [Whereupon, at 11:23 a.m., the hearing was adjourned.]

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