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Subcommittee on Personnel

COMMITTEE ON ARMED SERVICES

UNITED STATES SENATE

HEARING TO RECEIVE TESTIMONY ON OFFICER PERSONNEL MANAGEMENT AND THE DEFENSE OFFICER PERSONNEL MANAGEMENT ACT OF 1980

Wednesday, January 24, 2018

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5	Wednesday, January 24, 2018
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7	U.S. Senate
8	Subcommittee on Personnel
9	Committee on Armed Services
10	Washington, D.C.
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12	The subcommittee met, pursuant to notice, at 3:09 p.m.
13	in Room SR-222, Russell Senate Office Building, Hon. Thom
14	Tillis, chairman of the subcommittee, presiding.
15	Committee Members Present: Senators Tillis
16	[presiding], Ernst, Gillibrand, McCaskill, and Warren.
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OPENING STATEMENT OF HON. THOM TILLIS, U.S. SENATOR
 FROM NORTH CAROLINA

3 Senator Tillis: First I apologize for being late.4 This committee is now open.

5 I will start with some brief comments and then pass it6 over to the ranking member.

I want to thank some familiar faces that we were able
to spend some time with last week. I am looking forward to
your testimony before the committee.

10 The Personnel Subcommittee of the Senate Armed Services 11 Committee meets this afternoon to receive testimony from 12 military and civilian witnesses on officer personnel 13 management and possible reforms to the Defense Officer 14 Personnel Management Act, commonly referred to as DOPMA.

15 Officer personnel management is a combination of 16 statute, regulation, culture, and tradition that determines 17 how military leaders are recruited, trained, retained, promoted, assigned, and compensated. This is a very complex 18 19 topic, and changes to longstanding practices must be 20 carefully considered before being implemented. And by all accounts, today's system largely serves its intended 21 22 purpose.

A personnel system is not an end unto itself. Rather, the military's officer personnel system must achieve desired objectives to increase the lethality and effectiveness of

1 the force.

2 DOPMA was passed in 1980. It is back when leisure 3 suits were popular and disco.

4 [Laughter.]

5 Senator Tillis: To achieve the desired objectives at 6 that time, namely in 1980 the Congress was concerned about 7 providing a fully ready officer corps comprised of youthful, 8 vigorous, and at the time, primarily men. These outcomes 9 were deemed necessary to defeat the Soviet threat that faced 10 our nation at that time.

I am concerned that the outcomes DOPMA was designed to achieve are growing increasingly irrelevant for some threats facing today's military.

I hope today our witnesses will provide us with some clearly defined outcomes that an updated personnel system should seek to achieve.

17 DOPMA's authors never envisioned the post-Cold War military as presently constructed. Today's force is 43 18 19 percent smaller than the military of 1980 and is constantly 20 engaged in ways never predicted during the Cold War. Repeated overseas combat deployments strain the more 21 22 traditional warfighting career fields while at the same time 23 new military domains require entirely different officer 24 skill sets. We must ask ourselves can a personnel system 25 designed for an industrial age military be successful in the

1 information age.

DOPMA's primary weaknesses are threefold. First, the 2 3 system is unable to quickly provide the officers required to 4 respond to unforeseen threats that demand unexpected skill 5 sets. Secondly, the system is unable to effectively respond 6 to rapid changes in the defense budget, resulting in inefficient and systemic surpluses or shortages of officer 7 manpower. Lastly, DOPMA functions as a one-size-fits-all 8 solution, which does not allow the services much ability to 9 differentiate amongst themselves and among various officer 10 11 career fields. I welcome your thoughts on how to improve 12 the system to mitigate these shortcomings.

Today we are fortunate to have a distinguished group of witnesses to discuss these themes and help us seek out areas where the Congress can provide assistance.

16 On the first panel, we have the Honorable David Chu, 17 President of the Institute for Defense Analyses and former Under Secretary of Defense for Personnel and Readiness. 18 19 Welcome, Dr. Chu. The Honorable Peter Levine, a senior 20 research fellow at the Institute for Defense Analyses and also former Under Secretary of Defense for Personnel and 21 22 Readiness. Welcome. And Dr. Tim Kane, a fellow at the 23 Hoover Institution and author of "The Total Volunteer 24 Force." I will introduce the second panel when we make the 25 transition.

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1	I want to thank all the witnesses.
2	[Audio disruption.]
3	Senator Tillis: very important topic.
4	Ranking Member Gillibrand?
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STATEMENT OF HON. KIRSTEN GILLIBRAND, U.S. SENATOR
 FROM NEW YORK

Senator Gillibrand: Thank you, Mr. Chairman.
I join you in welcoming our witnesses today as we
discuss career management of our military officers. I am
pleased that we have outside experts as witnesses, as well
as military personnel chiefs, to address improving the
talent management of our military officers.

9 I have been and remained concerned about our military promotion practices that incentivizes officers to be 10 11 generalists on a career path to become general or flag 12 officers and ultimately to be chief of the military service rather than allowing officers to develop expertise in 13 specific military skills. I understand the importance of 14 15 officers having a broad understanding of their service in 16 the military, but in some cases, this undermines our 17 military's ability to do its job.

Senator Ernst and I have been pushing the services, for 18 19 example, to develop judge advocates with complex litigation 20 skills rather than requiring them to become well-rounded generalists in the practice of military law. As in the 21 22 civilian sector, we need career prosecutors with years, even 23 decades, of prosecutorial experience to prosecute complex 24 cases particularly those related to sexual assault. A good 25 prosecutor with just a few years and a limited number of

cases is not going to be as good as a highly experienced prosecutor who has prosecuted a large number of complex cases. This same rationale would also apply to other specialty areas such as cyber, acquisition, aviation, medical, and newly developing areas like artificial intelligence.

7 As we look at improving the officer personnel system, we should also review the qualifications for receiving a 8 9 commission as a military officer. Is it really necessary that an individual with significant cyber expertise go 10 11 through all the same military type training as an infantry officer? If a cyber expert's military role will be in an 12 13 office setting performing cyber functions on an office computer, does he or she need to be proficient with a 14 firearm or meet the same physical fitness requirements as a 15 16 combat arms officer? If our current approach means that we 17 are not getting the right people in these jobs, then the 18 requirements need to be tailored for the specialty involved 19 and flexible enough to bring in the talent we need. 20 Another area we should explore when it comes to cyber 21 is making it easier for civilian experts to join the 22 military so that when we identify individuals with sophisticated skills, education, and experience, we can 23 24 bring them in at a higher rank commensurate with their

25 military responsibilities.

We must also be cognizant of the fact that even if we 1 provide the military with greater authority and more 2 3 flexibility for officer personnel management, that does not mean that these authorities will be used as we intend them 4 5 to be used. This has been our experience with efforts to have our services conduct a pilot program for a career 6 litigation track, a program that the Navy already has in 7 place. Once we provide new authority, it will take 8 9 continual congressional oversight to ensure that the new 10 authorities are used as intended.

11 Mr. Chairman, I look forward to hearing from our 12 witnesses about what is working and what is not working with 13 our officer personnel management system and then putting our 14 heads together to develop meaningful changes that will 15 improve the system and ensure we are recruiting, growing, 16 and retaining the right people.

17 Senator Tillis: Thank you.

Before we get into any questions, we would welcome you to have any opening comments that you may want to make. And I have got a lot of questions. So we want to start with Dr. Chu.

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STATEMENT OF HON. S.C. CHU, PRESIDENT, INSTITUTE FOR
 DEFENSE ANALYSES

3 Dr. Chu: Thank you, Mr. Chairman and members of the 4 committee. It is a privilege to appear before you this 5 afternoon to discuss the Defense Officer Personnel 6 Management Act, or DOPMA.

I should stress these are my own views. They do not
reflect a position by the Institute for Defense Analyses or
our research sponsors.

10 I do have a short statement that I hope might be 11 offered for the record, if you would permit.

12 In my judgment, DOPMA's strength is also its weakness. With the just-revised retirement system as it used to be 13 14 administered and given the fact that the compensation for 15 officers is largely tied to grade, together that creates 16 what you said, Mr. Chairman, which is a one-size-fits-all 17 solution. And the difficulty that raises is across skill areas, as Senator Gillibrand has emphasized. It is not 18 clear that you want the same experience level in all 19 20 functions of the military services.

That has been a tension for many years in the Department. On the promotion front, the services have, to some extent, relieved that tension with separate competitive categories, done that for a long time for the professions, clergy, lawyers, clinicians, especially doctors. There have

been other solutions. The Army has a different way of accessing and managing many of its pilots, the warrant officer status for its community. And you have small solutions like the permanent professors at the United States Military Academy and the other military academies.

6 Before we go to change the rules, I would urge we have more of a focus on what results we want, what kind of 7 8 experience profiles are really helpful, as Senator 9 Gillibrand suggested in the cyber realm as one example. You might want in some areas the pyramid that is the current day 10 11 where lots of people come in at the bottom, the operational 12 community likes that. Only a few rise to the top. But it 13 is also possible you want an inverted pyramid where you have 14 mostly experienced personnel and you do not spend a lot of 15 effort on training junior personnel. Military attaches are 16 an example, you might argue, of such a situation.

