

PART A -- BIOGRAPHICAL INFORMATION

INSTRUCTIONS TO THE NOMINEE: Biographical information furnished in this part of the form will be made available in Committee offices for public inspection prior to the hearing and will also be published in any hearing record as well as made available to the public.

- 1. **Name:** James Norman Mattis
- 2. **Position to which nominated:** Secretary of Defense
- 3. **Date of nomination:** December 7, 2016
- 4. **Address:**

The nominee responded and the information is contained in the committee's executive files.

- 5. **Year and place of birth:** 1950, Pullman, WA
- 6. **Marital status:** Single
- 7. **Names of children (if over age 18):** None
- 8. **Education:**

Dates Attended	Academic Institution	Degree (if applicable)
Aug 93 – May 94	National War College	Master of International Security Affairs
Aug 83 – May 84	Marine Corps Command & Staff College	Graduate
Aug 77 – May 78	Marine Corps Amphibious Warfare School	Graduate
May 82 – Aug 82	University of Rhode Island	Attended
Sep 68 – Dec 71	Central Washington University	Bachelor of Arts, Political Science & Geography

9. **Employment record: List all jobs held since college or in the last 10 years, whichever is less, including the title or description of job, name of employer, location of work, and dates of employment. If the employment activity was military duty, list separate employment activity periods to show each change of military duty station.**

Dates	Title	Employer	Location/Duty Station
Aug 06 – Nov 07	Commanding General, I Marine Expeditionary Force & Commander, US Marine Forces Central Command	USMC	Camp Pendleton, CA MacDill AFB, FL NSA, Bahrain
Nov 07 – Aug 10	Commander, US Joint Forces Command & NATO Supreme Allied Commander – Transformation	USMC	Norfolk, VA
Sep 10 – Mar 13	Commander, US Central Command	USMC	MacDill AFB, FL
Mar 13 – Jun 13	General	USMC	Arlington, VA
Jun 13 – Nov 13	Retired	N/A	Richland, WA
Nov 13 – Present	Distinguished Visiting Fellow	Hoover Institution	Stanford, CA

10. **Government experience:** None

11. **Business relationships. List all positions currently held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business enterprise, educational or other institution.**

Dates	Title	Organization
Nov 13 – Present	Distinguished Visiting Fellow	Hoover Institution
Aug 13 – Present	Director	General Dynamics Corp.

12. **Memberships:**
1. Marine Corps Association (Since 1974 (est.))
 2. U.S. Naval Institute (Since 1983 (est.))

13. **Political affiliations and activities:**

(a) **If you have ever been a candidate for or have been elected or appointed to a political office, list the name of the office(s), whether you were elected/appointed/candidate, the year(s) the election was held or the appointment was made, and the term of office (if applicable):** None

(b) List all memberships and offices held in and services rendered to all political parties or election committees during the last 5 years: None

(c) Itemize all individual political contributions of \$100 or more to any individual, campaign organization, political party, political action committee, or similar entity for the past 5 years. List each individual contribution and not the total amount contributed to the person or entity during the year. None

14. Honors and Awards: List all scholarships, fellowships, honorary degrees, civilian service citations, military awards and decorations, honorary society memberships, and any other special recognition for outstanding service or achievements.

Honorary Degree
LL.D. (<i>honoris causa</i>) Washington College, Chestertown, Maryland (2014)
Civilian Awards
Center for National Policy's Edmund S. Muskie Distinguished Public Service Award (2009)
Atlantic Council's Distinguished Military Leadership Award (2010)
World Affairs Council of Greater Hampton Roads "Ryan C. Crocker Global Citizen of the Year" Award (2013)
Marine Corps University Foundation Semper Fidelis Award (2014)
Military Awards
Defense Distinguished Service Medal (w/ Oak Leaf Cluster)
Navy Distinguished Service Medal
Defense Superior Service Medal
Legion of Merit
Bronze Star Medal (w/ Combat Distinguishing Device)
Meritorious Service Medal (w/ Two Gold Stars)
Navy/Marine Corps Achievement Medal
Combat Action Ribbon
Presidential Unit Citation
Joint Meritorious Unit Award
Navy/Marine Corps Unit Commendation
Navy/Marine Corps Meritorious Unit Commendation
Marine Corps Expeditionary Medal
National Defense Service Medal (w/ Two Bronze Stars)
Southwest Asia Service Medal (w/ Two Bronze Stars)
Afghanistan Campaign Medal (w/ One Bronze Star)
Iraq Campaign Medal (w/ One Bronze Star)
Global War on Terrorism Expeditionary Medal
Global War on Terrorism Service Medal
Humanitarian Service Medal
Sea Service Deployment Ribbon (w/ One Silver and One Bronze Star)

