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**Statement of Dr. Peter Highnam  
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**Department of Defense Artificial Intelligence Initiatives**

**Before the  
Emerging Threats and Capabilities Subcommittee  
Committee on Armed Services  
United States Senate**

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## 30 **DARPA's Seminal Role in the Field of Artificial Intelligence**

31

32 Seventy years ago, when early electronic computers ran on vacuum tubes and filled entire rooms,  
33 researchers already were striving to enable machines to think as people do. Only a few years after its  
34 start in 1958, DARPA began playing a central role in realizing this ambition by laying some of the  
35 groundwork for the field of artificial intelligence (AI). Early work in AI emphasized handcrafted  
36 knowledge, and computer scientists constructed so-called expert systems that captured the rules that  
37 the system could then apply to situations of interest. Such “first wave” AI technologies were quite  
38 successful – tax preparation software is a good example of an expert system – but the need to handcraft  
39 rules is costly and time-consuming and therefore limits the applicability of rules-based AI  
40 technologies.

41 The past few years have seen an explosion of interest in a sub-field of AI dubbed “machine learning”  
42 that applies statistical and probabilistic methods to large data sets to create generalized representations  
43 that can be applied to future samples. Foremost among these approaches are deep learning (artificial)  
44 neural networks trained to perform a variety of classification and prediction tasks when adequate  
45 historical data is available. Therein lies the rub, however, as the task of collecting, labelling, and  
46 vetting data on which to train such “second wave” AI techniques is prohibitively costly and time-  
47 consuming.

48 DARPA envisions a future in which machines are more than just tools that execute human-  
49 programmed rules or generalize from human-curated data sets. Rather, the machines DARPA  
50 envisions will function more as colleagues than as tools. Towards this end, DARPA is focusing its  
51 investments on a “third wave” of AI technologies that brings forth machines that can reason in  
52 context. Incorporating these technologies in military systems that collaborate with warfighters will  
53 facilitate better decisions in complex, time-critical, battlefield environments; enable a shared  
54 understanding of massive, incomplete, and contradictory information; and empower unmanned  
55 systems to perform critical missions safely and with high degrees of autonomy.

56 Today, DARPA is funding more than 24 programs exploring ways to advance the state of the art in  
57 AI, pushing beyond second wave machine learning towards contextual reasoning capabilities. This  
58 is in addition to more than 55 active programs that are leveraging machine learning or AI  
59 technologies in some capacity—from managing the electromagnetic spectrum to detecting and  
60 patching cyber vulnerabilities.

61 This level of investment has been years in the making and will define scientific and technical  
62 exploration, as well as resulting military capabilities, for decades to come.

### 63 **Current Programs**

64 DARPA's **Lifelong Learning Machines (L2M)** program is exploring ways to enable machines to  
65 learn while doing without catastrophic forgetting. Such a capability would enable systems to improve  
66 on the fly, recover from surprises, and keep them from drifting out of sync with the world.

67 First announced in 2017, L2M research teams are developing complete systems and their components,  
68 as well as exploring learning mechanisms in biological organisms with the goal of translating them  
69 into computational processes. Discoveries in both technical areas are expected to generate new  
70 methodologies that will allow AI systems to learn and improve during tasks, apply previous skills and  
71 knowledge to new situations, incorporate innate system limits, and enhance safety in automated  
72 assignments. While the program is still in its early stages, L2M researchers already have identified  
73 and solved challenges associated with building and training a self-reproducing neural network.

74 DARPA is also currently running a program called **Explainable AI** or **XAI** to develop new machine-  
75 learning architectures that can produce accurate explanations of their decisions in a form that makes  
76 sense to humans. As AI algorithms become more widely used, reasonable self-explanation will help  
77 users understand how these systems work, and how much to trust them in various situations. XAI  
78 specifically aims to create a suite of machine learning techniques that produce explainable models –  
79 while maintaining a high level of prediction accuracy so human users understand, appropriately trust,  
80 and effectively manage the emerging generation of artificially intelligent partners. Enabling  
81 computing systems in this manner is critical because sensor, information, and communication systems  
82 generate data at rates beyond what humans can assimilate, understand, and act upon.

83 The real breakthrough for artificial intelligence, however, will not come until researchers figure out  
84 a way for machines to learn or otherwise acquire common sense. Without common sense, AI systems  
85 will be powerful but limited tools that require human inputs to function. With common sense, an AI  
86 could become a partner in problem solving. Common sense knowledge is so pervasive in our lives  
87 that it can be hard to recognize. For example, in conflict and warzone situations, people tend to make  
88 snap decisions about the cause of the problem and ignore evidence that does not support their point  
89 of view. To act as a valued partner in such situations, the AI system will need sufficient common  
90 sense to know when to speak and what to say, which will require that it have a good idea of what each

91 person knows. Interrupting to state the obvious would quickly result in its deactivation, particularly  
92 under stressful conditions.

93 In order to find answers to the common sense problem, DARPA launched in October of last year the  
94 **Machine Common Sense (MCS)** program, which will explore recent advances in cognitive  
95 understanding, natural language processing, deep learning, and other areas of AI research. MCS is  
96 pursuing two approaches for developing and evaluating different machine common sense services.  
97 The first approach seeks to create computational models that learn from experience and mimic the  
98 core domains of cognition as defined by developmental psychology. This includes the domains  
99 of objects (intuitive physics), places (spatial navigation), and agents (intentional actors). Researchers  
100 will develop systems that think and learn as humans do in the very early stages of development,  
101 leveraging advances in the field of cognitive development to provide empirical and theoretical  
102 guidance.