In different communities, you might want a "Michelin man," that is to say many people in the middle, some at the top with deep experience. Acquisition is an excellent example. That would need lateral entry to actually work since you would not want to take in large numbers of junior people to train them on your watch. You would want to acquire them from the civil sector.

And in some areas, you might want a cylinder. Pilots are an example where you want people spend a long time in

one professional area. The Marine Corps has talked about
 that now a bit regarding cyber personnel.

I do think ultimately, as you suggested, Mr. Chairman, this turns on service culture. What would the services say are communities where they need a different experience profile because ultimately they have to administer this system to make it a success?

8 Put a little differently, I would start with the experience profile we would like for different communities, 9 and subject to any constraints that various parties wish to 10 11 impose, including the Congress' concern with grades, then 12 solve for what you have to do with the other instruments at your disposal whether that is the retirement system, whether 13 14 that is the compensation that is offered, or whether that is 15 perhaps bonus authority for officer communities that the 16 Department does not now have in order to get to the results 17 that you need to serve America well.

18 Thank you, Mr. Chairman.

19 [The prepared statement of Dr. Chu follows:]

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1	Senator Tillis: Thank you.
2	Mr. Levine?
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STATEMENT OF HON. PETER K. LEVINE, SENIOR RESEARCH
 FELLOW, INSTITUTE FOR DEFENSE ANALYSES

Mr. Levine: Thank you, Mr. Chairman, Ranking Member Gillibrand, Senator McCaskill, thank you for inviting me to participate in today's hearing, and it is an honor to be back in this room.

7 With your permission, I will make just a few brief8 points.

9 First, the "up or out" system at its heart is still very much needed. DOPMA has been rightly criticized for 10 11 limiting the Department's access to needed talent and for 12 pushing out highly trained officers with critical skills too soon. Even so, though, it continues to provide a highly 13 14 competitive environment in which the officer corps is continually refreshed, routinely producing officers whose 15 16 leadership qualities are the envy of the world.

I had the privilege, while I was at the Department of Defense, of actually having general officers work for me, and I have to tell you having that kind of direct exposure to them on a day-to-day basis, you can only come away impressed.

Our officer personnel management system is an incredibly valuable investment portfolio that we rely on to produce results not this year but over a 20 to 30-year period. So we may want to diversify that portfolio and

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bring in creative ideas at the edges with the kind of skilled occupations that we have been talking about, but we need to be really careful that we do not break the overall portfolio, that it continues to produce the kind of results we need so they can shape the force in 20 to 30 years. We cannot focus so much on the next 2 to 3 years that we lose that long-term focus.

8 Second, Mr. Chairman, I agree with you that we have to be very careful to avoid one-size-fits-all solutions in this 9 area. What the Air Force needs may be very different from 10 what the Marine Corps needs. What we need for the cyber 11 12 workforce may be very different from what we need for the acquisition workforce. So I think it is important that you 13 14 work with the military services and give them flexibility to 15 do the kind of analysis that Dr. Chu is talking about and 16 figure out what they need and address those needs rather 17 than trying to impose a solution on them.

Third, as Dr. Chu indicated, real change is going to 18 19 require not just changes in laws and rules but changes in 20 culture and incentives. That is going to take leadership from the top down within the service because whatever new 21 22 flexibilities or new career paths you may offer, they are 23 only going to be successful if young leaders are convinced 24 that when they follow these new career paths, it is not 25 going to come back and disadvantage them tomorrow. If these

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1 career paths are perceived as being potential dead ends -2 and I would particularly warn you about the idea of
3 temporary pilot programs which will be perceived as
4 potential dead ends -- then they are not going to do us much
5 good. People will not commit a career to something if they
6 do not perceive that it is going to be there when they need
7 it.

8 Finally, I would urge you to keep in mind that our 9 active duty military do not need to and will not have to meet all of our needs in areas like cyber, intelligence, 10 acquisition, space, those kinds of specialty career fields. 11 12 We have a mixed total force that consists of military, civilian, and contractors. Within the military area, we 13 14 have both active duty and reserve. They serve different 15 purposes and we need to think about that and optimize the 16 entire force rather than just assuming that we can optimize the officer corps in isolation and that that will address 17 18 the problem.

19 So with that, I would urge you to focus on increased 20 flexibility rather than new requirements, to work closely 21 with the Department, and to give direction to the services 22 but allow them to develop their own unique solutions for 23 these problems.

24 Thank you for allowing me to testify today, and I look25 forward to your questions.

1	[The	prepared	statement	of	Mr.	Levine	follows:]
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1	Senator Tillis: Thank you.
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STATEMENT OF DR. TIMOTHY KANE, FELLOW, HOOVER
 INSTITUTION, STANFORD UNIVERSITY

3 Dr. Kane: Thank you, sir. Chairman Tillis, Ranking 4 Member Gillibrand, Senator McCaskill, thank you for this 5 opportunity. What I will say today --- these are my own 6 views not those of the Hoover Institution or Stanford 7 University.

8 And thank you for working together. I think this is a moment to work on a nonpartisan issue that may be rare. It 9 may not happen again for 20 years. So I am excited to see 10 11 significant change not pilot projects come out of the 12 committee and this committee, in particular the 13 subcommittee, can show how democracy works especially for 14 the volunteers. So I am really enthusiastic about what you 15 have endeavored and just holding this hearing.

16 So my colleague and former Secretary of State, George Shultz, recently wrote, "Over 40 years ago, Milton Friedman 17 and his friend, Martin Anderson, put forward the idea of 18 19 ending the draft and recruiting volunteers for the armed 20 forces." At the time the bulk of flag officers thought that was a terrible idea. Now the bulk of flag officers would 21 22 say this is brilliant. We do not want to go backwards. We 23 want to go forward. And I have been really encouraged in 24 the talks I have had over the last 5 years and worked on two 25 books on this issue to see the Navy in particular. They

realize they need more flexibility than DOPMA is getting them. And I hear that from other officers in other services, but I think the Navy is ready to strike now on the issue because they want to be more efficient and better and stronger. And we need this fix to DOPMA to enhance our security.

So Mr. Shultz did not say all that. Mr. Shultz then
said, "Since the draft ended in 1973, the concept can now be
said, unequivocally, to have succeeded."

Yet, despite the world-class culture of the U.S.
military, the bureaucracy still treats troops like
interchangeable draftees. It is not only disrespectful but
also short-sighted. And this cannot be fixed until DOPMA is
fixed.

15 Now, my research and the research of others, I think 16 all three of us at this table, have looked into the quality 17 of the people who are volunteering, the men and women. It is fabulous. The literacy rates are above the civilian 18 norms. Physical, moral, mental fitness is above average. 19 20 Our enlistees and our officers are fantastic, but how they get treated is not so fantastic, and that is why we have 21 22 repeatedly retention crises.

Now, 3 years ago, I conducted a survey as part of this
book, "Total Volunteer Force," of 360 active duty officers,
NCOs, and veterans to identify their thoughts on the

1 Pentagon management system. The respondents gave high marks to the U.S. military's leadership culture but low marks to 2 talent management, as shown in figure 1 of the written 3 4 testimony. Across the board, they saw promotion and job 5 matching practices as the most troublesome and the weakest. Promotion -- that is encoded into DOPMA about how these 6 things have to happen. This idea of "up or out" that we say 7 8 is the culture, but it is not. It is coded into the law. 9 So because of DOPMA, commanders cannot hire. They cannot flexibly adjust their people, and they are actually 10 left with empty billets when they are removing an abusive 11 12 coworker. So they get essentially punished for trying to 13 get their teams to work better.

14 Furthermore, promotions are completely lockstep based 15 on seniority not merit, and promotion boards are completely 16 centralized and dehumanized. I am using strong language 17 because I think we assume the troops, the officers, work so hard in these promotion boards and they are fair and they 18 19 are this and they are that. They might be all those things, 20 but they are inefficient and we can build something that is a lot better by maybe mandating some flexibility. 21 That 22 might sound funny. Get commanders involved in the process. 23 Now, one of the side effects that concerns me of the law as it is written is that the sexual predators can hide 24 25 in plain site in the ranks. Sexual assault in the ranks

1 occurs at 10 times the rate in the civilian sector. When you are rotating people constantly and you are rotating 2 3 commanders constantly, unless someone is a proven criminal, 4 you cannot weed them out. There is no informal information 5 so that when commanders hire, they are just given a person. 6 They are not allowed to do a background check or a reference check. I think you need to get commanders involved in the 7 8 hiring process regardless of what you do on the UCMJ side of 9 it because we are talking about people who are predators and they are not yet proven criminals and they are still lurking 10 11 heavily. Now, most men and women in the ranks are not 12 predators, but this system allows them to hide in plain 13 sight, as I would say.

14 Key reforms that I would encourage the Senate to make.
15 And I will just list four and then end.

16 Let us kill the "up or out" principle that is coded into law. I do not think it is helpful. It is not how the 17 military had its history pre-1945. The historical military 18 19 principle for most of our history has been "excellence or 20 out," but we do not do that anymore. It really does not matter how excellent or un-excellent you are. You are 21 22 pretty much guaranteed promotion pretty much all the way to 23 20 years. So we are not really forcing people out. There is a big bubble of officers between 12 and 20 years, and 24 25 then suddenly they drop off. So some of this ties into

1 compensation, but I think we should be forcing excellence 2 and force people to require to recompete to stay in their 3 jobs if they want to specialize.