Marine Corps Recruiting Service Ribbon (w/ One Bronze Star)
Polish Army Medal (Gold Level)
NATO Meritorious Service Medal
NATO ISAF Medal
Kuwaiti Liberation Medal (Saudi Arabia)
Kuwaiti Liberation Medal (Kuwait)

15. Published writings:

a. Mattis, James N. and Kori Schake, *Warriors & Citizens: American Views of Our Military*. Stanford: Hoover Institution Press, 2016.

b. Mattis, James N. with Jim Ellis and Kori Schake, "Restoring Our National Security." In, *Blueprint for America*, George P. Shultz, ed. Stanford: Hoover Institution Press, 2016.

c. Mattis, James N., "A Military Perspective." In, *Andrei Sakharov: The Conscience of Humanity*, George P. Shultz and Sidney P. Drell, eds. Stanford: Hoover Institution Press, 2015.

16. Speeches: Provide the Committee with two copies of any formal speeches you have delivered during the last 5 years of which you have copies and are on topics relevant to the position for which you have been nominated. Transmitted via Separate Correspondence

17. Commitments regarding nomination, confirmation, and service:

(a) If confirmed, will you adhere to applicable laws and regulations governing conflicts of interest? Yes

(b) Have you assumed any duties or undertaken any actions which would appear to presume the outcome of the confirmation process? No

(c) If confirmed, will you ensure your staff complies with deadlines established for requested communications, including questions for the record in hearings? Yes

(d) Will you cooperate in providing witnesses and briefers in response to Congressional requests? Yes

(e) Will you promise to enforce or ensure whistleblower protections for all those witnesses? Yes

(f) Do you agree, if confirmed, to appear and testify upon request before this Committee? Yes

(g) Do you agree to provide documents, including copies of electronic forms of communication, in a timely manner when requested by a duly constituted Committee, or to consult with the Committee regarding the basis for any good faith delay or denial in providing such documents? Yes

List of Formal Speeches in Files of General James N. Mattis (Ret.)

Senior Mil Audiences/FOGOs

- 1) National War College, 2014
- 2) "IMPORT OF HIST, PROPERLY USED, IN MIL PROF."
- 3) U.S. Naval War College, 6 Dec 12
- 4) "BUSINESS/HUNTSMAN LDRSHP" (Handwritten: "BGSOC")
- 5) "WHEN THINGS GO WRONG"
- 6) "VETS—BUSINESS"

Hoover

- 1) Hoover, Oct 14, "THE WORSENING SITUATION IN THE MIDDLE EAST & AMERICA'S ROLE"
- 2) "HOOVER"
- 3) "Geo Schultz Award"

College Classes/Schools

- 1) "GSB," 18 Apr 16
- 2) "Johnson Remarks"
- 3) Aspen Summit, 2014, "LEADERSHIP IN A WORLD AWASH IN CHANGE"
- 4) University of Wyoming, 4 Oct 12
- 5) Colorado, Feb 15
- 6) Whitman
- 7) Institute of International Studies, UC Berkeley

Foreign Mil

- 1) 1st AUS Army Bde, "ISSUES THAT MATTER MOST"
- 2) Ottawa Conference on Defense and Security, 24 Feb 12, "THE CANADIAN FORCES"
- 3) Reading, 18 May 13

Think Tanks

- 1) Johns Hopkins/SAIS, 27 Nov 12
- 2) World Affairs Council, 7 Feb 13
- 3) "A WORLD IN TURMOIL: CHAL FOR THE MIL STRATEGIST"
- 4) Brookings, 18 Aug 12, "CENTCOM"
- 5) Jamestown, Oct 14
- 6) Heritage
- 7) "GLOBAL SECURITY OUTLOOK"
- 8) Feb 16, "GLOBAL SCTY ENVIRON"

Business

- 1) WSU/Stanford, "BUSINESS SCHOOL/LDRSHP"
- 2) "BUSINESS/HUNTSMAN LDRSHP"
- 3) "VETS—BUSINESS"
- 4) Lincoln, "WORLD CLASS STANDARDS NEED WORLD CLASS LEADERSHIP"
- 5) First Health
- 6) "YPOG-WPO"
- 7) Bermuda, 24 Oct 14
- 8) "G.S.—NEW YORK," Sep 14
- 9) ASIS, 1 Oct 15
- 10) Direct T.V.
- 11) "CEO INTRODUCTION"
- 12) "CORPORATE LDRSHP—IMPLICATIONS"
- 13) Citi, Jun 16