103 To assess the progress and success of the first strategy's computational models, researchers will  
104 explore developmental psychology research studies and literature to create evaluation criteria.  
105 DARPA will use the resulting set of cognitive development milestones to determine how well the  
106 models are able to learn against three levels of performance: prediction/expectation, experience  
107 learning, and problem solving.

108 The second MCS approach will construct a common sense knowledge repository capable of  
109 answering natural language and image-based queries about common sense phenomena by reading  
110 from the Web. DARPA expects that researchers will use a combination of manual construction,  
111 information extraction, machine learning, crowdsourcing techniques, and other computational  
112 approaches to develop the repository. The resulting capability will be measured against the Allen  
113 Institute for Artificial Intelligence (AI2) Common sense benchmark tests, which are constructed  
114 through an extensive crowdsourcing process to represent and measure the broad common sense  
115 knowledge of an average adult.

## 116 **AI Next Campaign**

117 DARPA announced in September 2018, a multi-year investment of more than \$2 billion in new and  
118 existing programs called the "AI Next" campaign. Campaign key areas include providing robust  
119 foundations for second wave technologies, aggressively applying second wave AI technologies into  
120 appropriate systems, and exploring and creating third wave AI science and technologies.

121 AI Next builds on DARPA’s five decades of AI technology creation to define and to shape the future,  
122 always with the Department’s hardest problems in mind. Accordingly, DARPA will create powerful  
123 capabilities for the DoD by attending specifically to the following areas:

124 **New Capabilities:** AI technologies are applied routinely to enable DARPA R&D projects, including  
125 more than 60 ongoing programs, such as the Electronic Resurgence Initiative, and other programs  
126 related to real-time analysis of sophisticated cyber attacks, detection of fraudulent imagery,  
127 construction of dynamic kill-chains for all-domain warfare, human language technologies, multi-  
128 modality automatic target recognition, biomedical advances, and control of prosthetic limbs. DARPA  
129 will advance AI technologies to enable automation of critical Department business processes. One  
130 such process is the lengthy accreditation of software systems prior to operational deployment.  
131 Automating this accreditation process with known AI and other technologies now appears possible.

132 **Robust AI:** AI technologies have demonstrated great value to missions as diverse as space-based  
133 imagery analysis, cyber attack warning, supply chain logistics and analysis of microbiologic systems.  
134 At the same time, the failure modes of AI technologies are poorly understood. DARPA is working to  
135 address this shortfall, with focused R&D, both analytic and empirical. DARPA’s success is essential  
136 for the Department to deploy AI technologies, particularly to the tactical edge, where reliable  
137 performance is required.

138 **Adversarial AI:** The most powerful AI tool today is machine learning. Machine learning systems are  
139 easily duped by changes to inputs that would never fool a human. The data used to train such systems  
140 can be corrupted, and the software itself is vulnerable to cyber attack. These areas, and more, must  
141 be addressed at scale as more AI-enabled systems are operationally deployed.

142 **High Performance AI:** Computer performance increases over the last decade have enabled the  
143 success of machine learning, in combination with large data sets, and software libraries. More  
144 performance at lower electrical power is essential to allow both data center and tactical deployments.  
145 DARPA has demonstrated analog processing of AI algorithms with 1000 times speedup and 1000  
146 times power efficiency over state-of-the-art digital processors, and is researching AI-specific  
147 hardware designs. DARPA is also attacking the current inefficiency of machine learning, by  
148 researching methods to drastically reduce requirements for labeled training data.

149 **Next Generation AI:** The machine learning algorithms that enable face recognition and self-driving  
150 vehicles were invented over 20 years ago. DARPA has taken the lead in pioneering research to

151 develop the next generation of AI algorithms, which will transform computers from tools into  
152 problem-solving partners. DARPA research aims to enable AI systems to explain their actions, and  
153 to acquire and reason with common sense knowledge. DARPA R&D produced the first AI successes,  
154 such as expert systems and search, and more recently has advanced machine learning tools and  
155 hardware.

156 In addition to new and ongoing DARPA research, a key component of the AI Next campaign will be  
157 DARPA's **Artificial Intelligence Exploration** (AIE) program, first announced in July 2018. AIE  
158 constitutes a series of high-risk, high payoff projects where researchers work to establish the  
159 feasibility of new AI concepts within 18 months of award. Leveraging streamlined contracting  
160 procedures and funding mechanisms enables these efforts to move from proposal to project kick-off  
161 within 3 months of an opportunity announcement.

## 162 **Conclusion**

163 Over its 60-year history, DARPA has made significant investments in the creation and advancement  
164 of artificial intelligence technologies that have produced game-changing capabilities for the  
165 Department of Defense and beyond. DARPA's AI Next effort is simply a continuing part of its  
166 historic investment in the exploration and advancement of AI technologies.

167  
168 Current R&D investment around the world is largely focused on second wave AI or machine learning,  
169 which is very good in finding patterns in voice and imagery and has many commercial applications.  
170 The difference is, in the United States, DARPA is aggressively pursuing programs that will make  
171 second wave AI more robust for defense and security applications, all while helping realize the third  
172 wave of AI, or contextual reasoning. DARPA has unique access to the United States' world-class  
173 science and technology community, comprised of leading universities, government labs, and industry  
174 partners – this mix cannot be found or replicated anywhere else in the world. Marshalling those unique  
175 resources, the Agency's third wave research efforts will forge new theories and methods that will  
176 make it possible for machines to adapt contextually to changing situations, advancing computers from  
177 tools to true collaborative partners. Going forward, the agency will be fearless about exploring these  
178 new technologies and their capabilities – DARPA's core function – pushing critical frontiers ahead  
179 of our nation's adversaries.