4 Two, end the mandatory use of year groups after 10 5 years, and end forced retirement for non-promotion after 10 6 years.

Number three, restore balance to command authority.
Let us give local commanders a voice in hiring so they can
do informal reference checks.

10 And then four, allow innovation and flexibility by the 11 services. You know, they may not use this flexibility, but 12 right now they just do not have it.

13 And I will end on that and thank you again for this 14 wonderful opportunity.

15 [The prepared statement of Dr. Kane follows:]

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1 Senator Tillis: Thank you.

2 Senator Gillibrand will be back. She had a commitment
3 that she has to go to.

Mr. Levine, I want to start with you. In your written
testimony, there were two things that I think are good,
quotes that I may never attribute to you because they are so
good, but I am going to use them again.

8 One, because I think it sets the tone of what I think 9 we are trying to accomplish here. You say that before you undertake reform, it is important to understand not only 10 11 what is broken but what is not broken. We have talked about 12 some of the things that we should look at and possibly 13 change, but let us talk about some of the things that you 14 think are foundational and very important to keep in place. 15 Mr. Levine: Well, this is where I would agree with my 16 colleague, Dr. Kane. I believe that the "up or out" system 17 needs to be kept in place, that because we need to shape a workforce over 20 to 30 years, we cannot rely on individual 18 19 decisions and we cannot rely on military leaders to 20 structure their own teams in a free form way. We want to be responsive to the civilian job market and we want to 21 22 recognize the realities of the civilian job market. But we 23 are not in a position where we are just hiring for the next 24 2 to 3 years, and we can allow our whole officer corps to 25 turn over and get a new one if that does not work. We have

to plan far in advance, and I think that the "up or out" system is a way of continually refreshing. So I think the subcommittee ought to be open to different periods of time, different tour lengths. All kinds of different flexibility within DOPMA are open to consideration, but I think the "up or out" system itself not only works but performs a vital function for our military today.

8 Senator Tillis: So, Dr. Chu, you get to break the tie.9 [Laughter.]

10 Senator Tillis: What are your thoughts?

Dr. Chu: I would put myself someplace in between.
[Laughter.]

13 Dr. Chu: Split the difference. And here is the 14 reason.

I think it is very important, as Mr. Levine has said, that they have some mechanism to judge is the individual continuing to develop, is he or she continuing to perform at high levels, as Dr. Kane said. "Up or out" is one mechanism.

The problem is it is very rigid at the moment. You come into a window. You have got just two chances. If you have had an unusual career so that you did not do the normal things, you might be severely disadvantaged by that. Admiral Crowe is an example of that career path. Most people were amazed he ever made flag. Once he made flag,

the rest of the system took over and he eventually, as we all know, became chairman of the Joint Chiefs of Staff never having commanded a ship at the ship level. He was EXO over a submarine.

5 So we can do things differently, but the present system does not allow much leeway for that. And so I think in 6 terms of relaxation, giving the service secretaries some 7 8 degree of latitude to change the rules, whether that is to 9 encourage them to use more selected pay and grade, which the authorities already do under the existing rules, whether it 10 is allowing them to put people in different year groups as 11 12 different careers might suggest so they do not compete against someone who has done all the normal things when they 13 went off to do, let us say, a period of deeper education in 14 15 a technical area that is needed. So some leeway for the 16 service secretary, more waiver authority perhaps I think 17 would be very helpful.

Senator Tillis: The other thing we talked about is we 18 19 need to stay away -- I think there is generally a consensus 20 that there should not be a one-size-fits-all, and we also talked about taking into consideration how we seek input 21 22 from the different service lines about maybe areas that we 23 should look. For example, I think there is a universal or 24 kind of a horizontal focus on cyber because that seems to be 25 something that although you may have different practices on

1 a day-to-day basis, that is a category where we are really 2 behind and we need to work. And it seems to be one area 3 that we could possibly focus on as a part of anything that 4 we may move forward with in the markup.

5 But then there are the position -- that they be unique 6 to the line of service. Now, we talked about acquisition. 7 You could also argue, on the one hand, it is horizontal. 8 There may be unique needs based on the line of service.

9 But you all said something that I think is interesting. On the one hand, Dr. Chu, I think you said something about 10 pilots and you guys said do not do pilots. I think what you 11 12 are talking about is start small and work on things that have a greater potential for being operationalized versus a 13 14 test and a good idea that goes away, maybe does not get 15 authorized. That would not be particularly appealing for 16 somebody that is building a portfolio of experience in their 17 career. Is that an appropriate way to interpret what you said? 18

Dr. Kane: If I can speak on that, sir, yes. Sometimes pilots get a bad name if they do not work out. So as an example, there is a problem with retention of female officers in enlisted. So there have been efforts to do sabbaticals. But I see those sabbaticals, and they do not look flexible to me. I talked to a young woman, enlisted, got into Stanford Business School. She thought about

1 leaving as a sabbatical program, but there was not control for her, that when she got done with her MBA, she could 2 3 choose whether to come back in or not. She could choose her 4 career field. It was all, oh, no, when you are done, if you 5 are under the sabbatical program, we will tell you where to 6 qo. To me that is very disrespectful, and that is not flexibility. But the military can then interpret that and 7 8 say, you know, we tried that flexible lateral entry idea or 9 lateral reentry in this case, it does not work because these 10 women are not taking up the program because it is not really 11 flexibility. So that is my sense of caution.

Now, the type of a pilot project that could work is to say take a career field and do not force that career field to use the pyramid. Allow long-term specialization, say, within cyber or intelligence and maybe not in all the other competitive categories. I think that would be a brilliant, wise type of pilot project, but I would just caution against some of these.

19 Senator Tillis: Senator Warren?

20 Senator Warren: Thank you, Mr. Chairman. I apologize. 21 We are covering multiple hearings this afternoon. So we are 22 dashing in and out.

We are here to talk about how our military officers are recruited, retained, promoted, assigned. Our officer corps is the best in the world. We must have been doing something

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right. But I think about how things are changing over time.
 I think about two ways they are changing.

3 DOPMA is this one-size-fits-all system. Everyone has 4 been talking about that part. And today a lot of young 5 officers require a different kind of talent management. At the same time, the demands of modern warfare are driving 6 changes in the types of officers that we need in order to 7 8 lead in the future. We demand a force that is highly adaptive, that is technically skilled in advanced 9 technologies. You know, these are not skill sets that 10 11 Congress had in mind when DOPMA was originally put together. 12 So I worry that the Pentagon is just not set up either to be 13 able to help guide careers or to be able to attract people, 14 the people we need in our changing world.

15 So here is the question I want to ask. I want to ask 16 the one wish question. If you could make just one, because 17 this is what forces you to have to make hard choices -- one change to DOPMA in order to try to solve this part of the 18 19 problem, the officer management of career and attracting the 20 right people in -- if you could make one change, what change would you make? And we can start any place you want to 21 22 start. Dr. Chu, do you want to start?

23 Dr. Chu: Actually the one change I would make is not 24 DOPMA-specific.

25 Senator Warren: Fair enough. This is your wish.

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Dr. Chu: But I would contrast how the Department manages skills for the enlisted force versus skills for the officers.

4 Senator Warren: Say more about that.

5 Dr. Chu: In the enlisted force, the Department has, thanks to the Congress, a set of special compensation 6 authorities, bonuses as they are called. It has wide 7 8 latitude within constraints Congress established how those are paid and it adjusts them. It really is a market and it 9 looks at results on both recruiting and retention. So I 10 11 would consider giving the Department somewhat broader 12 authority for special compensation for officer communities, to allow it to deal, for example, with cyber. So if you are 13 14 going to be with Google, do you have to pay as we are paying 15 for pilots, let us say? Maybe we do; maybe we do not. I am 16 not trying to prejudge the answer to that question. But I 17 think part of the answer -- and that is the emphasis I would give -- lies in other parts of the system, not just in DOPMA 18 19 per se. It is also a matter of how you administer the new 20 retirement system. It is an issue of how you administer other items of compensation. So my one wish would be broad 21 22 bonus authority for the officer corps the Department can 23 apply in communities where it needs help as opposed to 24 specialized.

25 Senator Warren: Thank you.

1 Mr. Levine?

2 Mr. Levine: So I get to choose a different one.
3 Senator Warren: Yes.

4 Mr. Levine: So what I would suggest is -- Dr. Kane 5 mentioned the idea of sabbaticals. There are several different ideas around that idea. There is the career 6 intermission program, which is currently a pilot basis. 7 8 There are sabbaticals. There is also the idea of opting out 9 of a promotion cycle. These are all ways that you can build in additional flexibility into officer careers so that this 10 idea that you just have to keep punching tickets and there 11 12 is only one path, you can get more flexibility into that and 13 officers can build greater depth and experience, greater 14 breadth of experience. They can even, under some of these 15 programs, take time out to start a family, but create 16 greater flexibility within the existing system without 17 disrupting the overall system and disrupting the military's 18 ability to plan.