USMC

- 1) MCF, Nov 16—Marine Corps Birthday
- 2) 1stMarDiv, USS Midway, Nov 16
- 3) Manpower and Reserve Affairs
- 4) MCF, 22 Feb 14
- 5) "WINE COUNTRY MARINES B-DAY BALL," 31 Oct 15
- 6) "DESERT STORM 25th"
- 7) "NO GREATER SACRIFICE"
- 8) "MEMORIAL DAY"
- 9) Marine Corps Scholarship Foundation, 19 Apr 13
- 10) "PATRIOT DAY MEMORIAL BREAKFAST"
- 11) MarCor Scholarship Foundation, Feb 15
- 12) MCSF, Chicago, Oct 14
- 13) MARCOR Scholarship Foundation, Feb 15
- 14) SEAL/NSW Family Foundation, May 14
- 15) "CENTCOM Change of Command, 22 Mar 13

Mil Schools

- 1) "ARMY STRAT DEVELOPMENT"
- 2) "ETHICS—NDU"
- 3) "AUSTRALIA INTRO"
- 4) "AUSTRALIAN ARMY, 7TH BATTALION"
- 5) "Australia Talk"
- 6) "AUSTRALIA—FORCES COMMAND," Sep 15
- 7) "NDU COMMANDANT'S LECTURE SERIES (FIRST)" 20 Oct 16
- 8) "NDU ALUMNI: DIP-MIL MID-EAST PERSPECTIVES (SECOND)" 20 Oct 16

- 9) J-5, May 16, "NAT'L MIL STRAT IN TODAY'S ENVIRONMENT"
- 10) "INTELL CHALLENGES"
- 11) Hoover Retreat, Bilderberg, Jun 15 "AMERICA IN A STRATEGY FREE ERA"
- 12) Army War College, 2014
- 13) "LEADERSHIP IN 'JIM' ENVIRONMENT"
- 14) TBS 1-77 Reunion
- 15) "ROTC—MIDS & CADETS"
- 16) "ARMY GOS—HOW TO DEAL W/ BAD THINGS"
- 17) "DINNER WITH MIDSHIPMEN"
- 18) Delivered while Cdr, Centcom "THOUGHTS ON LAND-CENTRIC OPERATIONAL HEADQUARTERS TRANSITIONS"
- 19) "STRATEGISTS"
- 20) "STRATEGIC CULTURE"
- 21) "ROTC"
- 22) Duke University Center for Law, Ethics, and National Security, 1 Mar 13
- 23) Naval Academy Preparatory School, 6 Dec 12
- 24) "MANAMA DIALOGUE," 9 Dec 12
- 25) Afghanistan Assessments Conference, 8 Nov 12
- 26) Marine Corps Title X Wargame, 8 Mar 12
- 27) Berkeley NROTC Commissioning
- 28) "ISS-21, GLOBAL NETWORK OF NAVIES"

Miscellaneous

- 1) "CHANGING LANDSCAPE OF MIDEAST TERRORISM"
- 2) "WHAT TO DO ABOUT IRAN? Version.5"
- 4) "CIV-MIL RELATIONS"
- 5) "G.S.—LDRSHP"
- 6) "DECISION MAKING UNDER STRESSFUL CONDITIONS..."
- 7) "LDRSHP FOR LDRS"

MidEast

- 1) "CROSS-CURRENTS: HELP EXPLAIN WHAT LIES BEHIND HEADLINES"
- 2) "FRAYING BORDERS, TERRORISTS & AUTOCRATS"
- 3) "ISIS/SYRIA"
- 4) "Dallas Events," 19 May 16
- 5) "U.S.—Pakistan Roundtable"
- 6) Marshall Center, 13 July 12
- 7) Sainte Claire Club, San Jose, 29 Sep 16
- 8) "THE MIDDLE EAST TODAY: REFLECTIONS OF A COMBATANT COMMANDER"
- 9) "MID-EAST SIT & USA"
- 10) "MANAMA DIALOGUE SHERPA MEETING," 12 Feb 12
- 11) Bermuda, Oct 14

- 12) Center for American Progress, 23 Jan 15, "A NEW ANCHOR FOR U.S.-EGYPT RELATIONS"
- 13) "CSIS," Feb 15
- 14) Aspen Security Forum, 2014, "POST-ARAB SPRING"
- 15) Chicago, 6 Apr 16, "BENS"

N) Vets

- 1) "COHEN GROUP INTERN—LDRSHP"
- 2) HBS, 3/4 Jan 15
- 3) Whitman
- 4) Marine Memorial Club, Apr 14
- 5) "Thank you, Don" (Marine heritage)
- 6) "NAVAL ORDER OF U.S."
- 7) "MARINE VETS," 16 Apr 16
- 8) Boy Scouts, "THANK MR. GARY"
- 9) "1/7 REUNION," 2016
- 10) "ROLE OF OUR MILITARY ABROAD AND IN WASHINGTON"
- 11) "APPLYING MIL EXPERIENCE AS A CIVILIAN"
- 12) "VETERANS OF FOREIGN WARS"
- 13) "USC VETS," 30 Mar 16

O) Testimony/Personal

- 1) "CONGRESSIONAL FELLOWS"
- 2) "USGLC—MIAMI"