And one thing I would emphasize about that is I know we all think that the military is resistant to change, but I had the honor of serving with two of the officers who will be on the next panel and immediate predecessors of the other two. They all supported this idea that we should build in this greater flexibility. The sabbatical program, the career intermission program, because they have been pilots,

young officers have been unwilling to trust them and to believe that promotion boards will give them full credit and will understand why they chose the career paths that they did. But I believe that if we make them permanent and if we make this an established part of the way the career pattern works with the help of our military leadership, we can really build some more flexibility.

8 Senator Warren: Actually I just want to make sure I am 9 drawing the right point here. It is not that we make it 10 permanent. It is that we open up the possibility that you 11 can do it and you can make it permanent if you choose to 12 make it permanent.

13 Mr. Levine: Congress would have to open up -- would 14 create a permanent authority, but the service member would 15 have to be --

16 Senator Warren: A permanent authority, but you make 17 the decision whether or not it is permanent and obviously 18 what the parameters are for that.

19 Mr. Levine: Yes, Senator.

20 Senator Warren: All right, good.

21 Dr. Kane?

22 Dr. Kane: This is one of those juicy questions and the 23 genie says you get one wish.

24 Senator Warren: Yes, exactly.

25 Dr. Kane: -- three wishes.

Senator Warren: I know. I know. But the other two
 guys already slurped up the first two.

3 Dr. Kane: This is broad, but I would end the tyranny 4 of the personnel commands. And I will tell you a little 5 story because I think this is what galvanized me to start. 6 I left the military, became an economist. I did not think 7 much about these issues. I was not as expert.

8 A friend of mine was in the Air Force. 9/11 had 9 happened and he was doing a mission. He was doing a targeting mission and got a call from Air Force Personnel 10 11 Center that said, hey, congratulations, Major. You get to 12 go back and get your master's degree. He is like I am helping find the enemy and putting bombs on the enemy and 13 14 keeping America safe. I do not want to go. But there is so 15 little control and there is so little respect for these men 16 and women who volunteer to control their own careers. All 17 the needs of the Air Force have to come first.

And it is such a nice sounding slogan, but what if that 18 19 actually is short-term oriented and not long-term oriented? 20 Because they ended up losing this officer. He did get 20 years of retirement, but he could go and work for a 21 22 different government agency and do the exact same mission, 23 but he had to give up his 20-year retirement. He had to go 24 home and explain to his wife and kids we are not going to 25 have health care forever because I want to serve my country,

and the Air Force will not let me do that because he had already got two master's degrees on the taxpayers' dime and he did not want to go get a third. And they needed a warm body and they said, no, no, you are the guy. And he said I am not going to go. And they said, well, we will forcibly retire you.

7 That is the kind of nonsense that happens when people cannot control their own careers. And I think part of that 8 9 is saying we will manage it for you. There is incredible 10 responsibility placed on the shoulders placed of the 11 personnel commands, and they do the best they can. But I 12 have had so many meetings and calls. At HRC, I visited NPC 13 at Millington. They are just as frustrated, but they are given their mission and they do the best they can. 14

So I would relieve them of that burden and say one 15 16 thing to change in DOPMA is you do not have to retire after you have to promote two times. You just do not. And so 17 18 people would not have that pressure of playing the game, 19 checking the boxes, and the personnel commands would not 20 say, gee, if you do not go to this school or you do not get 21 this master's degree or you do not take this joint 22 assignment, you are not going to get promoted. It is just 23 not there anymore. And that is what you can fix with a 24 sentence. The Senate can fix.

25 Senator Warren: Can I just ask? I know we are over,

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but I just want to ask on this, just probe just a little bit. You are confident that if we made that change, we will not end up with an officer corps that sort of bulges out and is sluggish. I always like to remember somebody had something in mind when they wrote that. They thought there was a problem they needed to fix. It does not mean they got it right.

Dr. Kane: President Eisenhower.

9 Senator Warren: But it means you have at least got to 10 think about what happens if you roll it the other direction. 11 Dr. Kane: President Eisenhower -- this goes back to 12 the Civil War. The class of 1868 out of the Naval Academy 13 -- none of those graduates were able to get past lieutenant 14 for 21 years --

15 Senator Warren: Yes.

Dr. Kane: -- because they did not have an "up or out" system. So they instituted it, but now it has crept down the ranks. It is not just for the generals. It sort of influences everyone, and it is not "up or out." It is sort of "up or up." You just sort of play by the rules. You get promoted sort of lockstep.

I would force "excellence or out." Every 2 years, you are recompeting for a position you are in, and if your boss decides to hire someone else, she has the right to do that. You need to go look for another role within the military.

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If you cannot find anyone to hire you, thank you for your
 service.

3 Senator Warren: So you think that is a way that we
4 could prevent that from happening. So there would be
5 another way to do that.

6 Dr. Kane: Yes, ma'am.

Senator Warren: All right. Very valuable. I find it
enormously helpful to hear very specific suggestions.

9 Dr. Chu: If I may.

10 Senator Warren: Dr. Chu, if this is okay.

Dr. Chu: We are treating "up or out" as if it is 11 12 either a good or bad idea for all promotions. And there is differentiation here that might well be considered, and that 13 14 is up through about 04, you may well want an "up or out" system because that is really, I would argue, a "perform to 15 16 stay" criteria, and it helps avoid the kind of gumming up of 17 the system that Dr. Kane had described. It may be beyond that that you want to be somewhat more relaxed about how 18 19 many chances people have to 05, to 06, and so on especially to 07. 20

And I particularly would highlight what I think is an unfortunate wrinkle in the law, which is the bar to commissioned service beyond 30 years of service. Now, I do not think you necessarily want every 06 to stay for 35-40 years of service, but there is a cadre in every service in

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my judgment of the military of senior 06's who are not going 1 to make general officer or flag but who are the senior 2 3 experts in their area. You speak about the lawyers, for 4 example, deep knowledge of the system. And I think you want 5 to have a way to perhaps retain some more of those people 6 who may be at the peak of their professional abilities when they hit 30 years of commissioned service and our system 7 8 says there is a way to deal with retiree recall. Ι recognize there are end runs around the constraints, but to 9 more systematically think about keeping the most 10 11 experienced, deeply technical 06's for longer than 30 years 12 of commissioned service.

13 Senator Warren: Right. But if I can, you describe 14 that as a more relaxed requirement. I realize it is 15 relaxing the "up or out" part of it. But if I understood 16 Dr. Kane correctly, it is not so much a more relaxed. It 17 actually just changes what the sorting device is. So it is no longer "up or out," but you say we will substitute 18 19 "other," like recompete for the same job every 24 months. 20 Is that right? And do you agree with that, Dr. Chu? Is 21 that right? 22 Mr. Levine: Senator, if I could on that point.

23 Senator Warren: Please.

24 Senator Warren: I think that as you look at the 25 military personnel system, you have to keep the culture in

1 mind too. And one of the central aspects of the military 2 culture is an inability to say no. So I would be very 3 concerned about abandoning an "up or out" system in that if 4 you say all the really best people we are going to keep, 5 there is an inability to tell anybody that they are not one 6 of the best.

Senator Warren: All the children are above average.
Mr. Levine: So as painful as it is to have arbitrary
rules, sometimes those arbitrary rules really serve an
important function.

11 So the way I would try to meet Dr. Chu and Dr. Kane's 12 objective there is by allowing the occasional exception or 13 the career field where you are going to build in some 14 exceptions because we need to retain talent but not by 15 abandoning the rule which I think is a structure which is a 16 need to force decisions which otherwise people would be very 17 reluctant to make.

Dr. Chu: And I think that does bring us back to the 18 19 culture point because, as you all know, under DOPMA, the 20 service secretary has authority for selection, retention, and grade. Rarely does the service use this authority. It 21 22 also has authority on the other side of that coin to select 23 out, and the boards have authority to say that this officer 24 perhaps should be reviewed for dismissal from the service, 25 not in quite so strong a language I acknowledge, but again

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1 rarely used except when we have a downsizing problem and we
2 have to have a reduction in force of some kind. So I think
3 trying to encourage -- to have a conversation with the
4 service about could we use these tools more aggressively to
5 achieve some of these results would be very productive.

6 Senator Warren: Good.

7 Senator Tillis: Senator Warren, you were going right8 after the questions I was going to ask.

9 When we talked last week, most of the top tier management consulting firms dealt with the "up or out" issue 10 11 back in the mid-1990s when you had two shots at making 12 partner. If you did not, you left. And we were losing some 13 really deep talent that were a very important part of our 14 go-to-market strategy, but we did have a period where we 15 started bulging and started creating a diamond pyramid. So 16 we did have to go back through and figure out how to do the refresh and I think get closer to what Mr. Levine is saying 17 to force the excellence for that versus kind of a holding 18 19 area. There has got to be a constant attainment of 20 knowledge and skills and a broader contribution. So I think that that discussion was very helpful. 21

22 One thing that I would like to ask you all to think 23 about and possibly get back with us. We talked a bit last 24 week. A part of what we may need to do is shed light on 25 flexibilities and options that are already available in

DOPMA that are not regularly used. They are used on an 1 2 episodic basis. Because I would rather shed light on that. And in the next panel, we will talk a bit about it. But 3 shed light on let us fully get all the juice we can out of 4 5 the current authorities that you have and then figure out what additional flexibilities you need and with that, 6 accountability for dealing with the peaks and troughs and 7 the challenges for bringing people in for special needs that 8 may not be long-term, those sorts of things. I would really 9 10 be interested in your feedback on what is actually possible 11 within DOPMA that is not really a part of the day-to-day 12 operation and execution of the personnel practices. So I 13 would appreciate that feedback if you could give it some thought and potentially accept that as a question for the 14 15 record. 16 [The information follows:] 17 [SUBCOMMITTEE INSERT] 18 19 20 21 22 23

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1 Senator Tillis: The last thing I want to do -- and it is mainly Dr. Kane to set the stage for the next panel, to 2 3 react to it, is something that you said last week and you 4 referred to today. And that has to do with you get an 5 assignment, you get a person, you do not really get to do that sort of background hiring check. And you also said 6 something in particular that had to do with things that may 7 8 not be in the file but may be information you would gather if you just had that final discussion before somebody gets 9 deployed. And you were particularly talking about sexual 10 11 assault.

Would you just frame your position while I have got people's attention so that after you do your opening statements, I would like for you to give me a response from the perspective of the people who will speak in the next panel?

Dr. Kane: Yes, sir. The issue of sexual assault in the military bothers me. It is 10 times higher than it is in the civilian sector. I went to the Air Force Academy. I would be very proud if any one of my daughters -- and we have three -- would want to go. But I realize I am kind of sending her into the lion's den in a sense.

As I learn more about this issue, I do not really have a position and I am not an expert and understand the UCMJ processes. But I do understand that we entrust the captains

1 of Navy ships with nuclear weapons and tremendous wartime responsibilities but not the authority to hire, not the 2 3 authority to just -- and I understand the risk of creating 4 an old boys' club where commanders can just build their 5 team. But why not at least have the personnel commands sends them three nominees, and then they call the commanders 6 and say -- the previous commanders and say what do you think 7 8 about this quy. Well, he has not committed a crime but 9 there have been some problems. There have been some offcolor jokes. And you know what? Who else are you talking 10 11 to? Oh, I have heard about him. He is a solid blah, blah, 12 blah. That human dimension to human resources has really 13 been taken out. And so I worry that that is a big part of 14 the problem. Even if you got all of the UCMJ and who is 15 going to do the prosecuting perfectly right, you still have 16 a filtering problem before they become criminals where they 17 are just predators. And I think that only gets fixed when you fix DOPMA and you include commanders in the process. 18 19 To your point, there is some flexibility right now for 20 the services to do that, to institute -- give three names to 21 each commander. There is great flexibility to do better 22 performance evaluations which are, if I can use mild 23 language, a disaster in the Air Force, and they are a 24 disaster in the Army. And they are incredible in the

25 Marines. So the Marines seem to know how to do performance

1 evaluations really well.

2 I have 20 recommendations in the book "Total Volunteer Force." Maybe a third require legislative action, so the 3 4 other two-thirds, yes, there are flexibilities and they are 5 not well used now. But I would say those third are really 6 critical. DOPMA and the requirement to be promoted after two bites at the apple I think is silly. And some of them 7 8 are compensation, some rigidities in compensation that this 9 new blended retirement system will help, but it is hard to get lateral entry when you have already got someone halfway 10 through a 20-year retirement. Can they leave, come back, 11 12 what happens? 13 And I will stop there. 14 Senator Tillis: Well, thank you. 15 We are going to transition to the next panel, but 16 again, I appreciate the reference to the book. But those suggestions on things that we should look at -- do not fix 17 something that is not broken, but let us figure out ideas 18 19 that we can discuss that really prompt more extensive use of

20 the authorities and the flexibility that is out there. I
21 think it would be helpful and instructive to us.

Thank you all for being here. You have spent a fair amount of time on the Hill the last couple of weeks talking on this subject. We really appreciate your continued engagement. Thank you.

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Mr. Levine: Thank you, Mr. Chairman.

Senator Tillis: We will now transition to the next panel. The second panel includes Lieutenant General Thomas Seamands, Deputy Army Chief of Staff, G-1; Vice Admiral Robert Burke, Chief of Naval Personnel; Lieutenant General Gina Grosso, Deputy Air Force Secretary for -- Chief of Staff for Manpower, Personnel and Services; and Lieutenant General Michael Rocco, Deputy Marine Commandant for Manpower and Reserve Affairs. Thank you all for being here. We will start with General Seamands. Welcome.

STATEMENT OF LIEUTENANT GENERAL THOMAS C. SEAMANDS,
 USA, DEPUTY CHIEF OF STAFF, G-1

3 General Seamands: Thank you, sir. Chairman Tillis, 4 Ranking Member Gillibrand, distinguished members of the 5 committee, I want to thank you for the opportunity to appear before you on behalf of the United States Army to testify on 6 DOPMA. I have submitted a statement for the record and 7 would like to highlight a few of the points from it now. 8 9 DOPMA has been in place since 1980, and it has worked well to standardize the management of the career Army 10 11 officers. We now believe it is time to considered changes 12 needed to more effectively and efficiently recruit, assess, retain the talented officers needed to sustain our ready 13 force and to better manage and employ individual talents and 14 15 specialized emerging skills.

Over the past 38 years since DOPMA became law, our service needs, technology, the population we bring in, develop, and eventually return to communities has all changed. In fact, in the past 10 years alone, the Army has grown, drawn down, and thanks to you, grown again.

21 While current DOPMA authorities allow for the Army to 22 determine the required mix of grades and the numbers within 23 those grades to execute assigned roles and missions, we are 24 challenged to sustain some low-density, highly technical 25 specialties like cyber that has already been discussed or

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1 specific skill populations within the larger branches.

The Army is about people. A review and adjustment to 2 DOPMA would enable more efficient and effective management 3 4 of human capital to help ensure inevitable cycles of 5 reduction and expansion work more smoothly for the services. 6 Our analysis tells us while DOPMA is the solid framework, it would benefit from a review and adjustments to 7 8 offer opportunities for managing key and critical skills within officer grades to deal with today's rapidly changing 9 world. We believe there are opportunities for change that 10 would enhance our ability to better meet the current and 11 12 future requirements for both the active and reserve forces. 13 We welcome the opportunity to work with the committee. 14 Sir, if I can go off script for a second, I want to

15 thank you for setting up the previous panel. That was like 16 a Ph.D. level discussion, and I took away a lot of notes 17 that will be very useful.

Again, sir, I would like to say again we really appreciate the committee's continued support of our Army needs as we have adapted to challenges for a prolonged conflict and welcome the opportunity to come before you today to testify concerning this critical personnel issue. I thank all of you for your continued support of our all volunteer Army.

25 And, Chairman, if I can ask for a clarification. You

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1 asked us when we finish our opening statements to make a 2 comment. Was it on the hiring or on the sharp that you 3 wanted us to make a comment?

4 Senator Tillis: I am sorry?

5 General Seamands: When you asked Dr. Kane the final 6 question --

7 Senator Tillis: It was on the hiring.

8 General Seamands: On the hiring?

9 Sir, the Army has put together a program called IAM, 10 Interactive Assignment Module. And what that does, sir, it 11 creates a marketplace for the officers in the Army and the 12 commanders and the units that are in the field. It is a 13 Web-based technology system. We piloted it about a year 14 ago, and now every officer on a current assignment cycle 15 will do it.

16 Essentially what happens, Senator, is the officer goes 17 in and puts information into the module that is not readily available on the officer's record. So, for example, if they 18 19 got their master's in hydrology, it would be helpful to know 20 when a hurricane came through what the officer's skill sets were. And the unit can go in and identify the officers who 21 22 meet the criteria they are looking for and then engage the 23 officer directly and perhaps find an assignment the officer 24 was not thinking about before but the skill sets match what 25 the unit is looking for.

So we are still in the piloting stage. We expect to go 1 2 fully live across the complete Army within the next year of officer assignments. But it is a great opportunity to 3 capture the skills that are nowhere in the database, give 4 5 access to the units that would be gaining the officers, and start a dialoque. So, for example, if a unit is, say, FIP 6 fitted to go to Africa for a year, they can go in and find 7 out that there was an officer who perhaps parents from the 8 9 State Department and lived in Africa and has unique skills 10 or experience, reach out to that officer, and then come to 11 an agreement as to why that officer should come to that 12 unit, what would happen to them when they came to give the 13 officer predictability and give the unit a known quantity in terms of talent. 14 15 [The prepared statement of General Seamands follows:] 16 17 18 19

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1	Senator	Tillis:	Very	good.	Thank	you.
2	Admiral	Burke?				
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STATEMENT OF VICE ADMIRAL ROBERT P. BURKE, USN, DEPUTY
 CHIEF OF NAVAL OPERATIONS, N-1

Admiral Burke: Chairman Tillis, Ranking Member Gillibrand, distinguished members of the subcommittee, thank you for the opportunity to appear before you to discuss the challenges we face and tools we need for effective officer personnel management.

8 The trends are clear. We are in a war for talent. The 9 propensity to serve is declining amidst an improving 10 economy, and it is adversely impacting both recruiting and 11 retention. Sailors leaving the Navy continue to express 12 frustration with our industrial age personnel systems and 13 inflexible and complex personnel processes.

14 Through our Sailor 2025 program, the Navy has begun 15 modernizing personnel management programs and training 16 systems. In conjunction with that effort, we have 17 undertaken transformation of internal business processes to improve service to sailors, increase our agility, improve 18 19 our responsiveness, and reduce cost. And we have gotten a 20 good start within existing authorities, but to achieve the point of service expected by our officers and the standard 21 22 of agility and responsiveness needed by our fleet 23 commanders, we need a more flexible set of officer 24 management tools.

25 Three fundamental areas in which additional flexibility

1 is necessary would be first some options to supplement assessing officers only at the entry level; second, to 2 3 provide some alternative career paths for officers beyond just the current "up or out" model; and third, the ability 4 5 to reward talent and merit. We think these can be 6 accomplished through relatively minor modifications to the current officer personnel management framework while 7 8 maintaining the core DOPMA attributes which, again, we think 9 have served us very well.

10 So in developing the future officer corps, we envision 11 a combination of the current "up and out" model, which still 12 would very much be the main path for the bulk of our core 13 warfighting officers, but we would suggest that it be 14 complemented by an "up and stay" and "up and bring back" 15 construct, as well as the addition for an entry path for 16 directly hiring experts.

17 The majority of Navy unrestricted line officers would remain under the "up and out" model until they separate from 18 19 the service or retire or transition to an alternative path. 20 Again, we think we would need a lateral appointment authority to hire experts into high-tech officer specialties 21 22 like we have been talking about earlier today, the cyber 23 fields, information technology, artificial intelligence, 24 robotics, even some special fields of acquisition. 25 Adding an "up and stay" option would allow limited

numbers of officers with specialized skills to remain longer
 in a specific technical or non-command career track.

And then finally adding an "up and bring back"
construct would provide for rapid return of qualified and
experienced officers to the active duty component.

6 Additionally, we have ideas on a number of adjustments designed to reward performance, something that DOPMA lacks 7 today, as well as some ideas to remove disincentives for 8 serving line officers to specialize and/or pursue alternate 9 career paths, education, or even life/work balance. And 10 11 again, recognizing that one size does not fit all and some 12 of these needs may even be temporal in nature, these authorities would need to be tailored for discretionary use 13 to meet each of the services' needs. 14

15 Sir, we appreciate your continuing recognition of the 16 need for change to ensure we have the necessary tools for 17 officer force management in what is now clearly an increasingly dynamic and challenging global security 18 19 environment. And I look forward to your questions. 20 [The prepared statement of Admiral Burke follows:] 21 22 23 24

1	Senator	Tillis:	Thank	you.
2	General	Grosso?		
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STATEMENT OF LIEUTENANT GENERAL GINA M. GROSSO, USAF,
 DEPUTY CHIEF OF STAFF FOR MANPOWER, PERSONNEL AND SERVICES

General Grosso: Chairman Tillis, Ranking Member Gillibrand, thank you for the opportunity to join the discussion today on DOPMA modernization. America's airmen remain always there providing global vigilance, reach, and power to defend our nation.

8 DOPMA has achieving its intended purpose and been essential to building today's Air Force. As we look to the 9 future, infusing flexibility into the law will not only 10 11 assist our efforts in retaining talented officers currently 12 serving today but will also ensure the Air Force is an employer of choice in our ongoing nationwide war for talent. 13 14 Your Air Force is eager to modernize promotion 15 processes and systems. In 2015, we transformed our enlisted 16 evaluation and promotion process with much success. 17 Building on this success, we are now turning our focus to officer evaluation and promotion processes. DOPMA 18 19 flexibility, such as affording officers the option to opt 20 out of promotion, increased authority regarding constructive credit, and improving permeability between the active and 21 22 reserve components will greatly assist our efforts.

23 We are excited to partner with you and we greatly 24 appreciate the committee's continuing support of the Air 25 Force and the interest in discussing DOPMA modernization.

We look forward to collaborating with the Department of
 Defense, our sister services, and Congress to provide
 flexibilities within DOPMA to keep it relevant in the 21st
 century.

5 I look forward to your questions.

6 And if I could just quickly share with you how we hire commanders, our Chief of Staff, General Goldfein, as soon as 7 8 he became the Chief, changed the way we hire commanders. Commanders hire commanders. So we have a board process that 9 calls the list and basically creates a list of people to 10 11 choose for command. That goes out to every hiring 12 authority. That hiring authority can look at everybody on 13 that list and pick who they want. That information goes to 14 the personnel center. And what you will find is that then there are some conflicts. So all the personnel center does 15 16 is say, hey, commander A, you want this person. Commander 17 B, you want this person. You talk about it. And they have to resolve it. If they cannot resolve it at their level, it 18 19 goes to the next level, which is usually a two- or three-20 star commander, and we have found that the commanders have worked out all of the deconflictions. So in the United 21 22 States Air Force, commanders hire commanders.

23 [The prepared statement of General Grosso follows:]
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1	Senator	Tillis:	Thank	you.
2	General	Rocco?		
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STATEMENT OF LIEUTENANT GENERAL MICHAEL A. ROCCO,
 USMC, DEPUTY COMMANDANT FOR MANPOWER AND RESERVE AFFAIRS
 General Rocco: Chairman Tillis and distinguished
 members of the subcommittee, thank you for the opportunity
 to appear before you today to discuss officer personnel
 management and DOPMA.

7 Your marines are the foundation of the Marine Corps. 8 They are the Corps' most critical resource and always will 9 be. Your marines are recruited, trained, and retained and 10 educated to win the nation's battles. Everything we do in 11 the Marine Corps must contribute to their readiness and 12 lethality in combat.

Overall, recruiting and retention remain strong. We are bringing in and keeping you men and women whose past service and future potential makes the Corps stronger. Your marines are supported by a professional civilian workforce across the service, and they remain committed to the Marine Corps mission.

We appreciate the support of Congress, especially this subcommittee, the increase in strengths and flexibilities that allow us to effectively manage our force. With the additional funding, this end strength increase will allow us to expand our capabilities to include cyber to meet the warfighting requirements.

25 We are working with the Department of Defense and other

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services on the DOPMA study outlined in the NDAA. We are 1 2 open to new ideas and improved officer management and 3 retention such as providing lineal list promotion flexibility. When we look at DOPMA reform, lineal list 4 5 promotion flexibility is the Marine Corps' number one 6 priority. Lateral entry and ability to opt out are other 7 authorities that can prove beneficial. We must remain 8 adaptable and consider new ways to recruit and retain the high-tech force that we need for the future. 9

10 Chairman Tillis, I look forward to answering your 11 questions.

12 And if I just may add for the Marine Corps on the 13 command board, I would pick commanders. We have separate 14 command boards much like our promotion boards. We take a 15 group of former commanders, sequester them much like a 16 promotion board, and then they review the records, and then 17 they provide recommendations to the Commandant.

18 [The prepared statement of General Rocco follows:] 19

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Senator Tillis: Admiral Burke, do you want to weigh in
 on that one? I was going to get you on the tail end.
 Admiral Burke: Yes, sir. Sorry I did not answer up

5 It is not a trust issue for not allowing the commanders to pick their crews, but it is more of a time issue, 6 minimizing their distractions. But we do recognize the need 7 8 to allow them to pick their talent in an effort to also make sure there is an adequate talent distribution across the 9 fleet, that you do not have too much of a concentration in 10 11 one area and a lack of talent on another ship or another 12 squadron.

But we have piloted an effort under our Sailor 2025 13 14 efforts that we call detailing marketplace, which is very 15 much a version of Linked In for the Navy. Sailors get to 16 put an enhancement to their service record that puts 17 additional information why they think they are the prime pick for that job and communicate directly with the 18 19 commands. So it is information in addition to their 20 educational and job and experience records and then communicate directly with the commands to sort of negotiate 21 22 and sell themselves and increase the transparency in the 23 process for the sailors which gives them a lot more 24 confidence. It also allows a little bit of horse trading in 25 terms of preferences and allows the commanders to be much

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front.

1 more involved in seeing the entire field instead of having 2 an external agency do invisible talent matching for them 3 without them being able to see the whole thing.

So we are on our sixth pilot for that right now. We have done a mix of officer and enlisted community pilots, and we will be fielding it when our new information technology rolls out here this summer. We are going to be doing it on sort of handheld-based devices in about the August time frame.

10 Senator Tillis: Admiral Burke, I also wanted to thank 11 you for the time you spent with me in the office. You 12 talked about the "up or out."

13 [Audio disruption.]

Admiral Burke: Yes, sir. In the cyber world, again, 14 15 the Navy experience, which I would say is not unlike the 16 other services -- we have established a curriculum at the 17 Naval Academy established in 2013. It was accredited just last year, and the first graduates graduated in 2016. In 18 the class of 2019, we will have about 30 cyber warfare 19 20 qualified graduates directly commissioned into that community. But for the most part, it is our cryptologic 21 22 warfare folks from that community who also cover down on the 23 cyber warfare mission, the offensive part of it. Our 24 information professional community handles the defensive 25 part. And then our technical subject-matter experts are a

new LDO, limited duty officer, community that we created, and we are tapping senior enlisted cryptologist talent to fulfill those roles. And we are kind of broadening that talent pool as well.

5 The one area that was a new mission area for us that we 6 are having particular challenges with is in the cryptologic warfare -- or rather, the cyber warfare engineer realm. 7 8 These are the folks that write the software, do the coding for the offensive operations, very much in high demand 9 within other government organizations, as well as in the 10 civilian community. And right now, we are directly 11 12 commissioning those folks and growing them in a relatively limited officer community pool. Right now, it is an 01 to 13 03 type of community. We have got about 40 officers in the 14 15 program. And you gave us some relaxed authority to do a 16 direct commission option with the ability to give 3 years 17 constructive credit, but that is kind of 01 to 02 pay, which still leaves you in the mid \$40,000 initial salary hiring 18 19 range, give or take. And what we are finding is those folks 20 are in high demand elsewhere, and they are being hired in the hundreds of thousands of dollars a year salary range. 21 22 Senator Tillis: I was about to say \$40,000 could be 23 the signing bonus.

24 Admiral Burke: Right.

25 Senator Tillis: And similar experiences in the other

1 service lines?

2 General Seamands: Yes, sir. We are running the pilot 3 and we appreciate the authority to bring in people with up to 3 years. We would like to see that expanded just like 4 5 with an AMED to bring in more senior people. And related to 6 that may be a relaxation of the requirement to have 20 years active commissioned service by the age of 62 because we 7 8 think there may be some people in industry or academia who 9 would come in if they came in as a major or lieutenant colonel and they may be a little bit older and be able to 10 11 bring that experience and education to us. But I would echo 12 the Admiral's comments.

13 Senator Tillis: General Grosso?

General Grosso: Chairman, I would just echo that. The cyber career field for the Air Force is an example, which Dr. Chu described as a cylinder. And so that is where that constructive credit really helps because you could bring a talented person in at that field grade level rather than take 10 years to grow them. And so I would just echo constructive credit would be tremendously helpful for us.

General Rocco: And in the Marine Corps, we are still developing it. We have marines right now, both enlisted and officers, doing cyber missions both on the offense and defense. And we have, granted, a tyranny of small numbers, but we are bringing marines from other fields, be it the

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intel field, the information operations field,
 cryptologists. We are bringing in marines who have a
 propensity for that skill, bringing them in directly into
 Mafer cyber, sending them off, getting their qualifications,
 and then putting them to work in the cyber field.

6 Senator Tillis: General Grosso, I wanted to ask you a 7 question. I know it was a topic that was discussed before 8 the full committee.

9 First, I know that you do have some widespread 10 shortages among mid-grade officer ranks. And there was a 11 decision to move forward with offering a 100 percent 12 promotion opportunity. I believe at the time in the 13 hearings, if my memory serves me correctly, that was not a 14 permanent strategy but was in place to deal with some of the 15 challenges that you have.

Over what period of time do you think you will continue to maintain that policy? If you moved beyond that, then how have you fixed the problem or was this just an episodic strategy?

General Grosso: Well, as we find we have got continuing growing end strength, we have shortages in the field grade, every non-rated field grade skill set. So that is why we went to a fully qualified promotion. That board is complete, but it is making its way through the process and the Secretary has not seen it yet. So once the

1 Secretary approves that, we will definitely come over and 2 share the results with you. And so I think it is too early 3 to give you a good answer on what the future is because I 4 think we are going to see how did that board do, was the 5 Secretary comfortable with the results. That decision was 6 made just as she was coming on.

7 But I do think that constructive credit helps because 8 our shortages are at that field grade level. So how we get 9 talented field grade level in, we are leveraging the reserve 10 component. It sort of gets to what Dr. Kane was talking. 11 Can you keep the talent that you have if it is performing 12 well? Can you bring talent in at that mid-level as it takes us time to grow the force? But it definitely would not be a 13 14 long-term thing because eventually we are assessing enough 15 to grow into that.

16 Senator Tillis: Thank you.

One of the things that I asked the prior panelists and 17 18 a broader group that we met with last week was on the areas 19 of how much of this could be fixed through more effective 20 use of flexibilities you have today. So if we came back 21 with a long list of tools that you already have in the 22 toolbox that you are not using, are there any ones that you 23 have looked at and think that they are not necessary? I 24 mean, is there just this muscle memory and you have not gone 25 back to really fully explore what authorities you already

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1 have, or have there been thoughtful reasons why certain 2 authorities you do not think are particularly helpful that 3 others may think you should use?

We will just go down the line. We will start withGeneral Rocco.

6 General Rocco: We currently feel we have flexibility within the current DOPMA system to do much of what we did. 7 8 As I discussed in my opening comments, our number one priority is the merit-based lineal list adjustment. So we 9 can reward those high performing officers, those men and 10 women that perform well ahead of their peers. So we feel if 11 12 we have that adjustment, that will go a long way in solving some of the other issues we have. 13

14 And again, when we talk about DOPMA and we talk about 15 not having enough or having too many at certain levels, at 16 least in the Marine Corps, we are not at our DOPMA ceilings for the numbers of officers. And we promote based on 17 requirements, not just based on somebody who wants to hang 18 19 around. And I think our promotion rates kind of support 20 that where we retain, for the most part, the best and the brightest with an 85 percent promotion rate to captain, 70 21 22 percent to major, and so on and so forth. And if you do 23 make lieutenant colonel, which is about a 60 percent 24 promotion rate, you can stay to 28 years.

25 And one of the things I noted from the previous panel

that I wrote down that we are going to pursue is the retire/retain. And I fully agree with some of the members of the last panel. At 30 years, the requirement is colonels need to retire. We do have a retire/retain, but we need to pursue that I think a little bit further.

6 General Grosso: Mr. Chairman, I think as we embark on this full-scale review of our promotions and evaluations, 7 8 you will see us taking advantage of all of the flexibilities. I think you will see increased competitive 9 categories. You will see some technical tracks as we do 10 some modeling on that. So I think those flexibilities are 11 12 very much appreciated, and I think we just culturally were 13 not at a point where we were comfortable using them. But I 14 think you will definitely see that in the next couple years. 15 Senator Tillis: Thank you.

16 Admiral Burke?

Admiral Burke: We are confident that the things that 17 we are asking for -- we have exhausted the full range of the 18 19 authorities that we have. We have done a lot of things 20 under Sailor 2025, and we have multiple year groups in a single promotion board. We have blinded our promotion 21 22 boards to zones. We have had boards at the 06/05 level 23 where we have picked up to the maximum allowable numbers of 24 below zone folks because we have blinded the boards to the 25 zone of those folks. So they are picking purely on talent.

1 We stood up an office of talent optimization. We have 2 relaxed our officer program age restrictions to the maximum 3 extent possible unless there are physiological limitations.

You helped us remove the last remaining restrictions on the career intermission pilot program, and we are probably the biggest user among the services. And we have had tremendous success with aviation department heads who are now females that had children, and those women are now squadron commanders of aviation squadrons.

10 The fleet scholar education program that we put in place tours with industry. We are experiencing with 11 12 targeted reentry for reserve component folks to bring them in in an expedited manner, but we are still limited by the 13 14 scrolling process, which is one of the things that we hope 15 to speed up as part of our active to reserve component 16 permeability, the idea being, as Lieutenant General Grosso 17 mentioned, the ability to move back and forth quickly.

And then all these concepts of a merit-based component 18 19 to the pays that are just completely lacking. The current 20 statutes allow for, if you interpret them liberally which we would do to the maximum extent possible, as we have been 21 22 encouraged to do -- you could put a merit-based component to 23 some of the retention and enlistment bonus authorities, but 24 not solely a merit-based pay. So that is the thing that we 25 think we are lacking.

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But we have had tremendous support within OSD and the Secretary of the Navy to use that full latitude in the things that we are asking for to build that sort of new pyramid and put incentives based on good performance and examine some of the ways to remove some of the disincentives. I think we are at the point where we need to change some statute.

8 Thank you.

9 Senator Tillis: Thank you.

10 General Seamands?

General Seamands: Mr. Chairman, we are starting our review to find out what authorities we need. Every time I come over and get a chance to the PSMs, they enlighten me a little bit about existing authorities and help me get to where I need to be.

The Secretary of the Army, who you recently confirmed, has been very clear that he is all about talent management, and so as we start peeling back all the challenges and issues we face, I suspect we will explore and discover some cases where we have existing authorities we did not realize we had. But I think for the most part we understand what they are and employ them already.

23 Senator Tillis: Well, thank you all. I just wanted to 24 say I think the discussion that we had around the work and 25 sharing information that goes beyond the personnel file was

1 interesting, either the Linked In for the Navy. Did you
2 refer to that as the IAM program?

General Seamands: Yes, sir, IAM 2, and eventually that will be incorporated into our IPPS, or integrated pay and personnel system.

6 Senator Tillis: I do think going forward it would be 7 interesting to see -- I can see where that provides I think 8 better visibility into the resources available from the 9 perspective of optimizing who ultimately gets the assignment 10 and having the command involved in that.

11 I would like to go back and talk about the other piece, 12 which is really understanding the person. So you have got 13 their skills and their past experience, and then the person, 14 back to some of the testimony that Mr. Kane raised 15 particularly around folks that we may be able to find are 16 moving through the system where their next superior should 17 be aware of certain behaviors they should look out for, particularly around sexual assault. So I will be interested 18 19 to have that discussion subsequent to the committee.

20 Ranking Member Gillibrand?

21 Senator Gillibrand: Thank you, Mr. Chairman.

I want to follow up on the sexual assault issue.

23 Lieutenant General Grosso, prior to your current assignment,

- 24 you served as the Director of Air Force Sexual Assault
- 25 Prevention Response in the Office of Vice Chief of Staff.

In this discussion on officer personnel reforms, I think it 1 is important that we note the recent changes in career 2 specialization for military lawyers. Specifically, in the 3 4 last two NDAAs, the committee has included language creating 5 a pilot program to evaluate and improve specialization in criminal litigation, as well as to offer career progression 6 in that field and improve specialization in criminal 7 8 litigation, as well as to offer career progression in that field that is equivalent to other military lawyers. We did 9 this because we recognized the benefit to services in having 10 11 trained, experienced litigators dealing with the most 12 serious criminal cases, including sexual assault.

I know that the Navy has already developed a complex litigation track. Can the other services please talk a little about how they have approached this pilot program? You can go first, if you want.

17 General Grosso: Senator Gillibrand, ma'am, we have implemented a litigation track. We bring in about 120 new 18 19 JAGs a year, and all of them start with getting prosecution 20 training. They pick the best of those, and they give them additional training. So they will increasingly specialize 21 22 and stay on that litigation track. Now, obviously we are 23 just starting this, and we are learning from the Navy. And 24 so we will watch along the way how it goes. We would like 25 to come back to you in a couple years.

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One of the things that our TJAG is cognizant of is that 1 this litigation is very taxing emotionally and mentally. So 2 how do we think about taking care of them? And one of the 3 4 ideas that has come up is a career intermission program. So 5 we are committed to creating a litigation track and helping these litigators be successful throughout their career. 6 We will watch the promotions as well. That is one of the 7 8 things, should we make them their own competitive category? I think it is too soon to tell, but we will definitely be 9 watching that and then watching their wellbeing and see do 10 11 we need to think about something like an intermission 12 program if they need some time away from the litigation and 13 the stress of the litigation.

General Rocco: Ranking Member Gillibrand, from the Marine Corps at the bases and stations, we have litigators or we have SJAs that do nothing but sexual assault cases. So we have set those folks apart. We have also hired subject-matter experts to provide counsel for those lawyers that are dealing in nothing but sexual assault cases.

As far as SJAs, we only have one special selection category in the Marine Corps. You are either restricted or a comptroller. And we are looking at expanding that to SJAs and some other MOSs.

24 Thank you.

25 General Seamands: Senator Gillibrand, thanks for the

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1 question.

2 Within the Army, we have started a pilot that creates a 3 separate litigation track to hone those skills over time to 4 allow the prosecutors to continue to have repetitive 5 assignments in that area. We have also identified a skill identification or additional skill identifier for those 6 prosecutors that would track them, not only that they occupy 7 8 the position but also their experience in terms of the number of cases they have tried and that kind of thing so we 9 can track the experience over time. We are also watching 10 11 the promotion boards to make sure that those officers 12 identified are promoted at or above the average for everybody else. Additionally, we have increased the 13 14 training for those people along that career track to make 15 sure they understand and can hone their skills to better 16 support the victims.

Senator Gillibrand: Do you want to say anything, Vice Admiral?

Admiral Burke: I think you are familiar with our career track, ma'am. Again, we are specializing at the 04, 05, and 06 level. It is about 10 percent of our judge advocate general corps. So right now it is right around 90 judge advocate generals. And then they get in that career track and they stay on the prosecution path. We are going to be expanding it slightly over the course of the next year

to about another 10 specializing in that area. But they do occasionally alternate out into judge roles as well, as well as victim legal counsel to provide the respite from the fatigue that General Grosso mentioned, but they are still very close to the courtroom environment continuously.

6 In terms of the promotion protection, we do provide language in the convening order for the boards that directs 7 the boards of the special and critical role that the 8 military justice litigation career track plays for good 9 order and discipline and accountability, which is very 10 11 important for the Navy. And it directs the board to 12 favorably consider the valuable contributions of superior performance in that career track. And as a result of that 13 language in the convening order, we monitor and ensure that 14 15 they have a higher than average for the judge advocate 16 general corps promotion rate, which they have enjoyed.

Senator Gillibrand: So would you recommend this to the other services?

Admiral Burke: The convening order language is an effective tool for the way the Navy boards work. I do not know if it has the same dynamic in the other services, but it is effective for Navy board dynamics. Yes, ma'am.

23 Senator Gillibrand: And how, if at all, do you think 24 these programs can serve as a model for other specialty and 25 highly trained career fields?

1 General Grosso: Senator Gillibrand, I would say that 2 is what we are thinking about for the technical track. What 3 does that look like? What is the path? What is the 4 compensation that was brought up by our distinguished panel 5 members before? So anybody that needs to specialize in something, to your point earlier, we grow breadth but not 6 depth, and that is something that we are looking at as we 7 8 relook our performance management system. I think you have given us a lot of tools, and that is where we will come back 9 10 to you if we think we do not have enough.

11 General Rocco: Ranking Member Gillibrand, from the 12 Marine Corps, we are certainly open to taking a look at all of that. One thing we have found that even with pilots in 13 14 aviation that we have looked at in detail, we have come to 15 find out that marines like being marines first. And even 16 myself, on a personal note, having spent 7 years in my first 17 squadron, I was ready to leave the squadron and do something other marine things. And we found that throughout the 18 19 fields, whether it is lawyers, whether it is pilots or 20 comptrollers for that matter. So we are looking at that. We are open to that. 21

We realize the technical field, cyber in particular, is something that we need to take a hard look at because the moment you leave that field, I think the spill-up time if you come back to the expert that you were is probably a

little bit longer than some others. So we are sensitive to
 that fact. So we are looking at cyber in particular as a
 separate career track.

4 Senator Gillibrand: May I ask one more question on 5 this line?

6 What other reforms are necessary to ensure we have 7 trained, experienced military lawyers in the courtroom and 8 that their career progression will not be harmed by their 9 choice to specialize in this important field?

General Rocco: Ranking Member Gillibrand, we are looking at SJAs as a separate competitive category so we ensure that we have the right people in the right places at the right promotion rate and then promoted, if not at fleet average, but higher than the fleet average.

15 General Grosso: Senator Gillibrand, we already have a 16 separate competitive category just for lawyers. So I think as we embark on our litigation track, we just need to watch 17 that yearly. I took a note to -- I think the MOI -- get the 18 19 language right in the MOI and then see how we are doing. 20 Are we accomplishing what we want? Are they competing; are they not competing? And then what are we going to do to fix 21 22 it.

Admiral Burke: Yes, ma'am. We are using the separate competitive category already, and it has panned out well. And the protective language for this particular career track

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has been successful. To your earlier question, I think this type of career track model is exactly to our vision of the "up and stay" kind of model. So I think it has a lot of applicability for other technical career fields in specialization, exactly what we are thinking.

6 Senator Gillibrand: Great.

General Seamands: Senator Gillibrand, within the Army, we compete our JAGs within a separate category, and we do have the MOI, as the other branches talked about, where we focus and highlight things for the board to do. With the skill identifier, we also have the ability to have a requirement that so many people in that specialty are picked. So we continue to monitor that.

As the Admiral did, going back to the previous 14 15 question, we have set up a separate category called 16 information dominance for our cyber technical officers. And 17 what we found is in the last 2 years, we have had two majors, two lieutenant colonels, and two colonels boards, 18 19 and each time the cyber officers have competed at or above the same level in terms of the results of the other 20 categories. So they are performing. I think we are picking 21 22 the right officers. And we have kind of designed the 23 information dominance to be a Petri dish, if you will, to 24 test things and make sure that we get it right, things that 25 we could possibly apply across the entire force.

1 Senator Gillibrand: Great.

2 Thank you, Mr. Chairman.

3 Senator Tillis: Thank you, Senator Gillibrand, and 4 thank all of you. We feel like that there is a lot that 5 works in DOPMA and that we do not want to break something 6 that is not broken.

I also think that your active engagement, as we go through the process -- we think that there are areas that we can improve that we are going to work on language, and we want your active participation in that.

11 One thing that maybe you should consider -- we will 12 keep the record open for a week, and for any of the panelists, the prior panel or this panel, I would like your 13 14 feedback either through the formal channel or the committee 15 or through communication with my staff and the committee 16 staff of some of the things that -- a kind of a start/stop 17 continued assessment of current practices that you would like to make sure for considering changes that we are 18 19 vetting them with you and make sure that it is helpful. 20 You do a great job. It is an honor to have a panel

21 like this before us. We want your continued collaboration 22 in the process, and we appreciate you being here today and 23 your service to our great nation.

We will be, again, keeping the record open for a week, and thank you.

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1	This meeting is adjourned.							
2	[Whereupon,	at	4 : 32	p.m.,	the	hearing	was	adjourned.]
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